



President
Mr. Dave Warren
City of Placerville

Vice President
Ms. Liz Ehrenstrom
City of Oroville

Treasurer
Mr. Tim Sailsbery
City of Willows

Secretary
Vacant

**NORTHERN CALIFORNIA CITIES SELF INSURANCE FUND
EXECUTIVE COMMITTEE MEETING
AGENDA**

Date: Thursday, September 27, 2018

Time: 11:30 a.m.
Lunch available at 11:00 a.m.

Location: Rocklin Event Center - Garden Room
2650 Sunset Boulevard
Rocklin, CA 95677

- A - Action**
- I - Information**
- 1 - Attached**
- 2 - Hand Out**
- 3 - Separate Cover**
- 4 - Verbal**

MISSION STATEMENT

The Northern California Cities Self Insurance Fund, or NCCSIF, is an association of municipalities joined to protect member resources by stabilizing risk costs in a reliable, economical and beneficial manner while providing members with broad coverage and quality services in risk management and claims management.

A. CALL TO ORDER

B. APPROVAL OF AGENDA AS POSTED

A 1

C. PUBLIC COMMENTS

This time is reserved for members of the public to address the Executive Committee on matters pertaining to NCCSIF that are of interest to them.

pg. 5 **D. CONSENT CALENDAR**

A 1

All matters listed under the consent calendar are considered routine with no separate discussion necessary. Any member of the public or the Executive Committee may request any item to be considered separately.

pg. 6 1. Executive Committee Meeting Minutes - May 17, 2018

pg. 12 2. Check Register from May 1, 2018 to August 31, 2018

3. Investment Reports

pg. 16 a. Chandler Asset Management Short/Long Term - May 2018 to August 2018

pg. 48 b. Chandler Asset Management GASB 40 Reports as of June 30, 2018

pg. 58 c. Chandler Asset Management GASB 72 Reports as of June 30, 2018

pg. 60 d. Local Agency Investment Fund (LAIF) Report as of June 30, 2018

pg. 61 e. Treasurer's Report as of June 30, 2018

pg. 62 4. 18/19 Alliant Deadly Weapon Response Program Proposal

pg. 74 5. Alliant Deadly Weapon Response Program Brochure



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- pg. 76 6. Police Risk Management Grant Funds Utilization Report as of September 20, 2018
- pg. 77 7. AssetWorks Property Appraisal Services Agreement

E. ADMINISTRATION REPORTS I 4

- 1. **President’s Report**
Dave Warren will address the Committee on items pertaining to NCCSIF.
- 2. **CJPRMA Update**
Marcus Beverly will update the Committee on CJPRMA matters pertinent to NCCSIF. Minutes of the last meeting can be found at <http://www.cjprma.org>.
- 3. **Program Administrator’s Report**
Alliant will address the Committee on items pertaining to NCCSIF.

F. FINANCIAL REPORTS

- pg. 93 1. **Quarterly Financial Report for Period Ending June 30, 2018** A 1
James Marta & Company will present the quarterly financial report ending June 30, 2018 for the Committee to Receive and File.
- pg. 130 2. **Budget-to-Actual as of June 30, 2018** I 1
The Committee will receive an update on the Budget to Actual as of June 30, 2018.
- pg. 131 3. **FY 17/18 Financial Audit Update** I 4
The Committee will receive a verbal update regarding the status of the Year Ending 2018 Financial Audits.

G. CLAIMS SERVICES

- pg. 132 1. **Approval of NCCSIF Defense Attorney List for Liability** A 1
The Committee will be asked to approve revising the NCCSIF Liability Defense Attorney List to include attorneys from Lenahan, Lee, Slater, Pearse & Majernik, LLP.
- pg. 147 2. **FY 18/19 Workers’ Compensation Claims Audit** A 1
The Committee will review and may approve the agreement with Farley Consulting Services, LLC.
- pg. 159 3. **Workers’ Compensation Claims Administration Performance Plan Follow-up Survey Result** A 2
The Committee will receive an update on the results of the follow up survey for Workers’ Compensation Claims Administration for discussion and referral to the Board.



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H. JPA BUSINESS

- pg. 160 1. **Preliminary 2019 Meeting Calendar** A 1
The Committee will be asked to recommend the Preliminary 2018 Meeting Calendar for approval at the October 25, 2018, Board Meeting.
- pg. 162 2. **Appointment of NCCSIF Secretary and CJPRMA Board Representative** A 1
The Committee will be asked to appoint a new NCCSIF Secretary and CJPRMA Board Representative as the Board member who holds both position is no longer with the City of Lincoln.
- pg. 164 3. **Nomination of Officers - 2019** A 1
The Committee will review and appoint a Nominating Committee for election of 2019 Officers to be approved by the Board at the October 25, 2018 Meeting.
- pg. 170 4. **NCCSIF Underwriting Policy** A 1
The Committee will review an Underwriting Policy centralizing all underwriting criteria in one document as required by CAJPA Accreditation with Excellence.
- pg. 173 5. **CJPRMA Drone Coverage** A 1
Review and provide direction regarding the additional cost for excess coverage.
- pg. 174 6. **Service Providers Contract Renewal Strategy** A 1
The Committee will be asked to discuss the contract renewal strategy.
 - a. Workers’ Compensation Claims Administration Services - York Risk Services
 - b. Liability Claims Administration Services - York Risk Services
 - c. Safety and Risk Control Services - Bickmore Risk Services
 - d. Brokerage, Risk Management and Program Administration - Alliant Insurance Services
- pg. 177 7. **December 2018 Board Training Day and Meeting** A 1
The Committee to review the list and recommend additional topics for the Training.
- pg. 179 8. **Round Table Discussion** I 4
The floor will be open to Committee members for any topics or ideas that members would like to address.

I. INFORMATION ITEMS

- pg. 181 1. York Who’s Who in Claims WC Contacts I 1
- pg. 182 2. York Who’s Who in Claims Liability Contacts
- pg. 183 3. NCCSIF Organizational Chart



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- pg. 184 4. NCCSIF 2018 Meeting Calendar
pg. 185 5. NCCSIF Travel Mileage Reimbursement Form

J. ADJOURNMENT

UPCOMING MEETINGS

Risk Management Committee Meeting - October 25, 2018

Board of Directors Meeting - October 25, 2018

Police Risk Management Committee Meeting - November 1, 2018

Per Government Code 54954.2, persons requesting disability related modifications or accommodations, including auxiliary aids or services in order to participate in the meeting, are requested to contact Raychelle Maranan at Alliant Insurance Services at (916) 643-2712.

The Agenda packet will be posted on the NCCSIF website at www.nccsif.org. Documents and material relating to an open session agenda item that are provided to the NCCSIF Executive Committee less than 72 hours prior to a regular meeting will be available for public inspection and copying at 2180 Harvard Street, Suite 460, Sacramento, CA 95815.

Access to some buildings and offices may require routine provisions of identification to building security. However, NCCSIF does not require any member of the public to register his or her name or to provide other information, as a condition to attendance at any public meeting and will not inquire of building security concerning information so provided. See Government Code section 54953.3.



Agenda Item D.

CONSENT CALENDAR

ACTION ITEM

ISSUE: The Executive Committee reviews items on the Consent Calendar and, if any item requires clarification or discussion, a Member should ask that it be removed for separate action. The Committee should then consider action to approve the Consent Calendar excluding those items removed. Any items removed from the Consent Calendar will be placed later on the agenda in an order determined by the President.

RECOMMENDATION: Adoption of the Consent Calendar after review by the Committee.

FISCAL IMPACT: None.

BACKGROUND: Routine items that generally do not require discussion are regularly placed on the Consent Calendar for approval.

ATTACHMENT(S):

1. Executive Committee Meeting Minutes - May 17, 2018
2. Check Register from May 1, 2018 to August 31, 2018
3. Investment Reports
 - a. Chandler Asset Management Short/Long Term - May 2018 to August 2018
 - b. Chandler Asset Management GASB 40 Reports as of June 30, 2018
 - c. Chandler Asset Management GASB 72 Reports as of June 30, 2018
 - d. Local Agency Investment Fund (LAIF) Report as of June 30, 2018
 - e. Treasurer's Report as of June 30, 2018
4. 18/19 Alliant Deadly Weapon Response Program Proposal
5. Alliant Deadly Weapon Response Program Brochure
6. Police Risk Management Grant Funds Utilization Report as of September 20, 2018
7. AssetWorks Property Appraisal Services Agreement



**MINUTES OF THE
NCCSIF EXECUTIVE COMMITTEE MEETING
ROCKLIN EVENT CENTER, ROCKLIN, CA
MAY 17, 2018**

COMMITTEE MEMBERS PRESENT

Liz Cottrell, City of Anderson	Dave Warren, City of Placerville (Chair)
Astrida Trupovnieks, City of Lincoln	Sandy Ryan, City of Red Bluff
Liz Ehrenstrom, City of Oroville	Tim Sailsbery, City of Willows
Gina Will, Town of Paradise	

COMMITTEE MEMBERS ABSENT

Kristina Miller, City of Corning	Juan Solis, City of Gridley
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CONSULTANTS & GUESTS

Marcus Beverly, Alliant Insurance Services	Dori Zumwalt, York Risk Services
Raychelle Maranan, Alliant Insurance Services	

A. CALL TO ORDER

Chair Dave Warren called the meeting to order at 11:46 a.m.

B. APPROVAL OF AGENDA AS POSTED

A motion was made to approve the Agenda as posted.

Motion: Liz Ehrenstrom	Second: Gina Will	Motion Carried
Ayes: Cottrell, Trupovnieks, Ehrenstrom, Will, Warren, Ryan, Sailsbery		

C. PUBLIC COMMENTS

There were no public comments.

D. CONSENT CALENDAR

Mr. Marcus Beverly noted a correction on the limits of insurance on the Claims Auditing Services Agreement with Risky Business Pros as \$1 million and not \$1. Mr. Beverly indicated that Rick Buys did not renew his Errors and Omission (E&O) insurance due to his temporary work at CJPRMA (California Joint Powers Risk Management Authority), but Mr. Buys had E&O



insurance at the time of response to the proposal. The Committee was asked to waive the E&O contract insurance requirements.

1. Executive Committee Meeting Minutes - March 22, 2018
2. Check Register from March 1, 2018 to April 30, 2018
3. Investment Reports
 - a. Chandler Asset Management Short/Long Term - March 2018 to April 2018
 - b. Local Agency Investment Fund (LAIF) Report as of March 31, 2018
 - c. Treasurer's Report as of March 31, 2018
4. Risky Business Pros Claims Auditing Services Agreement for Liability Program

A motion was made to approve the Consent Calendar as amended and to waive the E&O contract insurance requirements from Risky Business Pros Claims Auditing Services Agreement.

Motion: Liz Ehrenstrom **Second:** Tim Sailsbery **Motion Carried**
Ayes: Cottrell, Trupovnieks, Ehrenstrom, Will, Warren, Ryan, Sailsbery

E. ADMINISTRATION REPORTS

E.1. President's Report

Chair Warren thanked the Program Administrators for timely issuance of agendas and for well-written professional reports.

E.2. Program Administrator's Report

Mr. Beverly updated the Committee on the following:

1. CJPRMA (California Joint Powers Risk Management Authority) Excess Liability provider, has officially hired Tony Giles as Assistant General Manager. Tony was the Risk Manager for the City of Sunnyvale, a member of CJPRMA, and he was also a past President of CJPRMA. Tony will eventually assume the General Manager position once David Clovis retires in October 2018.
2. Property Program Appraisal Services Request for Proposal was issued earlier in the month, May 4, 2018. This is for appraisal of members' insured properties valued less than \$5 million.
3. Alliant Property Insurance Program (APIP) is offering a new coverage option called Active Shooter Coverage effective July 1, 2018. The limit is \$500,000 per claim with \$2.5 million aggregate limit for the JPA; the deductible is \$10,000. The projected annual premium is about \$8,000 for all members in the Property Program. APIP will need to have a certain percentage of its insureds purchase the coverage in order to roll out the active shooter program. Additional information will be presented at the June 14, 2018 Board meeting.



F. JPA BUSINESS

F.1. Lexipol Fire Subscription Services Memorandum of Understanding

Mr. Beverly indicated that Lexipol provided the Memorandum of Understanding (MOU) for NCCSIF to execute on behalf of members who opted to use their Fire Policy Subscription service. Members are saving 50% off the regular rate by contracting as a group through NCCSIF. This is a pass through cost to members who opted to subscribe to the service.

A motion was made to approve the Lexipol Fire Subscription Services Memorandum of Understanding as presented and for the President to sign the agreement.

Motion: Lis Ehrenstrom **Second:** Tim Sailsbery **Motion Carried**
Ayes: Cottrell, Trupovnieks, Ehrenstrom, Will, Warren, Ryan, Sailsbery

F.2. Lexipol Police Manual Updates and Daily Training Bulletins Memorandum of Understanding

Mr. Beverly noted that NCCSIF pays for Lexipol Policy Manual Updates and Daily Training Bulletins for member police agencies. The full cost of this service was also moved to the Workers' Compensation Admin budget, since all members participate in that program and all benefit from use of the service. The MOU pricing is for two years, \$136,267 for 2018 and \$140,234 for 2019 which represents a 3% increase from 2017 pricing and 2018 to 2019, respectively.

A motion was made to approve the Lexipol Police Manual Updates and Daily Training Bulletins Memorandum of Understanding as presented and for the President to sign the agreement.

Motion: Liz Ehrenstrom **Second:** Liz Cottrell **Motion Carried**
Ayes: Cottrell, Trupovnieks, Ehrenstrom, Will, Warren, Ryan, Sailsbery

F.3. Police Risk Management Grant Funds and Usage

Mr. Beverly reported that the Police Risk Management Committee would like for the grant to continue as most agencies need to upgrade their body-worn cameras (BWC) and related equipment. The Risk Management Committee also recommended to continue funding of the grants in FY 18/19. Mr. Beverly reviewed the Grant Fund Historic Usage report to the Committee and noted the cities of Anderson, Galt and Jackson have yet to take advantage of their grant.

Ms. Liz Ehrenstrom indicated to allow members to rollover unused funds to the following year to use primarily for BWC program.

A motion was made to approve the \$50,000 Police Risk Management Grant Fund for FY 18/19.



Motion: Liz Ehrenstrom

Second: Gina Will

Motion Carried

Ayes: Cottrell, Trupovnieks, Ehrenstrom, Will, Warren, Ryan, Sailsbery

F.4. FY 18/19 NCCSIF Administration Budget

Mr. Beverly indicated the only change from the preliminary budget for FY 18/19 since the April 2018 Board meeting was the full cost for the Lexipol Police Manual Updates and Daily Training Bulletins was moved to the Workers' Compensation Admin budget, since all members participate in that program. The biggest unknown is the State Funding/Fraud Assessment. Overall, the budget increase is 4% over FY 17/18 largely due to the State Comp Assessment increase, estimated at 18% increase.

Presented as information only.

F.5. Service Provider Contracts Renewal Date

Chair Warren asked the Third-Party Claims Administrator representative to step out of the room.

Ms. Dori Zumwalt stepped out of the room at 12:02 p.m.

Mr. Beverly noted the following key service provider contracts, with the exception of James Marta and Company, will expire on June 30, 2019:

- Alliant Insurance Services, Inc. for Program Administration and Brokerage Services
- Bickmore for Safety and Risk Control Services
- York Risk Services for Liability Claims Administration
- York Risk Services for Workers' Compensation Claims Administration

Mr. Beverly handed out an updated schedule of contracts with suggested term dates to stagger and achieve a long-term management of the service agreements. Mr. Beverly indicated that the Program Administrator is open to cancel and re-write the Alliant contract.

The Committee discussed if members have any issues with the level of service from any of the providers other than the known issues with Workers' Compensation (WC) Claims Management. Chair Warren noted that York WC have shown major improvement since being placed under a Performance Plan.

Mr. Beverly informed the Committee that Program Administrators are willing to step out of the room.

Marcus Beverly and Raychelle Maranan stepped out of the room at 12:10 p.m.

Alliant and York staff re-joined the meeting at 12:28 p.m.



Mr. Tim Sailsbery indicated that the Committee does not want to cancel and re-write contracts. The Executive Committee recommends to extend term dates of the contracts as follows:

- 1) York Risk Services for Workers' Compensation Claims Administration
Extend term to one year ending 6/30/2020 - pending follow up survey in September 2018 and may be subject to an RFP depending on the result.
- 2) York Risk Services for Liability Claims Administration
Extend term to two years ending 6/30/2021.
- 3) James Marta and Company for Financial Accounting & Consulting Services
Maintain current agreement with two optional one-year terms ending 6/30/2022.
- 4) Bickmore for Safety and Risk Control Services
Extend term to three years plus two optional one-year terms ending 6/30/2024.
- 5) Alliant Insurance Services for Broker, Risk Management and Program Administration Services
Extend term to five years plus two optional one-year terms ending 6/30/2026.

A motion was made to approve the extension of contract term dates for York Workers' Compensation Claims Administration to one year, York Liability Claims Administration to two years, Marta for Financial Accounting Services the same as current agreement, Bickmore for Safety and Risk Control Services to three years plus two optional one-year terms, and Alliant for Program Administration Services to five years plus two optional one-year terms; and to inform the Board of Directors of the extension dates.

Motion: Tim Sailsbery

Second: Liz Ehrenstrom

Motion Carried

Ayes: Cottrell, Trupovnieks, Ehrenstrom, Will, Warren, Ryan, Sailsbery

F.6. Round Table Discussion

Mr. Beverly stated the value of having a City Attorney on the Board of Directors.

G. INFORMATION ITEMS

1. NCCSIF Organizational Chart
2. NCCSIF 2018 Meeting Calendar
3. NCCSIF Travel Reimbursement

There was no discussion on these items.

ADJOURNMENT

This meeting was adjourned at 12:34 p.m.



NEXT MEETING DATE: September 27, 2018 in Rocklin, CA

Respectfully Submitted,

Secretary

Approval Date

DRAFT

Company Name: NCCSIF
Report Name: NCCSIF Check Register Board Report
Created On: 8/22/2018

Check#	Date	Vendor	Account title	Account	Amount	Memo
11348	5/4/2018	Raychelle Maranan	Board Meetings	52503	1,058.90	Catering Reimbursements**BOD/RMC & PRMC Meetings
11349	5/4/2018	James Marta and Co.	Accounting Services	52403	16,430.00	Monthly Accounting Services
11350	5/4/2018	City of Anderson	Seminars and PARMA	52207	3,149.22	5.1.18 CalPELRA Reimbursement
11351	5/4/2018	Champion Awards Enterprises	Board Meetings	52503	215.29	Plastic Plates
11352	5/4/2018	City of Folsom	Banking Layer Refund	41050	810,314.00	Refund 6.30.18
			Shared Risk Refund	41060	174,545.00	Refund 6.30.18
11353	5/4/2018	City of Nevada City	Banking Layer Refund	41050	6,946.00	2017-18 Refund
			Shared Risk Refund	41060	9,840.00	2017-18 Refund
11354	5/4/2018	Gina Will	Board Meetings	52503	87.20	04.26.18 RMC and BOD Mtg
11355	5/4/2018	Jim Ramsey	Risk Mgmt Comm Mtg Exp	52202	35.97	04.26.18 Ramsey Travel RM and BOD
11356	5/4/2018	Sandy Ryan	Board Meetings	52503	151.51	04.26.18 RM and BOD Mtg
11357	5/4/2018	Bickmore Risk Services Inc.	On Site	52204	14,726.67	Safety and Risk Control Services
			Safety Grant Funds	54200	4,500.00	Risk Management for City of Folsom
11358	5/4/2018	Chandler Asset Management	Investment Income	44040	4,377.95	Apr-18
11359	5/4/2018	Alliant Insurance Services	Program Admin Fee	52401	25,292.08	Monthly Consulting Services
11360	5/4/2018	Liz Ehrenstrom	Board Meetings	52503	130.80	4.26 BOD&RMC and 5.2 PRMC mtg
11361	5/4/2018	City of Colusa	Board Meetings	52503	69.76	04.26.18 Benson Reimb Member Travel
11362	5/4/2018	City of Oroville	Seminars and PARMA	52207	813.19	05.03 CALPELRA Conf
11363	5/4/2018	City of Placerville	Banking Layer Refund	41050	65,852.00	Refund 06.30.18
			Shared Risk Refund	41060	27,695.00	Refund 06.30.18
			Board Meetings	52503	41.75	04.26.18 RM and BOD Mtg
11364	5/4/2018	Kim Stalie	Risk Mgmt Comm Mtg Exp	52202	50.14	04.26.18 RMC Meeting
11365	5/4/2018	Kristine Haile	Board Meetings	52503	15.91	4.26.18 RM and BOD
11366	5/4/2018	Liz Cottrell	Board Meetings	52503	183.12	4.26.18 Board Mtg
11367	5/4/2018	Tom Watson	Risk Mgmt Comm Mtg Exp	52202	95.92	04.26.18 RM and BOD Mtg
11368	5/4/2018	City of Dixon	Banking Layer Refund	41050	125,674.00	Refund 06.30.18
			Shared Risk Refund	41060	35,350.00	Refund 06.30.18
11369	5/18/2018	Yvonne Kimball	Board Meetings	52503	55.59	4.26.18 RC and BOD mtg
11370	5/18/2018	Rachel Ancheta	Risk Mgmt Comm Mtg Exp	52202	71.28	11.2.17 and 5.2.18 RM Mtg
			Board Meetings	52503	48.94	4.26.18 RM Mtg
11371	5/18/2018	Raychelle Maranan	Executive Committee	52501	235.47	05.17.18 Catering Reimbursement EC Meeting
11372	5/18/2018	York	Claims Admin - Monthly WC	52300	47,278.75	Monthly Claims Administration Fee
11373	5/18/2018	York Risk Services Group, Inc.	Claims Admin - Liability	51135	41,536.46	Apr 2018 Liab Service Fees
11374	5/18/2018	Gibbons and Conley	Legal Services	52103	789.25	April 2018 General Matters
11375	5/18/2018	DKF Solutions Group, LLC	Risk Mgmt Comm Services	52201	4,200.00	Tactical Comm. Training - Yuba City
11376	5/18/2018	Kristina Miller	Board Meetings	52503	92.54	4.26.18 BOD Mtg

** 4.26.18 BOD & RMC Meetings and
 5.2.18 PRMC Meeting

Company Name: NCCSIF
Report Name: NCCSIF Check Register Board
Created On: 8/22/2018

Check#	Date	Vendor	Account title	Account	Amount	Memo
11377	6/8/2018	Yujun Du	Board Meetings	52503	76.30	4.26.18 BOD and RMC Mtg
11378	6/8/2018	Gina Will	Member Travel	52502	87.20	05.17.18 Exec and Clms Mtg
11379	6/8/2018	Bickmore Risk Services Inc.	Actuarial Services	52104	9,780.00	Actuarial Review
			Safety Grant Funds	54200	9,000.00	Risk Management for City of Folsom
			On Site	52204	14,726.66	Safety and Risk Control Services
11380	6/8/2018	James C. Wheeler	Risk Mgmt Comm Services	52201	1,300.00	5.16.18 Workshop
11381	6/8/2018	Liz Cottrell	Member Travel	52502	183.12	05.17.18 EC and Claims Mtg
11382	6/8/2018	City of Dixon	Safety Contingency	52209	2,934.38	05.18 Dixon Wolfcom Cams
11383	6/8/2018	City of Oroville	Risk Mgmt Comm Services	52201	224.62	5.23.18 Training
11384	6/8/2018	City of lone	Safety Contingency	52209	1,234.14	2 LE5 Body cameras
11385	6/8/2018	City of Marysville	Banking Layer Refund	41050	69,219.00	2018 Refund
			Shared Risk Refund	41060	20,644.00	2018 Refund
11386	6/8/2018	City of Placerville	Member Travel	52502	41.75	05.17.18 EC Mtg
11387	6/8/2018	Liz Ehrenstrom	Risk Mgmt Comm Mtg Exp	52202	65.40	05.17.18 EC Mtg
11388	6/8/2018	Sandy Ryan	Risk Mgmt Comm Mtg Exp	52202	151.51	05.17.18 EC Mtg
11389	6/8/2018	Chandler Asset Management	Investment Income	44040	4,382.80	May-18
11390	6/8/2018	James Marta and Co.	Accounting Services	52403	8,215.00	Monthly Accounting Services
11391	6/8/2018	York Risk Services Group, Inc.	Claims Admin - Liability	51135	39,011.81	May 2018 Liab Service Fees
11392	6/8/2018	Alliant Insurance Services	Program Admin Fee	52401	25,292.08	Monthly Consulting Services
11393	6/8/2018	York	Claims Admin - Monthly WC	52300	47,278.76	Monthly Claims Administration Fee
11394	6/12/2018	Yujun Du	Seminars and PARMA	52207	1,070.71	2.21-2.23.18 CSMFO Conf
11395	6/22/2018	Kristine Haile	Board Meetings	52503	14.98	05/08/17 Haile Travel
11396	6/22/2018	Gibbons and Conley	Legal Services	52103	735.00	April 2018 General Matters
11397	6/22/2018	Raychelle Maranan	Board Meetings	52503	472.80	06.14.18 Catering Reimbursement BOD Meeting
11398	6/22/2018	Alliant Insurance Services	Prepaid Expense	13500	51,449.00	17-19 ACIP Crime
11399	6/22/2018	CAJPA	Prepaid Expense	13500	450.00	2017-2018 CAJPA Membership Renewal
11400	6/22/2018	City of Red Bluff	Risk Mgmt Comm Services	52201	108.05	06.29.17 Red Bluff Reimb - Catering
			Seminars and PARMA	52207	4,000.00	17-18 Safety and RM Mangement Reimbursement
11401	6/22/2018	City of Rio Vista	Seminars and PARMA	52207	871.00	08-18 IAFC Conf
11402	6/22/2018	City of Rocklin	Risk Mgmt Comm Services	52201	421.76	6.15.18 Reimbursement
11403	6/22/2018	LEXIPOL LLC	Prepaid Expense	13500	136,267.00	07/01/18-06/30/19 Law Policy Manual
11404	6/25/2018	York Risk Services Group, Inc.	Claims Refunds	51110	3,219.98	Refund NPWA-559043 Debbie Patterson

Company Name: NCCSIF
Report Name: NCCSIF Check Register Board Report
Created On: 8/22/2018

Check#	Date	Vendor	Account title	Account	Amount	Memo
11405	7/11/2018	Alliant Insurance Services	Prepaid Expense Program Admin Fee	13500 52401	1,179,747.14 25,798.00	18/19 APIP, ID, Deadly Weapon premiums Monthly Consulting Services
11406	7/11/2018	City of Placerville	Member Travel	52502	41.75	06.14.18 BOD Mtg
11407	7/11/2018	Gina Will	Board Meetings	52503	87.20	06.14.18 BOD Mtg
11408	7/11/2018	Kristine Haile	Board Meetings	52503	15.70	06.14.18 BOD
11409	7/11/2018	Liz Cottrell	Board Meetings	52503	183.12	06.14.18 BOD Mtg
11410	7/11/2018	Liz Ehrenstrom	Board Meetings	52503	65.40	06.14.18 BOD
11411	7/11/2018	Sandy Ryan	Risk Mgmt Comm Mtg Exp	52202	162.41	06.14.18 BOD Mtg
11412	7/11/2018	Loree McCay	Board Meetings	52503	46.87	6.14.18 BOD Mtg
11413	7/11/2018	Jennifer Styczynski	Board Meetings	52503	36.52	06.14.18 BOD Mtg
11414	7/11/2018	City of Rio Vista	Seminars and PARMA	52207	1,226.43	09-18 CAJPA Conf Reimb
11415	7/11/2018	City of Yuba City	Risk Mgmt Comm Svcs Seminars and PARMA	52201 52207	74.36 695.00	DKF Training Snacks and Drinks CALPELRA Springer
11416	7/11/2018	Risky Business Pros	Claims Audit	52101	7,500.00	17/18 Liability Claims Audit services
11417	7/11/2018	City of Rocklin	Seminars and PARMA	52207	3,838.84	2.13-2.16.18 Rocklin PARMA Reimb
11418	7/11/2018	Bickmore Risk Services Inc.	On Site Safety Grant Funds	52204 54200	14,726.67 4,500.00	Safety and Risk Control Services Risk Management for City of Folsom
11419	7/11/2018	Chandler Asset Management	Investment Income	44040	4,392.64	Jun-18
11420	7/11/2018	James Marta and Co.	Accounting Services	52403	8,215.00	Monthly Accounting Services
11421	7/11/2018	York Risk Services Group, Inc.	Claims Admin-Liab	51135	35,809.51	June 2018 Liab Service Fees
11422	7/11/2018	City of Corning	Risk Mgmt Comm Svcs	52201	295.35	Catering for Training
11423	7/20/2018	CSAC EIA	Prepaid Expense	13500	1,569,509.00	Excess WC Program
11424	7/20/2018	Gibbons and Conley	Legal Services	52103	484.31	April 2018 General Matters
11425	7/20/2018	CJPRMA	Prepaid Expense	13500	1,173,339.00	18/19 General Liability Premium
11426	7/20/2018	LEXIPOL LLC	Prepaid Expense	13500	27,098.00	07/01/18-06/30/19 Fire Procedure Manual
11427	7/20/2018	NONPROFIT	Claims Refunds	51110	3,219.98	Refund NPWA-559043 Debbie Patterson

Company Name: NCCSIF
Report Name: NCCSIF Check Register Board Report
Created On: 8/22/2018

Check#	Date	Vendor Name	Account title	Account	Amount	Memo
11428	8/6/2018	Raychelle Maranan	Risk Mgmt Comm Mtg Exp	52202	423.96	08.02.18 Catering Reimbursement PRMC Meeting
11429	8/6/2018	York	Claims Admin - Monthly WC	52300	48,224.17	Monthly Claims Administration Fee
			Claims Admin Fee	52302	50,600.00	Annual Claims Administration Fees
11430	8/6/2018	York Risk Services Group, Inc.	Claims Admin - Liability	51135	22,265.98	July 2018 Liab Service Fees
11431	8/6/2018	Bickmore Risk Services Inc.	On Site	52204	14,726.67	Safety and Risk Control Services
			Safety Grant Funds	54200	4,500.00	Risk Management for City of Folsom
11432	8/6/2018	James Marta and Co.	Accounting Services	52403	8,215.00	Monthly Accounting Services
11433	8/6/2018	Alliant Insurance Services	Program Admin Fee	52401	25,798.00	Monthly Consulting Services
11434	8/6/2018	Chandler Asset Management	Investment Income	44040	4,391.27	Jul-18
11435	8/6/2018	City of Dixon	Risk Mgmt Comm Services	52201	522.51	8.3 NCCSIF Regional Training Reimbursement
11436	8/21/2018	York	Claims Admin - Monthly WC	52300	48,224.15	Monthly Claims Administration Fee
11437	8/21/2018	Liz Ehrenstrom	Board Meetings	52503	65.40	08.18Ehrenstrom65.40
11438	8/21/2018	Gibbons and Conley	Legal Services	52103	717.50	July 2018 General Matters

Monthly Account Statement

Northern CA Cities Self Ins. Fund Short Term

May 1, 2018 through May 31, 2018

Chandler Team

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Custodian

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PORTFOLIO CHARACTERISTICS

Average Duration	2.34
Average Coupon	1.78 %
Average Purchase YTM	1.85 %
Average Market YTM	2.59 %
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	2.57 yrs
Average Life	2.44 yrs

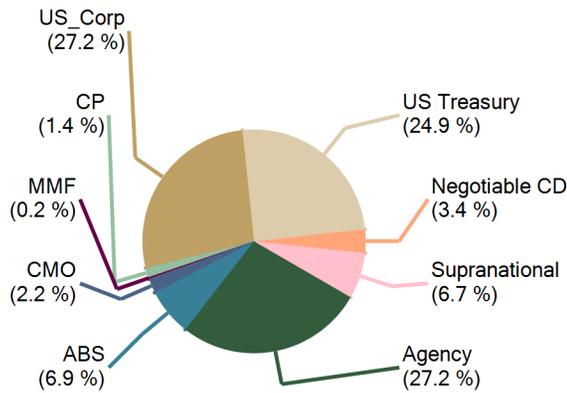
ACCOUNT SUMMARY

	Beg. Values as of 4/30/18	End Values as of 5/31/18
Market Value	25,304,983	25,418,415
Accrued Interest	108,007	113,952
Total Market Value	25,412,991	25,532,366
Income Earned	38,249	39,457
Cont/WD		0
Par	25,887,251	25,926,782
Book Value	25,844,066	25,877,579
Cost Value	25,820,572	25,855,550

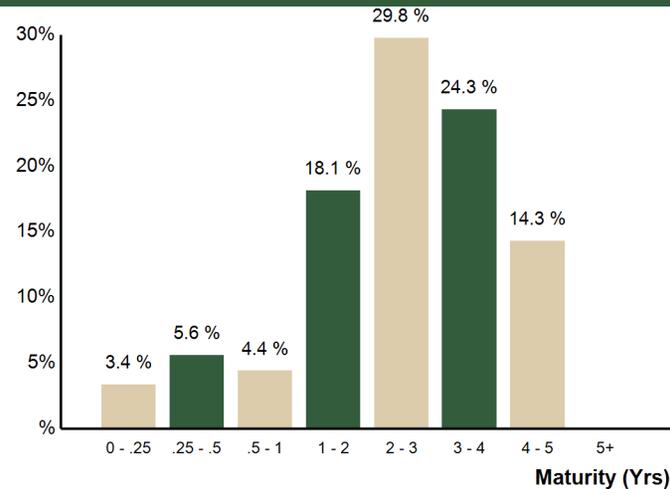
TOP ISSUERS

Issuer	% Portfolio
Government of United States	24.9 %
Federal National Mortgage Assoc	16.4 %
Federal Home Loan Mortgage Corp	7.8 %
Federal Home Loan Bank	5.2 %
Inter-American Dev Bank	3.8 %
Toyota ABS	2.5 %
Intl Bank Recon and Development	2.1 %
US Bancorp	2.0 %
Total	64.8 %

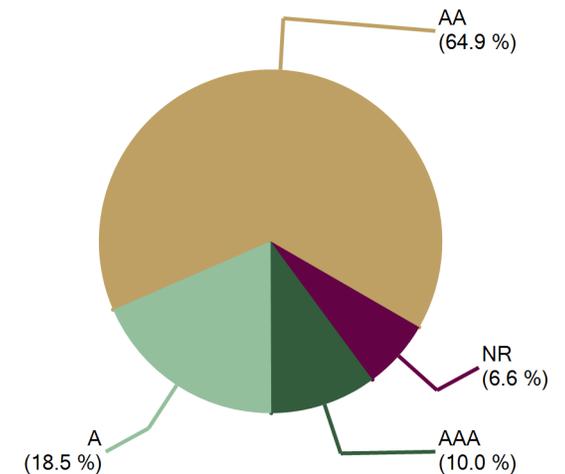
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

Total Rate of Return As of 5/31/2018	Current Month	Latest 3 Months	Year To Date	1 Yr	Annualized			Since 12/31/1997	
					3 Yrs	5 Yrs	10 Yrs		
Northern CA Cities Self Ins. Fund Short Term	0.47 %	0.50 %	-0.16 %	-0.14 %	0.77 %	1.00 %	2.19 %	3.82 %	115.09 %
ICE BAML 1-5 Yr US Treasury/Agency Index	0.48 %	0.45 %	-0.23 %	-0.47 %	0.49 %	0.74 %	1.84 %	3.49 %	101.63 %
ICE BAML 1-5 Yr US Issuers Corp/Govt Rtd AAA-A Idx	0.48 %	0.46 %	-0.25 %	-0.39 %	0.61 %	0.86 %	1.97 %	3.60 %	106.05 %



Northern California Cities Self-Insurance Fund - Short Term

May 31, 2018

COMPLIANCE WITH INVESTMENT POLICY

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody's; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody's ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody's	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% per issuer (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies



Reconciliation Summary

As of 5/31/2018

BOOK VALUE RECONCILIATION	
Beginning Book Value	\$25,844,065.66
Acquisition	
+ Security Purchases	\$487,871.15
+ Money Market Fund Purchases	\$444,891.03
+ Money Market Contributions	\$0.00
+ Security Contributions	\$0.00
+ Security Transfers	\$0.00
Total Acquisitions	\$932,762.18
Dispositions	
- Security Sales	\$0.00
- Money Market Fund Sales	\$489,815.59
- MMF Withdrawals	\$0.00
- Security Withdrawals	\$0.00
- Security Transfers	\$0.00
- Other Dispositions	\$0.00
- Maturities	\$275,000.00
- Calls	\$0.00
- Principal Paydowns	\$135,544.03
Total Dispositions	\$900,359.62
Amortization/Accretion	
+/- Net Accretion	\$1,110.37
	\$1,110.37
Gain/Loss on Dispositions	
+/- Realized Gain/Loss	\$0.00
	\$0.00
Ending Book Value	\$25,877,578.59

CASH TRANSACTION SUMMARY	
BEGINNING BALANCE	\$97,823.02
Acquisition	
Contributions	\$0.00
Security Sale Proceeds	\$0.00
Accrued Interest Received	\$0.00
Interest Received	\$34,186.30
Dividend Received	\$160.70
Principal on Maturities	\$275,000.00
Interest on Maturities	\$0.00
Calls/Redemption (Principal)	\$0.00
Interest from Calls/Redemption	\$0.00
Principal Paydown	\$135,544.03
Total Acquisitions	\$444,891.03
Disposition	
Withdrawals	\$0.00
Security Purchase	\$487,871.15
Accrued Interest Paid	\$1,944.44
Total Dispositions	\$489,815.59
Ending Book Value	\$52,898.46

Monthly Account Statement

Northern Cal. Cities Self Ins. Fund Long Term

May 1, 2018 through May 31, 2018

Chandler Team

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Custodian

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PORTFOLIO CHARACTERISTICS

Average Duration	3.35
Average Coupon	2.09 %
Average Purchase YTM	2.12 %
Average Market YTM	2.73 %
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	3.68 yrs
Average Life	3.58 yrs

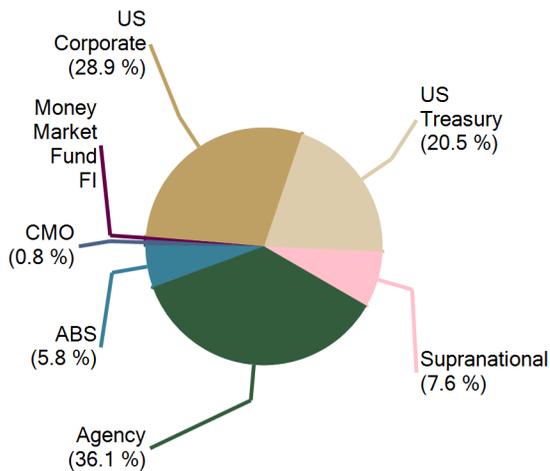
ACCOUNT SUMMARY

	Beg. Values as of 4/30/18	End Values as of 5/31/18
Market Value	26,077,635	26,256,081
Accrued Interest	139,052	123,208
Total Market Value	26,216,686	26,379,289
Income Earned	45,655	46,645
Cont/WD		0
Par	26,761,530	26,833,120
Book Value	26,702,189	26,762,725
Cost Value	26,722,840	26,780,486

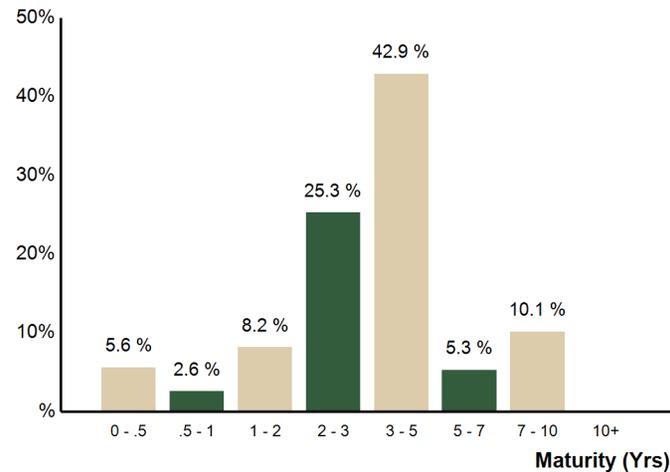
TOP ISSUERS

Issuer	% Portfolio
Government of United States	20.5 %
Federal National Mortgage Assoc	13.6 %
Federal Home Loan Bank	13.5 %
Federal Home Loan Mortgage Corp	6.3 %
Inter-American Dev Bank	4.8 %
Tennessee Valley Authority	3.4 %
John Deere ABS	2.3 %
Intl Bank Recon and Development	2.1 %
Total	66.5 %

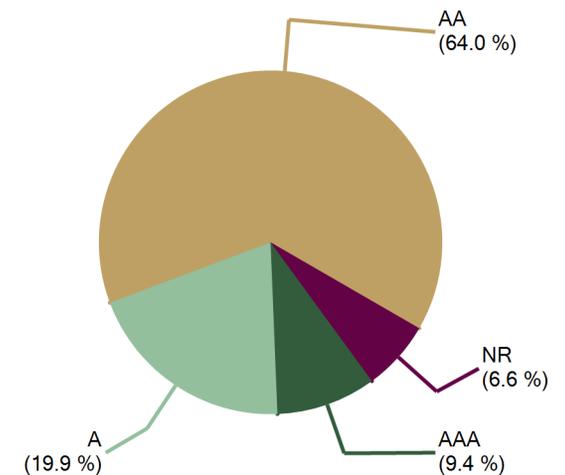
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

Total Rate of Return As of 5/31/2018	Current Month	Latest 3 Months	Year To Date	1 Yr	Annualized			5/31/2006	Since 5/31/2006
					3 Yrs	5 Yrs	10 Yrs		
Northern Cal. Cities Self Ins. Fund Long Term	0.62 %	0.62 %	-0.49 %	-0.49 %	0.96 %	1.29 %	2.94 %	3.65 %	53.71 %
ICE BAML 1-10 Yr US Treasury/Agency Index	0.62 %	0.59 %	-0.61 %	-0.91 %	0.52 %	0.91 %	2.49 %	3.25 %	46.85 %
ICE BAML 1-10 Yr US Corporate/Govt Rated AAA-A Idx	0.60 %	0.51 %	-0.74 %	-0.75 %	0.78 %	1.19 %	2.74 %	3.40 %	49.33 %



Northern California Cities Self-Insurance Fund - Long Term
May 31, 2018

COMPLIANCE WITH INVESTMENT POLICY

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies



Reconciliation Summary

As of 5/31/2018

BOOK VALUE RECONCILIATION	
Beginning Book Value	\$26,702,188.92
Acquisition	
+ Security Purchases	\$689,443.90
+ Money Market Fund Purchases	\$192,721.86
+ Money Market Contributions	\$0.00
+ Security Contributions	\$0.00
+ Security Transfers	\$0.00
Total Acquisitions	\$882,165.76
Dispositions	
- Security Sales	\$602,785.45
- Money Market Fund Sales	\$136,747.43
- MMF Withdrawals	\$0.00
- Security Withdrawals	\$0.01
- Security Transfers	\$0.00
- Other Dispositions	\$0.00
- Maturities	\$0.00
- Calls	\$0.00
- Principal Paydowns	\$80,383.60
Total Dispositions	\$819,916.49
Amortization/Accretion	
+/- Net Accretion	\$239.99
	\$239.99
Gain/Loss on Dispositions	
+/- Realized Gain/Loss	(\$1,953.34)
	(\$1,953.34)
Ending Book Value	\$26,762,724.84

CASH TRANSACTION SUMMARY	
BEGINNING BALANCE	\$57,381.33
Acquisition	
Contributions	\$0.00
Security Sale Proceeds	\$602,785.45
Accrued Interest Received	\$4,604.05
Interest Received	\$64,776.18
Dividend Received	\$96.55
Principal on Maturities	\$0.00
Interest on Maturities	\$0.00
Calls/Redemption (Principal)	\$0.00
Interest from Calls/Redemption	\$0.00
Principal Paydown	\$80,383.60
Total Acquisitions	\$752,645.83
Disposition	
Withdrawals	\$0.00
Security Purchase	\$689,443.90
Accrued Interest Paid	\$7,227.50
Total Dispositions	\$696,671.40
Ending Book Value	\$113,355.76

Monthly Account Statement

Northern CA Cities Self Ins. Fund Short Term

June 1, 2018 through June 30, 2018

Chandler Team

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PORTFOLIO CHARACTERISTICS

Average Duration	2.33
Average Coupon	1.80 %
Average Purchase YTM	1.88 %
Average Market YTM	2.72 %
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	2.57 yrs
Average Life	2.43 yrs

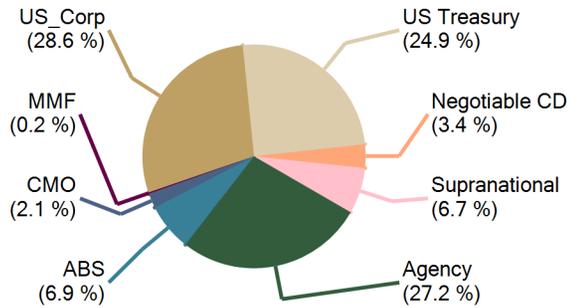
ACCOUNT SUMMARY

	Beg. Values as of 5/31/18	End Values as of 6/30/18
Market Value	25,418,415	25,399,847
Accrued Interest	113,952	128,798
Total Market Value	25,532,366	25,528,644
Income Earned	39,457	39,809
Cont/WD		-638
Par	25,926,782	25,961,360
Book Value	25,877,579	25,901,724
Cost Value	25,855,550	25,878,578

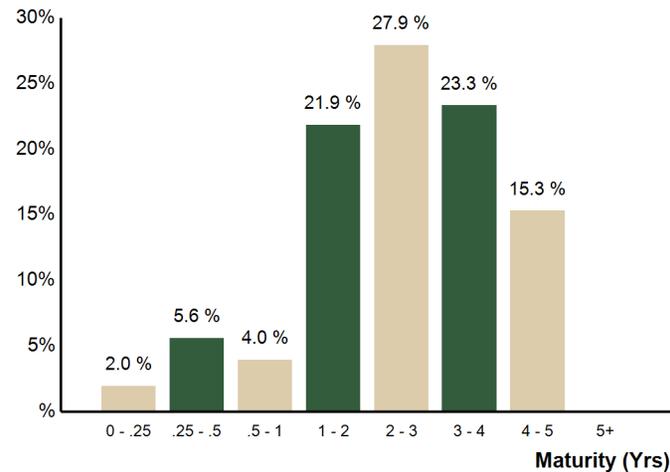
TOP ISSUERS

Issuer	% Portfolio
Government of United States	24.9 %
Federal National Mortgage Assoc	16.4 %
Federal Home Loan Mortgage Corp	7.8 %
Federal Home Loan Bank	5.1 %
Inter-American Dev Bank	3.8 %
Toyota ABS	2.4 %
Intl Bank Recon and Development	2.1 %
US Bancorp	2.0 %
	64.5 %

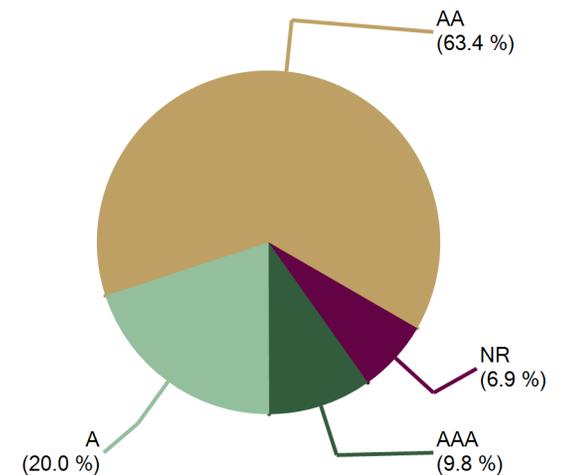
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

Total Rate of Return As of 6/30/2018	Current Month	Latest 3 Months	Year To Date	1 Yr	Annualized			Since 12/31/1997	
					3 Yrs	5 Yrs	10 Yrs		
Northern CA Cities Self Ins. Fund Short Term	-0.01 %	0.26 %	-0.17 %	-0.08 %	0.82 %	1.10 %	2.17 %	3.81 %	115.06 %
ICE BAML 1-5 Yr US Treasury/Agency Index	0.00 %	0.13 %	-0.24 %	-0.33 %	0.52 %	0.82 %	1.81 %	3.48 %	101.62 %
ICE BAML 1-5 Yr US Issuers Corp/Govt Rtd AAA-A Idx	-0.02 %	0.15 %	-0.28 %	-0.28 %	0.64 %	0.96 %	1.94 %	3.59 %	106.00 %



Northern California Cities Self-Insurance Fund - Short Term

June 30, 2018

COMPLIANCE WITH INVESTMENT POLICY

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody's; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody's ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody's	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% per issuer (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies



Reconciliation Summary

As of 6/30/2018

BOOK VALUE RECONCILIATION	
Beginning Book Value	\$25,877,578.59
Acquisition	
+ Security Purchases	\$576,369.24
+ Money Market Fund Purchases	\$572,765.05
+ Money Market Contributions	\$0.00
+ Security Contributions	\$0.00
+ Security Transfers	\$0.00
Total Acquisitions	\$1,149,134.29
Dispositions	
- Security Sales	\$79,802.40
- Money Market Fund Sales	\$579,070.00
- MMF Withdrawals	\$637.50
- Security Withdrawals	\$0.00
- Security Transfers	\$0.00
- Other Dispositions	\$0.00
- Maturities	\$353,094.83
- Calls	\$0.00
- Principal Paydowns	\$113,479.66
Total Dispositions	\$1,126,084.39
Amortization/Accretion	
+/- Net Accretion	\$1,275.87
	\$1,275.87
Gain/Loss on Dispositions	
+/- Realized Gain/Loss	(\$180.71)
	(\$180.71)
Ending Book Value	\$25,901,723.65

CASH TRANSACTION SUMMARY	
BEGINNING BALANCE	\$52,898.46
Acquisition	
Contributions	\$0.00
Security Sale Proceeds	\$79,802.40
Accrued Interest Received	\$8.67
Interest Received	\$24,265.16
Dividend Received	\$209.16
Principal on Maturities	\$353,094.83
Interest on Maturities	\$1,905.17
Calls/Redemption (Principal)	\$0.00
Interest from Calls/Redemption	\$0.00
Principal Paydown	\$113,479.66
Total Acquisitions	\$572,765.05
Disposition	
Withdrawals	\$637.50
Security Purchase	\$576,369.24
Accrued Interest Paid	\$2,700.76
Total Dispositions	\$579,707.50
Ending Book Value	\$45,956.01

Monthly Account Statement

Northern Cal. Cities Self Ins. Fund Long Term

June 1, 2018 through June 30, 2018

Chandler Team

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PORTFOLIO CHARACTERISTICS

Average Duration	3.28
Average Coupon	2.09 %
Average Purchase YTM	2.13 %
Average Market YTM	2.82 %
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	3.62 yrs
Average Life	3.52 yrs

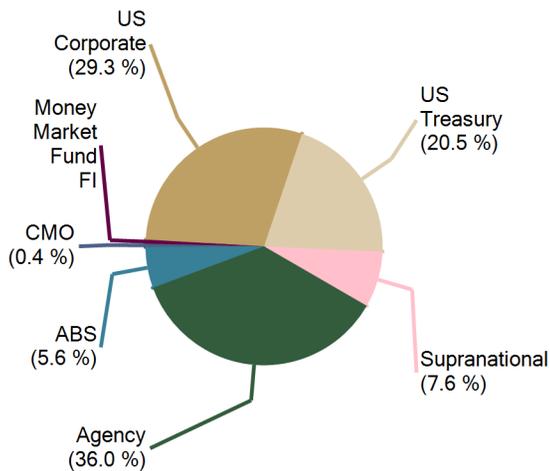
ACCOUNT SUMMARY

	Beg. Values as of 5/31/18	End Values as of 6/30/18
Market Value	26,256,081	26,211,063
Accrued Interest	123,208	152,484
Total Market Value	26,379,289	26,363,547
Income Earned	46,645	46,908
Cont/WD		0
Par	26,833,120	26,850,412
Book Value	26,762,725	26,780,357
Cost Value	26,780,486	26,795,196

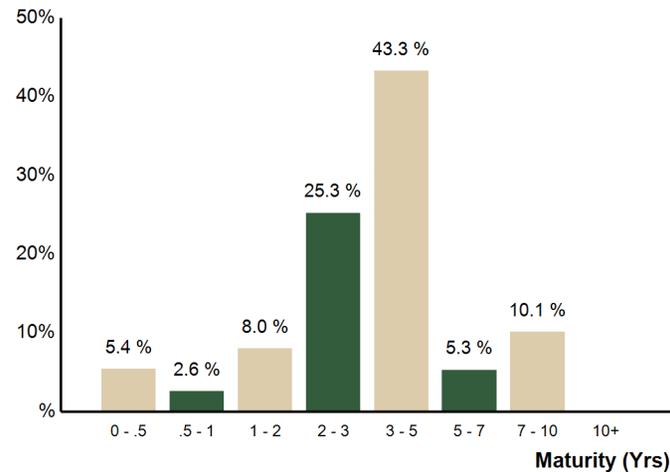
TOP ISSUERS

Issuer	% Portfolio
Government of United States	20.5 %
Federal National Mortgage Assoc	13.6 %
Federal Home Loan Bank	13.5 %
Federal Home Loan Mortgage Corp	6.0 %
Inter-American Dev Bank	4.8 %
Tennessee Valley Authority	3.4 %
John Deere ABS	2.2 %
Intl Bank Recon and Development	2.1 %
	66.1 %

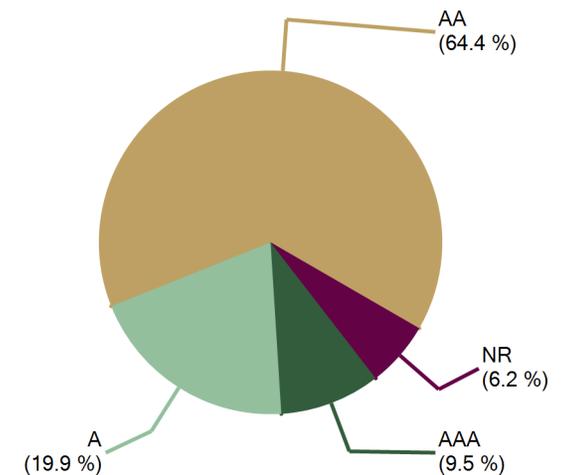
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

Total Rate of Return As of 6/30/2018	Current Month	Latest 3 Months	Year To Date	1 Yr	Annualized			5/31/2006	Since 5/31/2006
					3 Yrs	5 Yrs	10 Yrs		
Northern Cal. Cities Self Ins. Fund Long Term	-0.06 %	0.17 %	-0.55 %	-0.42 %	1.09 %	1.54 %	2.90 %	3.62 %	53.62 %
ICE BAML 1-10 Yr US Treasury/Agency Index	-0.01 %	0.07 %	-0.62 %	-0.67 %	0.65 %	1.10 %	2.44 %	3.23 %	46.83 %
ICE BAML 1-10 Yr US Corporate/Govt Rated AAA-A Idx	-0.04 %	0.07 %	-0.78 %	-0.58 %	0.93 %	1.41 %	2.72 %	3.37 %	49.27 %



Northern California Cities Self-Insurance Fund - Long Term
June 30, 2018

COMPLIANCE WITH INVESTMENT POLICY

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies



Reconciliation Summary

As of 6/30/2018

BOOK VALUE RECONCILIATION	
Beginning Book Value	\$26,762,724.84
Acquisition	
+ Security Purchases	\$114,968.95
+ Money Market Fund Purchases	\$147,422.71
+ Money Market Contributions	\$0.00
+ Security Contributions	\$0.00
+ Security Transfers	\$0.00
Total Acquisitions	\$262,391.66
Dispositions	
- Security Sales	\$0.00
- Money Market Fund Sales	\$114,968.95
- MMF Withdrawals	\$0.00
- Security Withdrawals	\$0.00
- Security Transfers	\$0.00
- Other Dispositions	\$0.00
- Maturities	\$0.00
- Calls	\$0.00
- Principal Paydowns	\$130,162.11
Total Dispositions	\$245,131.06
Amortization/Accretion	
+/- Net Accretion	\$371.61
	\$371.61
Gain/Loss on Dispositions	
+/- Realized Gain/Loss	\$0.00
	\$0.00
Ending Book Value	\$26,780,357.05

CASH TRANSACTION SUMMARY	
BEGINNING BALANCE	\$113,355.76
Acquisition	
Contributions	\$0.00
Security Sale Proceeds	\$0.00
Accrued Interest Received	\$0.00
Interest Received	\$17,114.08
Dividend Received	\$146.52
Principal on Maturities	\$0.00
Interest on Maturities	\$0.00
Calls/Redemption (Principal)	\$0.00
Interest from Calls/Redemption	\$0.00
Principal Paydown	\$130,162.11
Total Acquisitions	\$147,422.71
Disposition	
Withdrawals	\$0.00
Security Purchase	\$114,968.95
Accrued Interest Paid	\$0.00
Total Dispositions	\$114,968.95
Ending Book Value	\$145,809.52

Monthly Account Statement

Northern CA Cities Self Ins. Fund Short Term

July 1, 2018 through July 31, 2018

Chandler Team

For questions about your account,
please call (800) 317-4747 or
Email operations@chandlerasset.com

Custodian

Bank of New York Mellon
Lauren Dehner
(904) 645-1918

Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.



PORTFOLIO CHARACTERISTICS

Average Duration	2.35
Average Coupon	1.85 %
Average Purchase YTM	1.93 %
Average Market YTM	2.81 %
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	2.59 yrs
Average Life	2.45 yrs

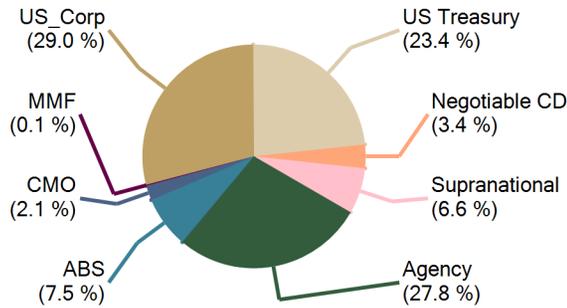
ACCOUNT SUMMARY

	Beg. Values as of 6/30/18	End Values as of 7/31/18
Market Value	25,399,847	25,420,919
Accrued Interest	128,798	107,287
Total Market Value	25,528,644	25,528,206
Income Earned	39,809	40,875
Cont/WD		-307
Par	25,961,360	26,013,562
Book Value	25,901,724	25,954,004
Cost Value	25,878,578	25,932,736

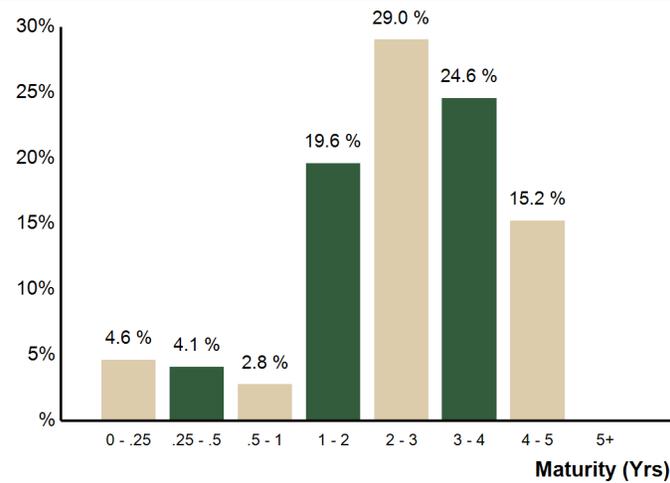
TOP ISSUERS

Issuer	% Portfolio
Government of United States	23.4 %
Federal National Mortgage Assoc	15.7 %
Federal Home Loan Mortgage Corp	9.1 %
Federal Home Loan Bank	5.1 %
Inter-American Dev Bank	3.8 %
Toyota ABS	2.2 %
John Deere ABS	2.1 %
Intl Bank Recon and Development	2.1 %
	63.6 %

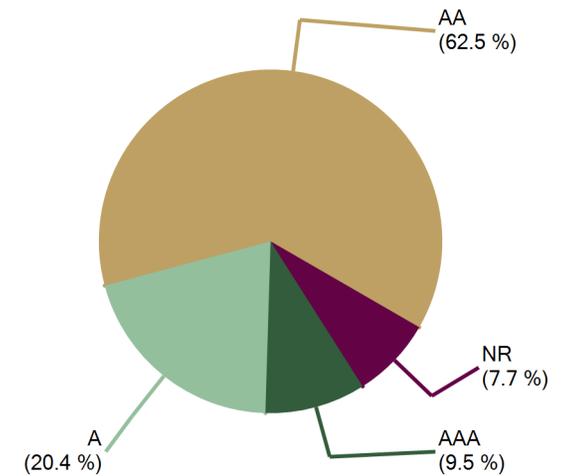
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

Total Rate of Return As of 7/31/2018	Current Month	Latest 3 Months	Year To Date	1 Yr	Annualized			12/31/1997	Since 12/31/1997
					3 Yrs	5 Yrs	10 Yrs		
Northern CA Cities Self Ins. Fund Short Term	0.00 %	0.46 %	-0.17 %	-0.39 %	0.76 %	1.04 %	2.12 %	3.79 %	115.06 %
ICE BAML 1-5 Yr US Treasury/Agency Index	-0.09 %	0.38 %	-0.33 %	-0.69 %	0.42 %	0.76 %	1.75 %	3.46 %	101.44 %
ICE BAML 1-5 Yr US Issuers Corp/Govt Rtd AAA-A Idx	-0.04 %	0.41 %	-0.32 %	-0.63 %	0.56 %	0.89 %	1.90 %	3.57 %	105.92 %



Northern California Cities Self-Insurance Fund - Short Term

July 31, 2018

COMPLIANCE WITH INVESTMENT POLICY

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody's; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody's ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody's	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% per issuer (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies



Reconciliation Summary

As of 7/31/2018

BOOK VALUE RECONCILIATION	
Beginning Book Value	\$25,901,723.65
Acquisition	
+ Security Purchases	\$852,297.67
+ Money Market Fund Purchases	\$378,213.48
+ Money Market Contributions	\$0.00
+ Security Contributions	\$0.00
+ Security Transfers	\$0.00
Total Acquisitions	\$1,230,511.15
Dispositions	
- Security Sales	\$683,948.77
- Money Market Fund Sales	\$389,889.61
- MMF Withdrawals	\$307.19
- Security Withdrawals	\$0.00
- Security Transfers	\$0.00
- Other Dispositions	\$0.00
- Maturities	\$0.00
- Calls	\$0.00
- Principal Paydowns	\$95,814.50
Total Dispositions	\$1,169,960.07
Amortization/Accretion	
+/- Net Accretion	\$1,527.34
	\$1,527.34
Gain/Loss on Dispositions	
+/- Realized Gain/Loss	(\$9,798.09)
	(\$9,798.09)
Ending Book Value	\$25,954,003.98

CASH TRANSACTION SUMMARY	
BEGINNING BALANCE	\$45,956.01
Acquisition	
Contributions	\$0.00
Security Sale Proceeds	\$683,948.77
Accrued Interest Received	\$3,793.28
Interest Received	\$58,577.16
Dividend Received	\$92.00
Principal on Maturities	\$0.00
Interest on Maturities	\$0.00
Calls/Redemption (Principal)	\$0.00
Interest from Calls/Redemption	\$0.00
Principal Paydown	\$95,814.50
Total Acquisitions	\$842,225.71
Disposition	
Withdrawals	\$307.19
Security Purchase	\$852,297.67
Accrued Interest Paid	\$1,604.17
Total Dispositions	\$854,209.03
Ending Book Value	\$33,972.69

Monthly Account Statement

Northern Cal. Cities Self Ins. Fund Long Term

July 1, 2018 through July 31, 2018

Chandler Team

For questions about your account,
please call (800) 317-4747 or
Email operations@chandlerasset.com

Custodian

Bank of New York Mellon
Lauren Dehner
(904) 645-1918

Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.



PORTFOLIO CHARACTERISTICS

Average Duration	3.32
Average Coupon	2.13 %
Average Purchase YTM	2.18 %
Average Market YTM	2.93 %
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	3.65 yrs
Average Life	3.56 yrs

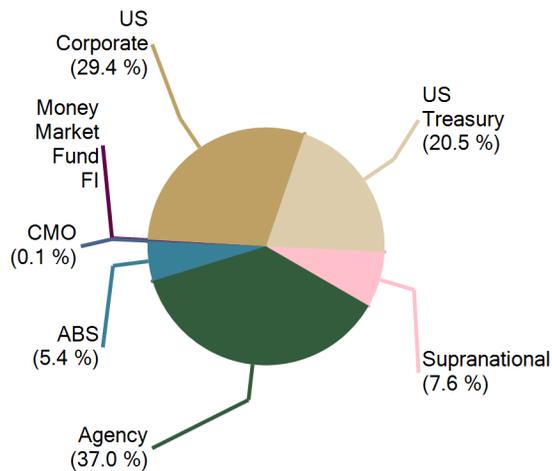
ACCOUNT SUMMARY

	Beg. Values as of 6/30/18	End Values as of 7/31/18
Market Value	26,211,063	26,197,870
Accrued Interest	152,484	148,973
Total Market Value	26,363,547	26,346,843
Income Earned	46,908	47,677
Cont/WD		-380
Par	26,850,412	26,905,524
Book Value	26,780,357	26,826,601
Cost Value	26,795,196	26,835,212

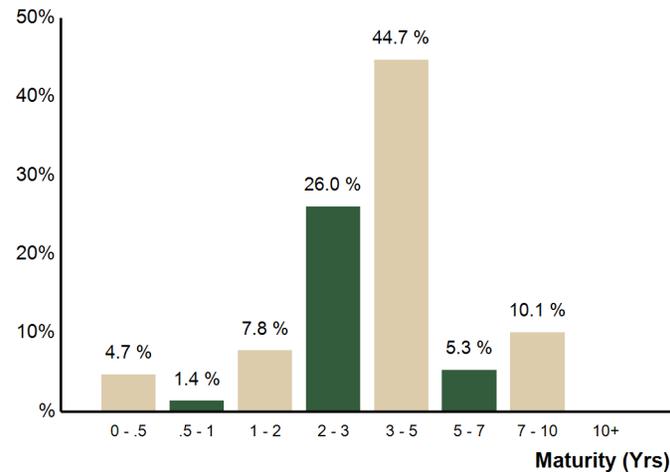
TOP ISSUERS

Issuer	% Portfolio
Government of United States	20.5 %
Federal National Mortgage Assoc	13.6 %
Federal Home Loan Bank	13.5 %
Federal Home Loan Mortgage Corp	6.6 %
Inter-American Dev Bank	4.7 %
Tennessee Valley Authority	3.4 %
John Deere ABS	2.2 %
Intl Bank Recon and Development	2.1 %
	66.6 %

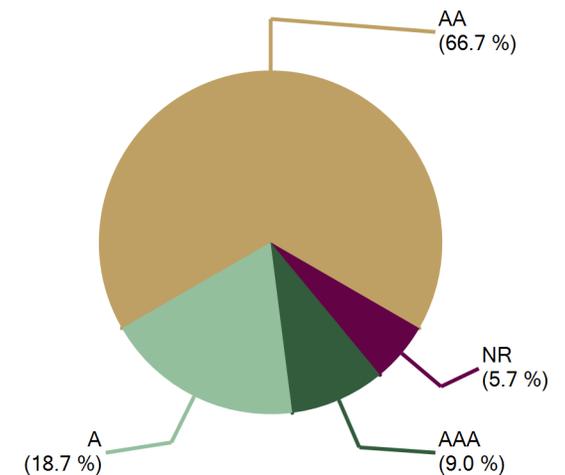
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

Total Rate of Return As of 7/31/2018	Current Month	Latest 3 Months	Year To Date	1 Yr	Annualized			Since 5/31/2006	
					3 Yrs	5 Yrs	10 Yrs		
Northern Cal. Cities Self Ins. Fund Long Term	-0.06 %	0.50 %	-0.61 %	-0.86 %	0.95 %	1.49 %	2.84 %	3.59 %	53.53 %
ICE BAML 1-10 Yr US Treasury/Agency Index	-0.18 %	0.43 %	-0.80 %	-1.17 %	0.45 %	1.04 %	2.37 %	3.19 %	46.56 %
ICE BAML 1-10 Yr US Corporate/Govt Rated AAA-A Idx	-0.06 %	0.50 %	-0.84 %	-1.02 %	0.78 %	1.35 %	2.70 %	3.34 %	49.18 %



Northern California Cities Self-Insurance Fund - Long Term
July 31, 2018

COMPLIANCE WITH INVESTMENT POLICY

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies



Reconciliation Summary

As of 7/31/2018

BOOK VALUE RECONCILIATION	
Beginning Book Value	\$26,780,357.05
Acquisition	
+ Security Purchases	\$890,468.50
+ Money Market Fund Purchases	\$191,261.15
+ Money Market Contributions	\$0.00
+ Security Contributions	\$0.00
+ Security Transfers	\$0.00
Total Acquisitions	\$1,081,729.65
Dispositions	
- Security Sales	\$580,305.35
- Money Market Fund Sales	\$311,062.05
- MMF Withdrawals	\$380.31
- Security Withdrawals	\$0.00
- Security Transfers	\$0.00
- Other Dispositions	\$0.00
- Maturities	\$0.00
- Calls	\$0.00
- Principal Paydowns	\$139,707.21
Total Dispositions	\$1,031,454.92
Amortization/Accretion	
+/- Net Accretion	\$532.46
	\$532.46
Gain/Loss on Dispositions	
+/- Realized Gain/Loss	(\$4,563.59)
	(\$4,563.59)
Ending Book Value	\$26,826,600.65

CASH TRANSACTION SUMMARY	
BEGINNING BALANCE	\$145,809.52
Acquisition	
Contributions	\$0.00
Security Sale Proceeds	\$580,305.35
Accrued Interest Received	\$3,326.45
Interest Received	\$51,262.04
Dividend Received	\$291.90
Principal on Maturities	\$0.00
Interest on Maturities	\$0.00
Calls/Redemption (Principal)	\$0.00
Interest from Calls/Redemption	\$0.00
Principal Paydown	\$139,707.21
Total Acquisitions	\$774,892.95
Disposition	
Withdrawals	\$380.31
Security Purchase	\$890,468.50
Accrued Interest Paid	\$4,225.35
Total Dispositions	\$895,074.16
Ending Book Value	\$25,628.31



Northern CA Cities Self Ins. Fund Short Term - Account #170

MONTHLY ACCOUNT STATEMENT

AUGUST 1, 2018 THROUGH AUGUST 31, 2018

Chandler Team:

For questions about your account, please call (800) 317-4747,
or contact operations@chandlerasset.com

Custodian

Bank of New York Mellon
Lauren Dehner
(904) 645-1918

CHANDLER ASSET MANAGEMENT
chandlerasset.com

Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Please see Important Disclosures.



PORTFOLIO CHARACTERISTICS

Average Modified Duration	2.36
Average Coupon	1.88%
Average Purchase YTM	1.97%
Average Market YTM	2.76%
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	2.63 yrs
Average Life	2.48 yrs

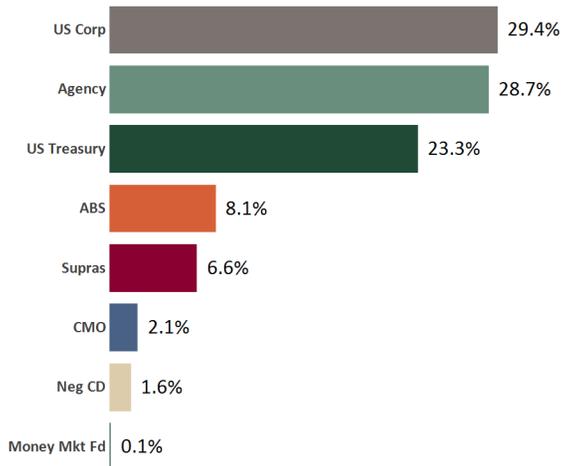
ACCOUNT SUMMARY

	Beg. Values as of 7/31/18	End Values as of 8/31/18
Market Value	25,420,919	25,539,095
Accrued Interest	107,287	104,629
Total Market Value	25,528,206	25,643,724
Income Earned	40,875	41,530
Cont/WD		0
Par	26,013,562	26,059,732
Book Value	25,954,004	25,998,102
Cost Value	25,932,736	25,974,327

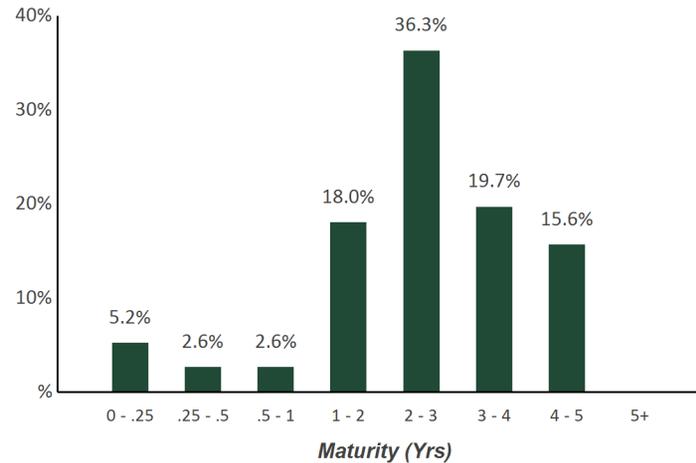
TOP ISSUERS

Government of United States	23.3%
Federal National Mortgage Assoc	15.7%
Federal Home Loan Mortgage Corp	9.1%
Federal Home Loan Bank	6.1%
Inter-American Dev Bank	3.8%
Honda ABS	2.2%
Intl Bank Recon and Development	2.1%
Toyota ABS	2.1%
Total	64.3%

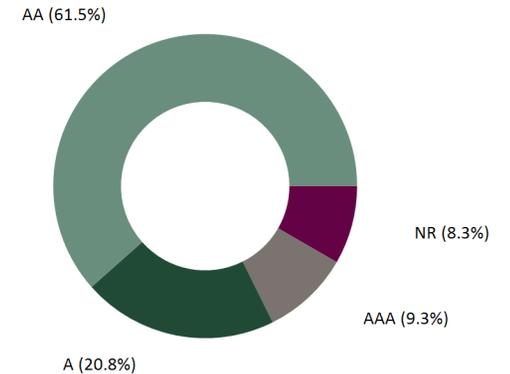
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

TOTAL RATE OF RETURN	1M	3M	YTD	1YR	Annualized				
					2YRS	3YRS	5YRS	10YRS	12/31/1997
Northern CA Cities Self Ins. Fund Short Term	0.45%	0.44%	0.28%	-0.24%	0.28%	0.91%	1.18%	2.12%	3.80%
ICE BAML 1-5 Yr US Treasury/Agency Index	0.42%	0.33%	0.09%	-0.61%	-0.07%	0.57%	0.90%	1.73%	3.47%
ICE BAML 1-5 Yr US Issuers Corp/Govt Rtd AAA-A Idx	0.44%	0.37%	0.12%	-0.53%	0.04%	0.72%	1.03%	1.89%	3.58%

Statement of Compliance

As of August 31, 2018



Northern California Cities Self-Insurance Fund - Short Term

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody's; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody's ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody's	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% per issuer (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies

Reconciliation Summary

As of August 31, 2018



BOOK VALUE RECONCILIATION		
BEGINNING BOOK VALUE		\$25,954,003.98
Acquisition		
+ Security Purchases	\$716,579.82	
+ Money Market Fund Purchases	\$715,356.76	
+ Money Market Contributions	\$0.00	
+ Security Contributions	\$0.00	
+ Security Transfers	\$0.00	
Total Acquisitions		\$1,431,936.58
Dispositions		
- Security Sales	\$144,955.05	
- Money Market Fund Sales	\$717,968.71	
- MMF Withdrawals	\$0.00	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$450,000.00	
- Calls	\$0.00	
- Principal Paydowns	\$76,218.38	
Total Dispositions		\$1,389,142.14
Amortization/Accretion		
+/- Net Accretion	\$1,393.11	
		\$1,393.11
Gain/Loss on Dispositions		
+/- Realized Gain/Loss	(\$89.54)	
		(\$89.54)
ENDING BOOK VALUE		\$25,998,101.99

CASH TRANSACTION SUMMARY		
BEGINNING BALANCE		\$33,972.69
Acquisition		
Contributions	\$0.00	
Security Sale Proceeds	\$144,955.05	
Accrued Interest Received	\$1,018.52	
Interest Received	\$35,958.48	
Dividend Received	\$43.20	
Principal on Maturities	\$450,000.00	
Interest on Maturities	\$7,163.13	
Calls/Redemption (Principal)	\$0.00	
Interest from Calls/Redemption	\$0.00	
Principal Paydown	\$76,218.38	
Total Acquisitions	\$715,356.76	
Dispositions		
Withdrawals	\$0.00	
Security Purchase	\$716,579.82	
Accrued Interest Paid	\$1,388.89	
Total Dispositions	\$717,968.71	
ENDING BOOK VALUE		\$31,360.74



Northern Cal. Cities Self Ins. Fund Long Term - Account #171

MONTHLY ACCOUNT STATEMENT

AUGUST 1, 2018 THROUGH AUGUST 31, 2018

Chandler Team:

For questions about your account, please call (800) 317-4747,
or contact operations@chandlerasset.com

Custodian

Bank of New York Mellon
Lauren Dehner
(904) 645-1918

CHANDLER ASSET MANAGEMENT
chandlerasset.com

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PORTFOLIO CHARACTERISTICS

Average Modified Duration	3.32
Average Coupon	2.14%
Average Purchase YTM	2.19%
Average Market YTM	2.84%
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	3.65 yrs
Average Life	3.56 yrs

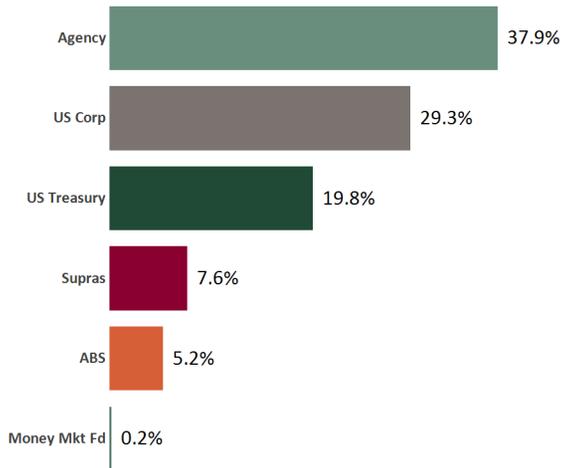
ACCOUNT SUMMARY

	Beg. Values as of 7/31/18	End Values as of 8/31/18
Market Value	26,197,870	26,364,740
Accrued Interest	148,973	139,010
Total Market Value	26,346,843	26,503,750
Income Earned	47,677	48,908
Cont/WD		0
Par	26,905,524	26,959,033
Book Value	26,826,601	26,884,837
Cost Value	26,835,212	26,899,972

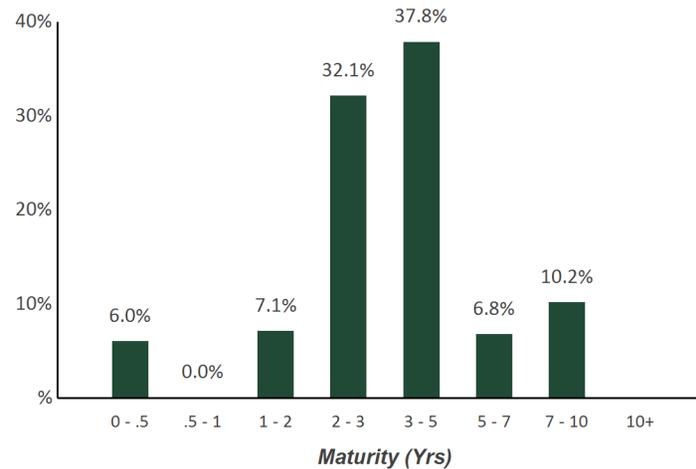
TOP ISSUERS

Government of United States	19.8%
Federal Home Loan Bank	15.0%
Federal National Mortgage Assoc	13.6%
Federal Home Loan Mortgage Corp	6.0%
Inter-American Dev Bank	4.7%
Tennessee Valley Authority	3.4%
John Deere ABS	2.1%
Intl Bank Recon and Development	2.1%
Total	66.7%

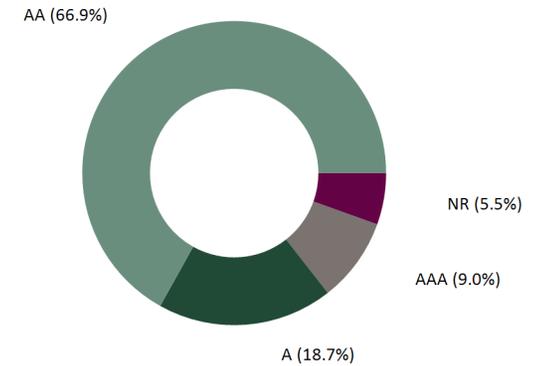
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



PERFORMANCE REVIEW

TOTAL RATE OF RETURN	Annualized								
	1M	3M	YTD	1YR	2YRS	3YRS	5YRS	10YRS	5/31/2006
Northern Cal. Cities Self Ins. Fund Long Term	0.60%	0.47%	-0.02%	-0.80%	-0.04%	1.13%	1.72%	2.83%	3.61%
ICE BAML 1-10 Yr US Treasury/Agency Index	0.58%	0.38%	-0.23%	-1.17%	-0.53%	0.63%	1.26%	2.35%	3.22%
ICE BAML 1-10 Yr US Corporate/Govt Rated AAA-A Idx	0.59%	0.49%	-0.25%	-1.02%	-0.30%	0.98%	1.56%	2.68%	3.37%

Statement of Compliance

As of August 31, 2018



Northern California Cities Self-Insurance Fund - Long Term

The portfolio complies with state law and with the Client's investment policy.

Category	Standard	Comment
Treasury Issues	No limitation	Complies
US Agencies	No limitation	Complies
Supranationals	Issued by IBRD, IFC or IADB only; "AA" rated or better by S&P or Moody; 30% maximum; 5 years max maturity	Complies
Municipal Securities	5 years max maturity	Complies
Asset-backed/MBS/CMOs	20% maximum; AA by S&P or Moody; 5 years max maturity	Complies
Banker's Acceptances	40% maximum; 180 days max maturity	Complies
Commercial Paper	A1/P1 by S&P or Moody ; 25% maximum; 270 days max maturity	Complies
Medium Term Notes	30% maximum; A rated by S&P or Moody's; 5 years max maturity	Complies
Money Market Acct	20% maximum; AAA by S&P and Moody	Complies
Mutual Funds	20% maximum; AAA	Complies
Negotiable CDs	30% maximum; 5 years max maturity	Complies
Time Certificates of Deposit	5 years max maturity	Complies
LAIF	\$50 million	Complies
Repurchase Agreements	1 year max maturity; Not used by Investment Adviser	Complies
CMOs with collateral not specifically GNMA, FHLMC, FNMA	Prohibited	Complies
Reverse Repos	Prohibited	Complies
Futures and Options	Prohibited	Complies
Inverse floaters	Prohibited	Complies
Range notes	Prohibited	Complies
Max Per Issuer	5% (except US Treasury and US Agency issuers)	Complies
Maximum Maturity	10 years	Complies

Reconciliation Summary

As of August 31, 2018



BOOK VALUE RECONCILIATION		
BEGINNING BOOK VALUE		\$26,826,600.65
Acquisition		
+ Security Purchases	\$401,372.00	
+ Money Market Fund Purchases	\$126,169.86	
+ Money Market Contributions	\$0.00	
+ Security Contributions	\$0.00	
+ Security Transfers	\$0.00	
Total Acquisitions		\$527,541.86
Dispositions		
- Security Sales	\$296,811.47	
- Money Market Fund Sales	\$107,484.69	
- MMF Withdrawals	\$0.00	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$0.00	
- Calls	\$0.00	
- Principal Paydowns	\$65,175.82	
Total Dispositions		\$469,471.98
Amortization/Accretion		
+/- Net Accretion	\$801.13	
		\$801.13
Gain/Loss on Dispositions		
+/- Realized Gain/Loss	(\$634.17)	
		(\$634.17)
ENDING BOOK VALUE		\$26,884,837.49

CASH TRANSACTION SUMMARY		
BEGINNING BALANCE		\$25,628.31
Acquisition		
Contributions	\$0.00	
Security Sale Proceeds	\$296,811.47	
Accrued Interest Received	\$825.84	
Interest Received	\$60,682.90	
Dividend Received	\$311.14	
Principal on Maturities	\$0.00	
Interest on Maturities	\$0.00	
Calls/Redemption (Principal)	\$0.00	
Interest from Calls/Redemption	\$0.00	
Principal Paydown	\$65,175.82	
Total Acquisitions	\$423,807.17	
Dispositions		
Withdrawals	\$0.00	
Security Purchase	\$401,372.00	
Accrued Interest Paid	\$3,750.00	
Total Dispositions	\$405,122.00	
ENDING BOOK VALUE		\$44,313.48



Portfolio Characteristics

Market Value	25,399,847
Ave Portfolio Duration	2.33
Ave Portfolio Maturity	2.57

Interest Rate Shock Analysis

Fair value of portfolio after increase of:	
100 bps	-592,247
200 bps	-1,184,494
300 bps	-1,776,741

Sector	Sector Characteristics				Segmented Time Distribution			
	Market Value	Ave Duration	Ave Maturity	% of Port	<1 yr	1-3 yrs	3-5 yrs	>5 yrs
US Corporate	7,258,578	2.24	2.37	28.58%	1,455,583	3,500,750	2,302,244	0
Agency	6,909,076	2.66	2.77	27.20%	0	3,793,556	3,115,520	0
US Treasury	6,328,098	2.83	2.95	24.91%	0	3,726,858	2,601,239	0
ABS	1,760,277	0.86	2.63	6.93%	24,049	863,159	873,069	0
Supranational	1,692,330	1.87	1.96	6.66%	543,407	625,183	523,739	0
Negotiable CD	860,000	0.23	0.23	3.39%	860,000	0	0	0
CMO	545,534	3.39	3.85	2.15%	0	145,378	400,155	0
Money Market Fund FI	45,956	0.00	0.00	0.18%	45,956	0	0	0
	25,399,847	2.33	2.57	100.00%	2,928,996	12,654,884	9,815,967	0

Concentration of Credit

Issuer Name	Cost	Market Value	Ave Duration	Ave Maturity	% of Port
Government of United States	6,469,747	6,328,098	2.83	2.95	24.91%
Federal National Mortgage Association	4,259,431	4,151,831	2.83	2.96	16.35%
Federal Home Loan Mortgage Corp	2,037,241	1,993,590	2.42	2.59	7.85%
Federal Home Loan Bank	1,353,063	1,309,188	2.79	2.89	5.15%
Inter-American Dev Bank	983,275	966,117	2.66	2.79	3.80%
Toyota ABS	605,021	602,725	0.58	2.08	2.37%
Intl Bank Recon and Development	544,074	543,407	0.26	0.27	2.14%
US Bancorp	511,982	505,164	1.59	1.68	1.99%
Bank of Nova Scotia Houston	450,000	450,000	0.11	0.11	1.77%
Bank of Montreal Chicago	410,000	410,000	0.35	0.36	1.61%
Deere & Company	381,424	374,444	3.23	3.46	1.47%
Qualcomm Inc	379,560	374,398	1.83	1.89	1.47%
Honda ABS	370,430	370,646	1.10	2.84	1.46%
Cisco Systems	368,113	364,086	0.66	0.67	1.43%



Concentration of Credit

Issuer Name	Cost	Market Value	Ave Duration	Ave Maturity	% of Port
Nissan ABS	351,387	349,009	1.07	2.89	1.37%
PNC Financial Services Group	354,968	342,664	3.80	4.08	1.35%
Berkshire Hathaway	339,864	334,884	1.10	1.13	1.32%
Microsoft	339,642	329,068	2.69	2.84	1.30%
Chubb Corporation	336,578	329,039	2.17	2.35	1.30%
Oracle Corp	332,387	323,822	3.05	3.21	1.27%
Royal Bank of Canada	326,232	319,293	2.42	2.56	1.26%
JP Morgan Chase & Co	321,131	316,537	4.14	4.55	1.25%
Wells Fargo Corp	320,029	315,511	1.53	1.59	1.24%
State Street Bank	326,620	314,854	2.77	2.89	1.24%
John Deere ABS	316,533	314,740	0.90	2.50	1.24%
Exxon Mobil Corp	323,594	314,110	2.55	2.67	1.24%
Occidental Petroleum Corporation	307,484	307,971	3.56	3.79	1.21%
General Electric Co	306,136	304,310	0.53	0.54	1.20%
Praxair	295,537	298,587	0.35	0.36	1.18%
IBM Corp	304,755	296,588	3.93	4.19	1.17%
General Dynamics Corp	292,932	294,052	2.71	2.87	1.16%
Bank of New York	255,099	253,620	1.08	1.20	1.00%
Toyota Motor Corp	194,939	195,903	3.30	3.54	0.77%
International Finance Corp	184,456	182,805	2.45	2.58	0.72%
Costco Wholesale Corporation	169,822	167,318	1.58	1.63	0.66%
Honda Motor Corporation	146,162	144,873	0.28	0.28	0.57%
Home Depot	139,919	137,482	1.88	1.93	0.54%
American Express ABS	123,057	123,157	0.85	4.21	0.48%
Dreyfus Institutional Reserves Money Market Fund	45,956	45,956	0.00	0.00	0.18%
	25,878,578	25,399,847	2.33	2.57	100.00%

Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
26200X845	Dreyfus Funds	Inst'l Reserve Treasury #6541	1.53	06/30/2018	N		45,956	0.00	0.00	Aaa	AAA	AAA
06417GXH6	Bank of Nova Scotia	Yankee CD	1.57	08/09/2018	N		450,000	0.11	0.11	P-1	A-1	NR



Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
459058ER0	Intl. Bank Recon & Development	Note	1.00	10/05/2018	N		543,407	0.26	0.27	Aaa	AAA	AAA
02665WAC5	American Honda Finance	Note	2.13	10/10/2018	N		144,873	0.28	0.28	A2	A+	NR
74005PBH6	Praxair	Note	1.25	11/07/2018	N		298,587	0.35	0.36	A2	A	NR
06371ETT4	Bank of Montreal Chicago	Yankee CD	1.76	11/07/2018	N		410,000	0.35	0.36	P-1	A-1	F-1+
91159HHE3	US Bancorp	Callable Note Cont 10/15/2018	1.95	11/15/2018	Y	10/15/2018	29,936	0.37	0.38	A1	A+	AA-
36962G7G3	General Electric Capital Corp	Note	2.30	01/14/2019	N		304,310	0.53	0.54	A2	A	A
17275RAR3	Cisco Systems	Note	2.13	03/01/2019	N		364,086	0.66	0.67	A1	AA-	NR
43814RAB2	Honda Auto Receivables	2016-4 A2	1.04	04/18/2019	N		17,639	0.04	0.80	NR	AAA	AAA
91159HHH6	US Bancorp	Callable Note Cont 3/25/2019	2.20	04/25/2019	Y	03/25/2019	313,790	0.80	0.82	A1	A+	AA-
89231LAB3	Toyota Auto Receivables Owner	2016-D	1.06	05/15/2019	N		6,410	0.03	0.87	Aaa	AAA	NR
43814TAB8	Honda Auto Receivables	2017-1 A2	1.42	07/22/2019	N		32,786	0.12	1.06	Aaa	NR	AAA
084664CK5	Berkshire Hathaway	Note	1.30	08/15/2019	N		334,884	1.10	1.13	Aa2	AA	A+
06406HCW7	Bank of New York	Callable Note Cont 8/11/2019	2.30	09/11/2019	Y	08/11/2019	253,620	1.08	1.20	A1	A	AA-
3135G0ZG1	FNMA	Note	1.75	09/12/2019	N		148,786	1.17	1.20	Aaa	AA+	AAA
89238MAB4	Toyota Auto Receivables Owner	2017-A	1.42	09/16/2019	N		58,581	0.16	1.21	Aaa	AAA	NR
3137EADM8	FHLMC	Note	1.25	10/02/2019	N		462,859	1.23	1.26	Aaa	AA+	AAA
47787XAB3	John Deere Owner Trust	2017-A A2	1.50	10/15/2019	N		45,550	0.17	1.29	Aaa	NR	AAA
654747AB0	Nissan Auto Receivables	2017-A A2A	1.47	01/15/2020	N		53,154	0.24	1.55	Aaa	NR	AAA
3135G0A78	FNMA	Note	1.63	01/21/2020	N		458,796	1.52	1.56	Aaa	AA+	AAA
94974BGF1	Wells Fargo Corp	Note	2.15	01/30/2020	N		315,511	1.53	1.59	A2	A-	A+
912828H52	US Treasury	Note	1.25	01/31/2020	N		362,918	1.55	1.59	Aaa	AA+	AAA
22160KAG0	Costco Wholesale Corp	Note	1.75	02/15/2020	N		167,318	1.58	1.63	A1	A+	A+
912828J84	US Treasury	Note	1.38	03/31/2020	N		451,052	1.71	1.75	Aaa	AA+	AAA
47788MAC4	John Deere Owner Trust	2016-A A3	1.36	04/15/2020	N		88,411	0.36	1.79	Aaa	NR	AAA
47788BAB0	John Deere Owner Trust	2017-B A2A	1.59	04/15/2020	N		46,943	0.38	1.79	Aaa	NR	AAA
3137EADR7	FHLMC	Note	1.38	05/01/2020	N		460,327	1.79	1.84	Aaa	AA+	AAA
4581X0CX4	Inter-American Dev Bank	Note	1.63	05/12/2020	N		442,378	1.82	1.87	Aaa	AAA	AAA
747525AD5	Qualcomm Inc	Note	2.25	05/20/2020	N		374,398	1.83	1.89	A1	A	NR
912828VF4	US Treasury	Note	1.38	05/31/2020	N		440,279	1.87	1.92	Aaa	AA+	AAA
437076BQ4	Home Depot	Note	1.80	06/05/2020	N		137,482	1.88	1.93	A2	A	A



Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
3135G0D75	FNMA	Note	1.50	06/22/2020	N		421,285	1.93	1.98	Aaa	AA+	AAA
89237RAB4	Toyota Auto Receivable	2017-C A2A	1.58	07/15/2020	N		218,932	0.49	2.04	Aaa	AAA	NR
912828L32	US Treasury	Note	1.38	08/31/2020	N		458,323	2.11	2.17	Aaa	AA+	AAA
89238BAB8	Toyota Auto Receivables Owner	2018-A A2A	2.10	10/15/2020	N		318,803	0.73	2.30	Aaa	AAA	NR
912828L99	US Treasury	Note	1.38	10/31/2020	N		462,086	2.27	2.34	Aaa	AA+	AAA
00440EAT4	Chubb INA Holdings Inc	Callable Note Cont 10/3/2020	2.30	11/03/2020	Y	10/03/2020	329,039	2.17	2.35	A3	A	A
594918BG8	Microsoft	Callable Note Cont. 10/03/20	2.00	11/03/2020	Y	10/03/2020	118,108	2.18	2.35	Aaa	AAA	AA+
3135G0F73	FNMA	Note	1.50	11/30/2020	N		218,985	2.35	2.42	Aaa	AA+	AAA
78012KKU0	Royal Bank of Canada	Note	2.50	01/19/2021	N		319,293	2.42	2.56	A1	AA-	AA
45950KCM0	International Finance Corp	Note	2.25	01/25/2021	N		182,805	2.45	2.58	Aaa	AAA	NR
912828N89	US Treasury	Note	1.38	01/31/2021	N		441,119	2.50	2.59	Aaa	AA+	AAA
3130A7CV5	FHLB	Note	1.38	02/18/2021	N		387,214	2.55	2.64	Aaa	AA+	AAA
3135G0J20	FNMA	Note	1.38	02/26/2021	N		464,472	2.57	2.66	Aaa	AA+	AAA
912828B90	US Treasury	Note	2.00	02/28/2021	N		580,804	2.56	2.67	Aaa	AA+	AAA
30231GAV4	Exxon Mobil Corp	Callable Note Cont 2/1/2021	2.22	03/01/2021	Y	02/01/2021	314,110	2.55	2.67	Aaa	AA+	NR
24422ESL4	John Deere Capital Corp	Note	2.80	03/04/2021	N		228,082	2.54	2.68	A2	A	A
912828Q37	US Treasury	Note	1.25	03/31/2021	N		530,278	2.67	2.75	Aaa	AA+	AAA
3135G0K69	FNMA	Note	1.25	05/06/2021	N		365,595	2.77	2.85	Aaa	AA+	AAA
369550BE7	General Dynamics Corp	Note	3.00	05/11/2021	N		294,052	2.71	2.87	A2	A+	NR
857477AV5	State Street Bank	Note	1.95	05/19/2021	N		314,854	2.77	2.89	A1	A	AA-
313379RB7	FHLB	Note	1.88	06/11/2021	N		405,238	2.84	2.95	Aaa	AA+	AAA
3137BDDC7	FHLMC	K716 A2	3.13	06/25/2021	N		145,378	2.65	2.99	Aaa	AA+	NR
3130A8QS5	FHLB	Note	1.13	07/14/2021	N		516,736	2.94	3.04	Aaa	AA+	AAA
594918BP8	Microsoft	Callable Note Cont 7/8/21	1.55	08/08/2021	Y	07/08/2021	210,960	2.98	3.11	Aaa	AAA	AA+
3137EAEC9	FHLMC	Note	1.13	08/12/2021	N		524,871	3.02	3.12	Aaa	AA+	AAA
43811BAC8	Honda Auto Receivables	2017-2 A3	1.68	08/16/2021	N		320,221	1.26	3.13	Aaa	AAA	NR
654747AD6	Nissan Auto Receivables	2017-A A3	1.74	08/16/2021	N		295,855	1.22	3.13	Aaa	NR	AAA
3135G0N82	FNMA	Note	1.25	08/17/2021	N		516,661	3.02	3.13	Aaa	AA+	AAA
68389XBK0	Oracle Corp	Callable Note Cont 8/01/21	1.90	09/15/2021	Y	08/15/2021	323,822	3.05	3.21	A1	AA-	A+
912828T34	US Treasury	Note	1.13	09/30/2021	N		519,261	3.15	3.25	Aaa	AA+	AAA
3135G0Q89	FNMA	Note	1.38	10/07/2021	N		287,565	3.15	3.27	Aaa	AA+	AAA
47788BAD6	John Deere Owner Trust	2017-B A3	1.82	10/15/2021	N		49,274	1.38	3.30	Aaa	NR	AAA



Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
3135GOS38	FNMA	Note	2.00	01/05/2022	N		536,082	3.33	3.52	Aaa	AA+	AAA
89236TDP7	Toyota Motor Credit Corp	Note	2.60	01/11/2022	N		195,903	3.30	3.54	Aa3	AA-	A
4581X0CW6	Inter-American Dev Bank	Note	2.13	01/18/2022	N		523,739	3.36	3.56	Aaa	NR	AAA
91159HHP8	US Bancorp	Callable Cont 12/23/2021	2.63	01/24/2022	Y	12/23/2021	161,438	3.33	3.57	A1	A+	AA-
912828J43	US Treasury	Note	1.75	02/28/2022	N		551,542	3.50	3.67	Aaa	AA+	AAA
674599CK9	Occidental Petroleum	Callable Note Cont 3/15/2022	2.60	04/15/2022	Y	03/15/2022	307,971	3.56	3.79	A3	A	A
47788CAC6	John Deere Owner Trust	2016-B A4	2.66	04/18/2022	N		84,563	1.85	3.80	Aaa	NR	AAA
912828XG0	US Treasury	Note	2.13	06/30/2022	N		513,659	3.80	4.00	Aaa	AA+	AAA
69353RFE3	PNC Bank	Callable Note Cont 6/28/2022	2.45	07/28/2022	Y	06/28/2022	342,664	3.80	4.08	A2	A	A+
3137BM6P6	FHLMC	K721 A2	3.09	08/25/2022	N		400,155	3.66	4.16	Aaa	NR	NR
44932HAC7	IBM Credit Corp	Note	2.20	09/08/2022	N		296,588	3.93	4.19	A1	A+	A+
02587AAJ3	American Express Credit	2017-1	1.93	09/15/2022	N		123,157	0.85	4.21	Aaa	NR	AAA
912828L57	US Treasury	Note	1.75	09/30/2022	N		504,964	4.04	4.25	Aaa	AA+	AAA
3135G0T78	FNMA	Note	2.00	10/05/2022	N		193,548	4.03	4.27	Aaa	AA+	AAA
912828N30	US Treasury	Note	2.13	12/31/2022	N		511,814	4.25	4.51	Aaa	AA+	AAA
48128BAB7	JP Morgan Chase & Co	Callable Note 1X 1/15/2022	2.97	01/15/2023	Y	01/15/2022	316,537	4.14	4.55	A3	A-	AA-
3135G0T94	FNMA	Note	2.38	01/19/2023	N		540,058	4.24	4.56	Aaa	AA+	AAA
24422ETG4	John Deere Capital Corp	Note	2.80	03/06/2023	N		146,362	4.31	4.68	A2	A	A
							25,399,847					



Portfolio Characteristics

Market Value	26,211,063
Ave Portfolio Duration	3.28
Ave Portfolio Maturity	3.62

Interest Rate Shock Analysis

Fair value of portfolio after increase of:

100 bps	-859,926
200 bps	-1,719,853
300 bps	-2,579,779

Sector Characteristics

Segmented Time Distribution

Sector	Sector Characteristics				Segmented Time Distribution			
	Market Value	Ave Duration	Ave Maturity	% of Port	<1 yr	1-3 yrs	3-5 yrs	>5 yrs
Agency	9,436,503	4.06	4.41	36.00%	0	3,710,837	3,124,102	2,601,563
US Corporate	7,659,041	2.56	2.73	29.22%	1,276,577	2,628,982	3,753,483	0
US Treasury	5,382,596	4.11	4.38	20.54%	0	1,021,548	2,923,828	1,437,220
Supranational	2,001,000	2.27	2.39	7.63%	563,349	607,030	830,621	0
ABS	1,469,798	0.97	2.67	5.61%	0	764,445	705,353	0
Money Market Fund FI	145,810	0.00	0.00	0.56%	145,810	0	0	0
CMO	116,317	0.13	0.15	0.44%	116,317	0	0	0
	26,211,063	3.28	3.62	100.00%	2,102,051	8,732,842	11,337,386	4,038,784

Concentration of Credit

Issuer Name	Cost	Market Value	Ave Duration	Ave Maturity	% of Port
Government of United States	5,506,917	5,382,596	4.11	4.38	20.54%
Federal National Mortgage Association	3,681,754	3,563,025	4.40	4.75	13.59%
Federal Home Loan Bank	3,657,809	3,535,706	4.49	4.95	13.49%
Federal Home Loan Mortgage Corp	1,576,345	1,561,928	2.53	2.65	5.96%
Inter-American Dev Bank	1,287,453	1,249,905	3.14	3.31	4.77%
Tennessee Valley Authority	911,065	892,162	3.19	3.39	3.40%
John Deere ABS	590,858	587,429	1.21	3.04	2.24%
Intl Bank Recon and Development	564,040	563,349	0.26	0.27	2.15%
US Bancorp	482,911	475,673	1.41	1.50	1.81%
Toyota ABS	474,827	472,872	0.64	2.14	1.80%
State Street Bank	409,422	397,200	2.77	2.89	1.52%
Wells Fargo Corp	400,808	394,388	1.53	1.59	1.50%
Microsoft	399,280	383,563	2.98	3.11	1.46%
Oracle Corp	399,404	380,968	3.05	3.21	1.45%
Honda ABS	353,805	354,031	1.15	2.93	1.35%



Concentration of Credit

Issuer Name	Cost	Market Value	Ave Duration	Ave Maturity	% of Port
Toyota Motor Corp	349,450	350,967	3.26	3.54	1.34%
Deere & Company	339,994	342,176	4.20	4.58	1.31%
Charles Schwab Corp/The	340,904	340,696	4.20	4.58	1.30%
JP Morgan Chase & Co	341,866	339,461	0.56	0.58	1.30%
Chubb Corporation	346,625	338,861	2.17	2.35	1.29%
Bank of New York	340,382	338,160	1.08	1.20	1.29%
Occidental Petroleum Corporation	345,473	337,301	3.56	3.79	1.29%
Qualcomm Inc	340,075	334,987	1.83	1.89	1.28%
Cisco Systems	338,005	334,162	0.66	0.67	1.27%
Exxon Mobil Corp	330,697	319,018	2.55	2.67	1.22%
PNC Financial Services Group	328,819	316,883	3.39	3.64	1.21%
IBM Corp	309,670	301,371	3.93	4.19	1.15%
Bank of America Corp	298,173	295,599	4.10	4.54	1.13%
Praxair	290,263	293,611	0.35	0.36	1.12%
Apple Inc	298,179	288,263	3.95	4.21	1.10%
Berkshire Hathaway	274,417	270,593	2.59	2.71	1.03%
Pepsico Inc	239,863	235,774	1.70	1.84	0.90%
International Finance Corp	189,441	187,746	2.45	2.58	0.72%
Dreyfus Institutional Reserves Money Market Fund	145,810	145,810	0.00	0.00	0.56%
Paccar Financial	139,812	133,510	2.98	3.12	0.51%
Wal-Mart Stores	114,969	115,856	4.49	4.99	0.44%
Nissan ABS	55,614	55,465	0.24	1.55	0.21%
	26,795,196	26,211,063	3.28	3.62	100.00%

Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
26200X845	Dreyfus Funds	Inst'l Reserve Treasury #6541	1.53	06/30/2018	N		145,810	0.00	0.00	Aaa	AAA	AAA
3137AH6Q6	FHLMC	K704 A2	2.41	08/25/2018	N		116,317	0.13	0.15	Aaa	NR	AAA
459058ER0	Intl. Bank Recon & Development	Note	1.00	10/05/2018	N		563,349	0.26	0.27	Aaa	AAA	AAA
74005PBH6	Praxair	Note	1.25	11/07/2018	N		293,611	0.35	0.36	A2	A	NR



Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
91159HHE3	US Bancorp	Callable Note Cont 10/15/2018	1.95	11/15/2018	Y	10/15/2018	309,343	0.37	0.38	A1	A+	AA-
46625HJR2	JP Morgan Chase	Note	2.35	01/28/2019	N		339,461	0.56	0.58	A3	A-	AA-
17275RAR3	Cisco Systems	Note	2.13	03/01/2019	N		334,162	0.66	0.67	A1	AA-	NR
43814TAB8	Honda Auto Receivables	2017-1 A2	1.42	07/22/2019	N		33,810	0.12	1.06	Aaa	NR	AAA
06406HCW7	Bank of New York	Callable Note Cont 8/11/2019	2.30	09/11/2019	Y	08/11/2019	338,160	1.08	1.20	A1	A	AA-
654747AB0	Nissan Auto Receivables	2017-A A2A	1.47	01/15/2020	N		55,465	0.24	1.55	Aaa	NR	AAA
94974BGF1	Wells Fargo Corp	Note	2.15	01/30/2020	N		394,388	1.53	1.59	A2	A-	A+
47788MAC4	John Deere Owner Trust	2016-A A3	1.36	04/15/2020	N		93,323	0.36	1.79	Aaa	NR	AAA
89231UAD9	Toyota Auto Receivables	2016-B	1.30	04/15/2020	N		144,107	0.42	1.79	Aaa	AAA	NR
713448CS5	PepsiCo Inc	Callable Note Cont 3/30/2020	1.85	04/30/2020	Y	03/30/2020	235,774	1.70	1.84	A1	A+	A
3137EADR7	FHLMC	Note	1.38	05/01/2020	N		475,018	1.79	1.84	Aaa	AA+	AAA
747525AD5	Qualcomm Inc	Note	2.25	05/20/2020	N		334,987	1.83	1.89	A1	A	NR
912828NT3	US Treasury	Note	2.63	08/15/2020	N		150,193	2.04	2.13	Aaa	AA+	AAA
89238BAB8	Toyota Auto Receivables Owner	2018-A A2A	2.10	10/15/2020	N		328,765	0.73	2.30	Aaa	AAA	NR
00440EAT4	Chubb INA Holdings Inc	Callable Note Cont 10/3/2020	2.30	11/03/2020	Y	10/03/2020	338,861	2.17	2.35	A3	A	A
4581X0CD8	Inter-American Dev Bank	Note	2.13	11/09/2020	N		419,284	2.27	2.36	Aaa	AAA	AAA
912828PC8	US Treasury	Note	2.63	11/15/2020	N		435,425	2.28	2.38	Aaa	AA+	AAA
3135G0F73	FNMA	Note	1.50	11/30/2020	N		462,302	2.35	2.42	Aaa	AA+	AAA
3135G0H55	FNMA	Note	1.88	12/28/2020	N		422,062	2.42	2.50	Aaa	AA+	AAA
45950KCM0	International Finance Corp	Note	2.25	01/25/2021	N		187,746	2.45	2.58	Aaa	AAA	NR
880591EL2	Tennessee Valley Authority	Note	3.88	02/15/2021	N		437,593	2.46	2.63	Aaa	AA+	AAA
3130A7CV5	FHLB	Note	1.38	02/18/2021	N		527,580	2.55	2.64	Aaa	AA+	AAA
3135G0J20	FNMA	Note	1.38	02/26/2021	N		483,825	2.57	2.66	Aaa	AA+	AAA
30231GAV4	Exxon Mobil Corp	Callable Note Cont 2/1/2021	2.22	03/01/2021	Y	02/01/2021	319,018	2.55	2.67	Aaa	AA+	NR
3133732Y7	FHLB	Note	3.63	03/12/2021	N		445,464	2.54	2.70	Aaa	AA+	AAA
084670BQ0	Berkshire Hathaway	Callable Note Cont 2/15/2021	2.20	03/15/2021	Y	02/15/2021	270,593	2.59	2.71	Aa2	AA	A+
47787XAC1	John Deere Owner Trust	2017-A A3	1.78	04/15/2021	N		108,974	0.95	2.79	Aaa	NR	AAA
3135G0K69	FNMA	Note	1.25	05/06/2021	N		456,994	2.77	2.85	Aaa	AA+	AAA
912828QN3	US Treasury	Note	3.13	05/15/2021	N		435,929	2.73	2.88	Aaa	AA+	AAA
857477AV5	State Street Bank	Note	1.95	05/19/2021	N		397,200	2.77	2.89	A1	A	AA-



Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
3130A8QS5	FHLB	Note	1.13	07/14/2021	N		478,459	2.94	3.04	Aaa	AA+	AAA
594918BP8	Microsoft	Callable Note Cont 7/8/21	1.55	08/08/2021	Y	07/08/2021	383,563	2.98	3.11	Aaa	AAA	AA+
69371RN44	Paccar Financial Corp	Note	1.65	08/11/2021	N		133,510	2.98	3.12	A1	A+	NR
3137EAEC9	FHLMC	Note	1.13	08/12/2021	N		477,156	3.02	3.12	Aaa	AA+	AAA
912828RC6	US Treasury	Note	2.13	08/15/2021	N		477,498	2.98	3.13	Aaa	AA+	AAA
43811BAC8	Honda Auto Receivables	2017-2 A3	1.68	08/16/2021	N		320,221	1.26	3.13	Aaa	AAA	NR
68389XBK0	Oracle Corp	Callable Note Cont 8/01/21	1.90	09/15/2021	Y	08/15/2021	380,968	3.05	3.21	A1	AA-	A+
47788BAD6	John Deere Owner Trust	2017-B A3	1.82	10/15/2021	N		300,569	1.38	3.30	Aaa	NR	AAA
912828RR3	US Treasury	Note	2.00	11/15/2021	N		342,658	3.23	3.38	Aaa	AA+	AAA
3133827M9	FHLB	Note	1.93	12/01/2021	N		433,310	3.27	3.42	Aaa	AA+	AAA
89233P5T9	Toyota Motor Credit Corp	Note	3.30	01/12/2022	N		350,967	3.26	3.54	Aa3	AA-	A
3137EADB2	FHLMC	Note	2.38	01/13/2022	N		493,437	3.33	3.54	Aaa	AA+	AAA
4581X0CW6	Inter-American Dev Bank	Note	2.13	01/18/2022	N		543,318	3.36	3.56	Aaa	NR	AAA
91159HHP8	US Bancorp	Callable Cont 12/23/2021	2.63	01/24/2022	Y	12/23/2021	166,330	3.33	3.57	A1	A+	AA-
912828SF8	US Treasury	Note	2.00	02/15/2022	N		488,457	3.44	3.63	Aaa	AA+	AAA
69353RFB9	PNC Bank	Callable Note Cont 1/18/2022	2.63	02/17/2022	Y	01/18/2022	316,883	3.39	3.64	A2	A	A+
674599CK9	Occidental Petroleum	Callable Note Cont 3/15/2022	2.60	04/15/2022	Y	03/15/2022	337,301	3.56	3.79	A3	A	A
47788CAC6	John Deere Owner Trust	2016-B A4	2.66	04/18/2022	N		84,563	1.85	3.80	Aaa	NR	AAA
912828SV3	US Treasury	Note	1.75	05/15/2022	N		419,996	3.70	3.88	Aaa	AA+	AAA
3130A5P45	FHLB	Note	2.38	06/10/2022	N		506,528	3.73	3.95	Aaa	AA+	AAA
880591EN8	Tennessee Valley Authority	Note	1.88	08/15/2022	N		454,568	3.90	4.13	Aaa	AA+	AAA
912828TJ9	US Treasury	Note	1.63	08/15/2022	N		383,188	3.93	4.13	Aaa	AA+	AAA
44932HAC7	IBM Credit Corp	Note	2.20	09/08/2022	N		301,371	3.93	4.19	A1	A+	A+
037833DC1	Apple Inc	Callable Note Cont 08/12/2022	2.10	09/12/2022	Y	08/12/2022	288,263	3.95	4.21	Aa1	AA+	NR
4581X0CZ9	Inter-American Dev Bank	Note	1.75	09/14/2022	N		287,303	3.99	4.21	NR	NR	AAA
3135G0T78	FNMA	Note	2.00	10/05/2022	N		280,644	4.03	4.27	Aaa	AA+	AAA
912828TY6	US Treasury	Note	1.63	11/15/2022	N		420,337	4.17	4.38	Aaa	AA+	AAA
06051GEU9	Bank of America Corp	Note	3.30	01/11/2023	N		295,599	4.10	4.54	A3	A-	A+
808513AT2	Charles Schwab Corp	Callable Note Cont 12/25/2022	2.65	01/25/2023	Y	12/25/2022	340,696	4.20	4.58	A2	A	A
24422ERT8	John Deere Capital Corp	Note	2.80	01/27/2023	N		342,176	4.20	4.58	A2	A	A
912828VB3	US Treasury	Note	1.75	05/15/2023	N		391,694	4.62	4.88	Aaa	AA+	AAA



Cusip Details

Cusip	Issue Name	Issue	Cpn	Maturity Date	Is Callable	Next Call Date	Market Value	Duration	Market Term	Ratings Moody/SP/Fitch		
931142EK5	Wal-Mart Stores	Callable Note Cont 5/26/2023	3.40	06/26/2023	Y	05/26/2023	115,856	4.49	4.99	Aa2	AA	AA
912828B66	US Treasury	Note	2.75	02/15/2024	N		504,329	5.13	5.63	Aaa	AA+	AAA
3135G0ZR7	FNMA	Note	2.63	09/06/2024	N		403,171	5.61	6.19	Aaa	AA+	AAA
912828J27	US Treasury	Note	2.00	02/15/2025	N		475,528	6.09	6.64	Aaa	AA+	AAA
3135G0K36	FNMA	Note	2.13	04/24/2026	N		528,986	7.08	7.82	Aaa	AA+	AAA
912828R36	US Treasury	Note	1.63	05/15/2026	N		457,364	7.28	7.88	Aaa	AA+	AAA
3130A2VE3	FHLB	Note	3.00	09/11/2026	N		571,224	7.15	8.21	Aaa	AA+	NR
3135G0Q22	FNMA	Note	1.88	09/24/2026	N		525,041	7.48	8.24	Aaa	AA+	AAA
3130ACKB9	FHLB	Note	2.63	09/10/2027	N		573,141	8.01	9.20	Aaa	AA+	NR
							26,211,063					



Asset	Fair Value	Investments That Are Not Measured at Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
ABS	\$1,760,276.67	\$0.00	\$0.00	\$1,760,276.67	\$0.00
Agency	\$6,909,075.62	\$0.00	\$0.00	\$6,909,075.62	\$0.00
CMO	\$545,533.51	\$0.00	\$0.00	\$545,533.51	\$0.00
Money Market Fund FI	\$45,956.01	\$45,956.01	\$0.00	\$0.00	\$0.00
Negotiable CD	\$860,000.00	\$0.00	\$0.00	\$860,000.00	\$0.00
Supranational	\$1,692,329.54	\$0.00	\$0.00	\$1,692,329.54	\$0.00
US Corporate	\$7,258,577.50	\$0.00	\$0.00	\$7,258,577.50	\$0.00
US Treasury	\$6,328,097.65	\$0.00	\$0.00	\$6,328,097.65	\$0.00
Total Portfolio	\$25,399,846.50	\$45,956.01	\$0.00	\$25,353,890.49	\$0.00



Asset	Fair Value	Investments That Are Not Measured at Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
ABS	\$1,469,797.59	\$0.00	\$0.00	\$1,469,797.59	\$0.00
Agency	\$9,436,502.55	\$0.00	\$0.00	\$9,436,502.55	\$0.00
CMO	\$116,316.85	\$0.00	\$0.00	\$116,316.85	\$0.00
Money Market Fund FI	\$145,809.52	\$145,809.52	\$0.00	\$0.00	\$0.00
Supranational	\$2,000,999.75	\$0.00	\$0.00	\$2,000,999.75	\$0.00
US Corporate	\$7,659,041.25	\$0.00	\$0.00	\$7,659,041.25	\$0.00
US Treasury	\$5,382,595.75	\$0.00	\$0.00	\$5,382,595.75	\$0.00
Total Portfolio	\$26,211,063.26	\$145,809.52	\$0.00	\$26,065,253.74	\$0.00



BETTY T. YEE

California State Controller

LOCAL AGENCY INVESTMENT FUND
REMITTANCE ADVICE

Agency Name	NO CAL CITIES SELF INSUR FUND
Account Number	35-11-001

As of 07/13/2018, your Local Agency Investment Fund account has been directly credited with the interest earned on your deposits for the quarter ending 06/30/2018.

Earnings Ratio		.00005216919081336
Interest Rate		1.90%
Dollar Day Total	\$	486,928,416.39
Quarter End Principal Balance	\$	3,710,839.85
Quarterly Interest Earned	\$	25,402.66

NCCSIF

Northern California Cities Self Insurance Fund

c/o Alliant Insurance Services, Inc.
Corporate Insurance License No. 0C36861

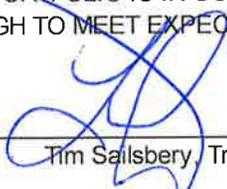
Main Location: 2180 Harvard Street, Suite 460, Sacramento, CA 95815 * (916) 643-2700 * Facsimile: (916) 643-2750
Accounting Location: Mr. James Marta, James Marta & Company, 701 Howe Avenue, Suite E3, Sacramento, CA 95825 * (916) 993-9494

NCCSIF INVESTMENT REPORT FOR THE QUARTER ENDING JUNE 30, 2018

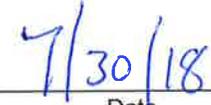
	<u>MARKET VALUE</u>
CASH:	
(1) Tri Counties Checking	\$ 623,041.59
(2) Local Agency Inv Fund (LAIF)	<u>3,710,839.85</u>
Total Cash	<u>4,333,881.44</u>
INVESTMENTS (Unrestricted):	
(3) Chandler Investments	
Account no. 170	25,399,847.00
Account no. 171	<u>26,211,063.00</u>
Total Unrestricted Investments	<u>51,610,910.00</u>
TOTAL CASH AND INVESTMENTS	<u>\$55,944,791.44</u>

- (1) This consists of one checking account and two pass-thru accounts (liability and workers comp claims).
- (2) The LAIF rate of return as of quarter ended June 30, 2018 1.90%
- (3) See attached Investment Activity Reports.

THIS PORTFOLIO IS IN COMPLIANCE WITH NCCSIF'S INVESTMENT POLICY AND IS LIQUID ENOUGH TO MEET EXPECTED CASH FLOW NEEDS OVER THE NEXT SIX MONTHS.



Tim Sallsbery, Treasurer



Date

A Joint Powers Authority

Members: Cities of Anderson, Auburn, Colusa, Corning, Dixon, Elk Grove, Folsom, Galt, Gridley, Ione, Jackson, Lincoln, Marysville, Nevada City, Oroville, Town of Paradise, Placerville, Red Bluff, Rio Vista, Rocklin, Willows and Yuba City.

The background of the top half of the page is a photograph of a modern glass building facade. The glass reflects the sky and surrounding environment. A person is visible walking on a balcony or walkway on the right side of the building. The text "Northern California Cities Self Insurance Fund" is overlaid in white on the right side of the image.

Northern California Cities Self Insurance Fund

2018 – 2019

Alliant Deadly Weapon Response Program (ADWRP) Proposal

Presented on June 28, 2018

ALLIANT DEADLY WEAPON RESPONSE PROGRAM (ADWRP) PROPOSAL

INSURED	Northern California Cities Self Insurance Fund
INSURANCE COMPANY:	Beazley Syndicate 2623 And Certain Underwriters at Lloyd's of London
A.M. BEST RATING:	A (Excellent) XV; Greater than \$2,000,000,000
STANDARD AND POOR'S RATING:	A+, Strong Financial Security
STATE LICENSE STATUS:	Non-Admitted
POLICY TERM:	July 1, 2018 – July 1, 2019
COVERAGE FORM:	Claims Made & Reported
COVERAGE TYPE:	Third Party Liability, Property Damage, Business Interruption & Crisis Management for events occurring at a location appearing on your Schedule of Values on file with Alliant Insurance Services, Inc.
LIMITS:	
Per Claim	\$ 500,000
Aggregate (Shared by Members of Pool/JPA)	\$ 2,500,000
DEDUCTIBLE:	\$10,000
RETROACTIVE DATE:	Policy Inception
SUB-LIMITS:	(Each Sublimit is part of the Overall Limit of Liability and not in addition to it)
	\$ 250,000 Crisis Management Services
	\$ 250,000 Counseling Services
	\$ 250,000 Funeral Expenses
	\$ 250,000 1 st Party Property Damage
	\$ 250,000 Business Interruption
	\$ 250,000 Demo/Clearance/ Memorialization
	\$ 10,000 Each Event including Claims Expenses

ALLIANT DEADLY WEAPON RESPONSE PROGRAM (ADWRP) PROPOSAL – CONTINUED

ENDORSEMENTS:

(Including But Not Limited To)

- Active Shooter & Security Vulnerability
- Active Shooter Safety Action Plan Seminar
- Crisis Management Services
- Property Damage Extension
- Counselling Services Sub-Limit
- Funeral Expenses Sub-Limit
- Reinsurers Liability Clause
- Sanction Limitation & Exclusion Clause
- Notice of Terrorism Insurance Coverage
- Business Interruption

EXCLUSIONS:

(Including But Not Limited To)

- Loss of market, loss of use or any other consequential loss at property physically lost or damaged
- Confiscation, nationalization, requisition or destruction of or damage to property by government, public or local authority
- Criminal, dishonest, fraudulent or malicious conduct by Named Insured
- Any actual or alleged negligent act, error, omission, misstatement, misleading statement, neglect or breach of duty by the Directors or Officers, in the discharge of their duties solely in their capacity as Directors or Officers of the Named Insured
- Euthanasia
- Any explosive devices that may have been set-up prior to or after an Active Shooter Event
- Any vehicle not defined as a road vehicle
- Any weapon mounted (or designed to be mounted) on a vehicle
- Any weapon, device or substance delivered by an airborne weapon delivery system including, but not limited to, fixed wing aircraft, helicopter or drone
- Injury or death to any employees of any third party whom the Named insured has contracted for services.

ALLIANT DEADLY WEAPON RESPONSE PROGRAM (ADWRP) PROPOSAL – CONTINUED

EXCLUSIONS - CONTINUED:
(Including But Not Limited To)

- Workers Compensation
- Employment Practices
- Attacks by electronic means
- Ionizing radiations or contamination by radioactivity from nuclear waste or fuel
- Radioactive, toxic, explosive or other hazardous or contaminating properties of any nuclear installation, reactor, assembly or component
- Any weapon or device employing atomic or nuclear fission, fusion or other like reaction or force or matter
- Radioactive, toxic, explosive or other hazardous or contaminating properties of any radioactive matter
- Chemical, biological, bio-chemical or electromagnetic weapon
- Mental injury or mental anguish related claim where no Bodily Injury occurred to claimant (Except as included by endorsement for counselling services)
- Loss, injury or damage caused by or resulting from Named Insured's recklessness or deliberate misconduct
- Mercy Killing(s)
- Cross Suits
- Nuclear reaction, radiation or contamination, however caused
- Any Pollutant or Contaminant however introduced or arisen
- Property Damage in care, custody or control of Named Insured or person under contract
- Punitive or exemplary damages, sanctions or additional damages
- Strikes, labor unrest, riots or civil commotion
- Suicide
- War, insurrection, civil commotion

ALLIANT DEADLY WEAPON RESPONSE PROGRAM (ADWRP) PROPOSAL – CONTINUED

ADDITIONAL EXCLUSIONS RELATING TO PROPERTY & BUSINESS INTERRUPTION:

(Including But Not Limited To)

- Land or land values
- Aircraft, watercraft or any vehicle licensed for highway use
- Animals
- Money, currency, checks, coins, stamps, securities, valuable papers, evidences of debt, precious stones, precious metals (unless forming an integral part of Insured Property), jewelry, furs, fine arts and antiques
- Electronic data
- Any property in Transit
- Increase in loss caused by suspension, lapse, cancellation of any lease, license, contract or order, unless loss results directly from the insured Interruption of Business
- Fines, penalties or damages incurred by or imposed upon the Named Insured at order of any Government Agency, Court or other Authority

ANNUAL PREMIUM:

\$ 8,467.00	Premium
\$ 254.01	Surplus Lines Taxes
\$ <u>16.93</u>	Surplus Lines Fees
\$ 8,737.94	Total Cost

DEFENSE INSIDE/OUTSIDE THE LIMITS:

Inside

MINIMUM EARNED PREMIUM:

100% Minimum Earned Premium

PROPOSAL VALID UNTIL:

7/1/2018

BINDING CONDITIONS:

- Completed and Signed Request to Bind Coverage Form (See last page)
- Completed and Signed Surplus Lines Document(s) (Attached, if applicable)

See Disclaimer Page for Important Notices and Acknowledgement

Disclosures

This proposal of insurance is provided as a matter of convenience and information only. All information included in this proposal, including but not limited to personal and real property values, locations, operations, products, data, automobile schedules, financial data and loss experience, is based on facts and representations supplied to Alliant Insurance Services, Inc. by you. This proposal does not reflect any independent study or investigation by Alliant Insurance Services, Inc. or its agents and employees.

Please be advised that this proposal is also expressly conditioned on there being no material change in the risk between the date of this proposal and the inception date of the proposed policy (including the occurrence of any claim or notice of circumstances that may give rise to a claim under any policy which the policy being proposed is a renewal or replacement). In the event of such change of risk, the insurer may, at its sole discretion, modify, or withdraw this proposal, whether or not this offer has already been accepted.

This proposal is not confirmation of insurance and does not add to, extend, amend, change, or alter any coverage in any actual policy of insurance you may have. All existing policy terms, conditions, exclusions, and limitations apply. For specific information regarding your insurance coverage, please refer to the policy itself. Alliant Insurance Services, Inc. will not be liable for any claims arising from or related to information included in or omitted from this proposal of insurance.

Alliant embraces a policy of transparency with respect to its compensation from insurance transactions. Details on our compensation policy, including the types of income that Alliant may earn on a placement, are available on our website at www.alliant.com. For a copy of our policy or for any inquiries regarding compensation issues pertaining to your account you may also contact us at: Alliant Insurance Services, Inc., Attention: General Counsel, 701 B Street, 6th Floor, San Diego, CA 92101.

Analyzing insurers' over-all performance and financial strength is a task that requires specialized skills and in-depth technical understanding of all aspects of insurance company finances and operations. Insurance brokerages such as Alliant Insurance typically rely upon rating agencies for this type of market analysis. Both A.M. Best and Standard and Poor's have been industry leaders in this area for many decades, utilizing a combination of quantitative and qualitative analysis of the information available in formulating their ratings.

A.M. Best has an extensive database of nearly 6,000 Life/Health, Property Casualty and International companies. You can visit them at www.ambest.com. For additional information regarding insurer financial strength ratings visit Standard and Poor's website at www.standardandpoors.com.

Our goal is to procure insurance for you with underwriters possessing the financial strength to perform. Alliant does not, however, guarantee the solvency of any underwriters with which insurance or reinsurance is placed and maintains no responsibility for any loss or damage arising from the financial failure or insolvency of any insurer. We encourage you to review the publicly available information collected to enable you to make an informed decision to accept or reject a particular underwriter. To learn more about companies doing business in your state, visit the Department of Insurance website for that state.

Other Disclosures / Disclaimers - Continued

NY Regulation 194

Alliant Insurance Services, Inc. is an insurance producer licensed by the State of New York. Insurance producers are authorized by their license to confer with insurance purchasers about the benefits, terms and conditions of insurance contracts; to offer advice concerning the substantive benefits of particular insurance contracts; to sell insurance; and to obtain insurance for purchasers. The role of the producer in any particular transaction typically involves one or more of these activities.

Compensation will be paid to the producer, based on the insurance contract the producer sells. Depending on the insurer(s) and insurance contract(s) the purchaser selects, compensation will be paid by the insurer(s) selling the insurance contract or by another third party. Such compensation may vary depending on a number of factors, including the insurance contract(s) and the insurer(s) the purchaser selects. In some cases, other factors such as the volume of business a producer provides to an insurer or the profitability of insurance contracts a producer provides to an insurer also may affect compensation.

The insurance purchaser may obtain information about compensation expected to be received by the producer based in whole or in part on the sale of insurance to the purchaser, and (if applicable) compensation expected to be received based in whole or in part on any alternative quotes presented to the purchaser by the producer, by requesting such information from the producer.

FATCA:

The Foreign Account Tax Compliance Act (FATCA) requires the notification of certain financial accounts to the United States Internal Revenue Service. Alliant does not provide tax advice so please contact your tax consultant for your obligation regarding FATCA.

Claims Reporting:

Your policy will come with specific claim reporting requirements. Please make sure you understand these obligations. Contact your Alliant Service Team with any questions.

NRRA:

The Non-Admitted and Reinsurance Reform Act (NRRA) went into effect on July 21, 2011. Accordingly, surplus lines tax rates and regulations are subject to change which could result in an increase or decrease of the total surplus lines taxes and/or fees owed on this placement. If a change is required, we will promptly notify you. Any additional taxes and/or fees must be promptly remitted to Alliant Insurance Services, Inc.

Other Disclosures / Disclaimers - Continued

Changes and Developments

It is important that we be advised of any changes in your operations, which may have a bearing on the validity and/or adequacy of your insurance. The types of changes that concern us include, but are not limited to, those listed below:

- Changes in any operations such as expansion to another states, new products, or new applications of existing products.
- Travel to any state not previously disclosed.
- Mergers and/or acquisition of new companies and any change in business ownership, including percentages.
- Any newly assumed contractual liability, granting of indemnities or hold harmless agreements.
- Any changes in existing premises including vacancy, whether temporary or permanent, alterations, demolition, etc. Also, any new premises either purchased, constructed or occupied
- Circumstances which may require an increased liability insurance limit.
- Any changes in fire or theft protection such as the installation of or disconnection of sprinkler systems, burglar alarms, etc. This includes any alterations to the system.
- Immediate notification of any changes to a scheduled of equipment, property, vehicles, electronic data processing, etc.
- Property of yours that is in transit, unless previously discussed and/or currently insured.

Certificates / Evidence of Insurance

- A certificate is issued as a matter of information only and confers no rights upon the certificate holder. The certificate does not affirmatively or negatively amend, extend or alter the coverage afforded by a policy. Nor does it constitute a contract between the issuing insurer(s), authorized representative, producer or certificate holder.
- You may have signed contracts, leases or other agreements requiring you to provide this evidence. In those agreements, you may assume obligations and/or liability for others (Indemnification, Hold Harmless) and some of the obligations that are not covered by insurance. We recommend that you and your legal counsel review these documents.

In addition to providing a certificate of insurance, you may be required to name your client or customer on your policy as an additional insured. This is only possible with permission of the insurance company, added by endorsement and, in some cases, an additional premium.

By naming the certificate holder as additional insured, there are consequences to your risks and insurance policy including:

- Your policy limits are now shared with other entities; their claims involvement may reduce or exhaust your aggregate limit.
- Your policy may provide higher limits than required by contract; your full limits can be exposed to the additional insured.
- There may be conflicts in defense when your insurer has to defend both you and the additional insured.

Other Disclosures / Disclaimers - Continued

Commercial Property Coverage

***Property Co-insurance:** Most property insurance policies contain a co-insurance clause. In exchange for a reduced rate, the insured agrees to carry at least the stated percentage of insurance to the total insurable value of the property. If, at the time of loss, the amount of insurance carried is less than this percentage, the loss payment will be reduced proportionately.

Glossary of Insurance Terms

Below are a couple of links to assist you in understanding the insurance terms you may find within your insurance coverages:

<http://insurancecommunityuniversity.com/UniversityResources/InsuranceGlossaryFREE.aspx>

<http://www.ambest.com/resource/glossary.html>

<http://www.irmi.com/online/insurance-glossary/default.aspx>

Optional Coverages

The following represents a list of insurance coverages that are not included in this proposal, but are optional and may be available with further underwriting information. Note some of these coverages may be included with limitations or insured elsewhere. This is a partial listing as you may have additional risks not contemplated here or are unique to your organization.

- Crime / Fidelity Insurance
- Directors & Officers Liability
- Earthquake Insurance
- Employed Lawyers
- Employment Practices Liability
- Event Cancellation
- Fiduciary Liability
- Fireworks Liability
- Flood Insurance
- Foreign Insurance
- Garage Keepers Liability
- Kidnap & Ransom
- Law Enforcement Liability
- Media and Publishers Liability
- Medical Malpractice Liability
- Network Security / Privacy Liability and Internet Media Liability
- Pollution Liability
- Owned/Non-Owned Aircraft
- Owned Watercraft
- Special Events Liability
- Student Accident
- Volunteer Accidental Death & Dismemberment (AD&D)
- Workers' Compensation
- Workplace Violence

Request to Bind Coverage

Northern California Cities Self Insurance Fund

We have reviewed the proposal and agree to the terms and conditions of the coverages presented. We are requesting coverage to be bound as outlined by coverage line below:

Coverage Line	Bind Coverage for:
DEADLY WEAPON RESPONSE PROGRAM	<input checked="" type="checkbox"/>

This Authorization to Bind Coverage also acknowledges receipt and review of all disclaimers and disclosures, including exposures used to develop insurance terms, contained within this proposal.



Signature of Authorized Insurance Representative



Date



Title



Printed / Typed Name

This proposal does not constitute a binder of insurance. Binding is subject to final carrier approval. *The actual terms and conditions of the policy will prevail.*

NOTICE:

- 1. THE INSURANCE POLICY THAT YOU ARE APPLYING TO PURCHASE IS BEING ISSUED BY AN INSURER THAT IS NOT LICENSED BY THE STATE OF CALIFORNIA. THESE COMPANIES ARE CALLED “NONADMITTED” OR “SURPLUS LINE” INSURERS.**
- 2. THE INSURER IS NOT SUBJECT TO THE FINANCIAL SOLVENCY REGULATION AND ENFORCEMENT THAT APPLY TO CALIFORNIA LICENSED INSURERS.**
- 3. THE INSURER DOES NOT PARTICIPATE IN ANY OF THE INSURANCE GUARANTEE FUNDS CREATED BY CALIFORNIA LAW. THEREFORE, THESE FUNDS WILL NOT PAY YOUR CLAIMS OR PROTECT YOUR ASSETS IF THE INSURER BECOMES INSOLVENT AND IS UNABLE TO MAKE PAYMENTS AS PROMISED.**
- 4. THE INSURER SHOULD BE LICENSED EITHER AS A FOREIGN INSURER IN ANOTHER STATE IN THE UNITED STATES OR AS A NON-UNITED STATES (ALIEN) INSURER. YOU SHOULD ASK QUESTIONS OF YOUR INSURANCE AGENT, BROKER, OR “SURPLUS LINE” BROKER OR CONTACT THE CALIFORNIA DEPARTMENT OF INSURANCE AT THE FOLLOWING TOLL-FREE TELEPHONE NUMBER: 1-800-927-4357 OR INTERNET WEB SITE WWW.INSURANCE.CA.GOV. ASK WHETHER OR NOT THE INSURER IS LICENSED AS A FOREIGN OR NON-UNITED STATES (ALIEN) INSURER AND FOR ADDITIONAL INFORMATION ABOUT THE INSURER. YOU MAY ALSO CONTACT THE NAIC’S INTERNET WEB SITE AT WWW.NAIC.ORG.**
- 5. FOREIGN INSURERS SHOULD BE LICENSED BY A STATE IN THE UNITED STATES AND YOU MAY CONTACT THAT STATE’S DEPARTMENT OF INSURANCE TO OBTAIN MORE INFORMATION ABOUT THAT INSURER.**
- 6. FOR NON-UNITED STATES (ALIEN) INSURERS, THE INSURER SHOULD BE LICENSED BY A COUNTRY OUTSIDE OF THE UNITED STATES AND SHOULD BE ON THE NAIC’S INTERNATIONAL INSURERS DEPARTMENT (IID) LISTING OF**

APPROVED NONADMITTED NON-UNITED STATES INSURERS. ASK YOUR AGENT, BROKER, OR "SURPLUS LINE" BROKER TO OBTAIN MORE INFORMATION ABOUT THAT INSURER.

7. CALIFORNIA MAINTAINS A LIST OF APPROVED SURPLUS LINE INSURERS. ASK YOUR AGENT OR BROKER IF THE INSURER IS ON THAT LIST, OR VIEW THAT LIST AT THE INTERNET WEB SITE OF THE CALIFORNIA DEPARTMENT OF INSURANCE: WWW.INSURANCE.CA.GOV.

8. IF YOU, AS THE APPLICANT, REQUIRED THAT THE INSURANCE POLICY YOU HAVE PURCHASED BE BOUND IMMEDIATELY, EITHER BECAUSE EXISTING COVERAGE WAS GOING TO LAPSE WITHIN TWO BUSINESS DAYS OR BECAUSE YOU WERE REQUIRED TO HAVE COVERAGE WITHIN TWO BUSINESS DAYS, AND YOU DID NOT RECEIVE THIS DISCLOSURE FORM AND A REQUEST FOR YOUR SIGNATURE UNTIL AFTER COVERAGE BECAME EFFECTIVE, YOU HAVE THE RIGHT TO CANCEL THIS POLICY WITHIN FIVE DAYS OF RECEIVING THIS DISCLOSURE. IF YOU CANCEL COVERAGE, THE PREMIUM WILL BE PRORATED AND ANY BROKER'S FEE CHARGED FOR THIS INSURANCE WILL BE RETURNED TO YOU.

Date: 06/29/18

Insured: 

D-1 (Effective January 1, 2017)



Deadly Weapons in the Workplace



In the modern world, threats come from many sources. One of the most difficult to defend against is the incursion of an armed individual intent on inflicting harm. Your organization may have a “duty of care” to maintain a secure environment for your staff, students, patients, visitors and the general public. In the event of a deadly attack, you could be liable for regulatory or civil actions.

There are significant challenges to securing places of business, particularly ones where visitors come and go frequently.

In addition to the tragic human costs associated with a deadly weapons attack, many organizations are also concerned about the financial impact associated with such events. While general liability, property and workers’ compensation policies can respond meaningfully to such events, not all anticipated costs will be covered in every situation. These costs can be significant, and for this reason, the **Alliant Deadly Weapons Response Program** is designed to address uncovered expenses

How and when does my General Liability policy respond?

General liability insurance is designed to cover financial loss when the insured is deemed negligent in causing or contributing to the injuries of a third party. It is not always immediately clear in a deadly weapons event if an employer or property owner was negligent in such situations. Further, general liability insurance is not designed to cover first-party expenses such as funeral costs, crisis management consultants, public relations expenses or immediate counseling of victims and their families, which can all arise from such an event.

What about my property policy?

If your operations needs to cease temporarily, or even permanently because of an event, your building must sustain direct physical damage in order for your commercial property policy to compensate you for lost revenue. Without physical damage, there still can be stigma associated with an event site which not only is hard to quantify, but also creates difficulty in continuing on as before, which a property policy is not designed to address.

INDUSTRIES EXPOSED

- Municipalities:** Counties, Cities, Townships
- Education:** Elementary Schools, High Schools, Universities
- Healthcare:** Hospitals, Nursing Homes, Clinics

- Hospitality:** Hotels, Resorts, Nightclubs
- Special events including:** Sports, Parades, Graduations, Rodeos, Concerts
- Retail:** Malls, Shopping Centers

- Religious:** Churches, Synagogues
- Amusement Parks and Attractions**
- Condominium Associations, Public Works**



Since 2002, no incident has been declared as a “Certified Terrorist Attack.”

How would Workers’ Compensation apply?

While workplace injuries sustained in the course and scope of employment are covered, there can be delays in assisting employees with trauma when no obvious physical injury has been sustained. Employers will want crisis counseling services provided immediately.

Won’t Terrorism cover us?

Insureds may also believe that deadly weapon incidents are covered by the Terrorism Risk Insurance Program Reauthorization Act of 2015 (TRIPRA) or a stand-alone terrorism insurance policy. However, not all violent actions fall neatly into the definition of Terrorism which normally requires some ideological motivation on the part of the perpetrator. TRIPRA requires significant financial thresholds to be breached before this coverage can be made available and events must be declared a “Certified Terrorist Attack” by the heads of three federal agencies to qualify. Since 2002, no incident has been declared as a “Certified Terrorist Attack.”

How can Alliant help me?

As the nation’s premier specialty retail insurance brokerage company, Alliant Insurance Services has developed the **Alliant Deadly Weapon Response Program**. Deadly weapons protection insurance aims to address an entity’s needs after a violent incident.

Why purchase Deadly Weapons Protection?

The coverage offered is a three-fold product providing Third Party Liability (inclusive of Defense and Settlements), Physical Damage to your assets (including Business Interruption whether or not there is physical damage sustained) and Crisis Management Services component before and after an incident.

ABOUT ALLIANT INSURANCE SERVICES

Alliant Insurance Services is one of the nation’s leading and fastest growing distributors of diversified insurance products and services. In the face of increasing complexity, our approach is simple: bring on the best people and invest more deeply in the industries and clients we serve. We operate through a network of specialized national platforms and regional offices to offer a comprehensive portfolio of services to our clients. Our goal is to ensure that they get the most innovative products—and the most innovative thinking—in the industry. www.alliant.com

COVERAGE

Standard Offering

Policy Limit of \$500,000 per claim/aggregate (Pool aggregate \$2,500,000)

Sublimits for:

- \$250,000 1st Party Property Damage;
- \$250,000 Business Interruption;
- \$250,000 Crisis Management;
- \$250,000 Counseling Services;
- \$250,000 Funeral Expenses;
- \$250,000 Demo/Clearance and Memorialization.

Policy Deductible is \$10,000.

CONTACT

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 949-660-8133

Anne Shackelford

Vice President
Anne.Shackelford@alliant.com
 206-454-8021

NCCSIF POLICE RISK MANAGEMENT GRANT FUNDS HISTORIC USAGE REPORT - as of 9/20/2018

Member	FY 14/15 \$50,000 Grant Camera Allocation	FY 15/16 \$50,000 Grant Fund Allocation	FY 16/17 \$50,000 Grant Fund Allocation	FY 17/18 \$50,000 Grant Fund Allocation	FY 18/19 \$50,000 Grant Fund Allocation	GRAND TOTAL	YTD Reimbursements Made	REMAINING FUNDS	Reimbursement Notes/Usage
1 Anderson	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00		\$6,060.00	
2 Auburn	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00	\$9,090.00	\$3,030.00	4/25/17 \$6,280.56 (12 VieVu LE4 mini body worn cameras) 9/8/17 \$2,809.44 (4 VieVu LE4mini & 1 multi-dock LE4)
3 Colusa	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$3,030.00	\$3,030.00	7/27/17 \$3,030 (concealable vests with load bearing carriers)
4 Corning	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$3,291.26	\$2,768.74	9/6/16 \$3,291.26 (4 VieVu LE4 body cameras)
5 Dixon	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00	\$8,994.38	\$3,125.62	4/20/17 \$6,060 (30 Wolfcom Vision 1080p body camera with rotatable camera head and 32GB memory) 6/1/18 \$2,934.38 (3 Wolfcom Vision 1080p body camera + training cost for force options simulator)
6 Elk Grove*	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00	\$9,090.00	\$3,030.00	1/11/18 \$9,090 (WatchGuard Vista HD body cameras)
7 Folsom	5	\$3,787.50	\$3,787.50	\$3,787.50	\$3,787.50	\$15,150.00	\$7,575.00	\$7,575.00	10/5/16 \$7,576 (8 VieVu LE4 body camera and 1 multi-dock network station)
8 Galt	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00		\$12,120.00	1/25/18 Plan to use fund /BWC program under consideration
9 Gridley	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$4,543.26	\$1,516.74	9/6/16 \$3,291.26 (4 VieVu LE4 body cameras) 3/28/18 \$1,252 (one VieVu LE5 camera and seven Public Safety Vests)
10 Ione	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$4,625.61	\$1,434.39	11/2/16 \$1,655.23 (2 VieVu LE4 body camera) 9/8/17 \$1,736.24 balance (2 VieVu LE4 body cameras and 2 LE4 Cradle) 5/21/18 \$1,234.14 (2 VieVu LE5 body camera and license for Veripatrol Software)
11 Jackson	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00		\$6,060.00	
12 Lincoln	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00	\$6,548.50	\$5,571.50	10/5/16 \$6,060 (8 VieVu LE4 body cameras)
13 Marysville	3	\$2,272.50	\$2,272.50	\$2,272.50	\$2,272.50	\$9,090.00	\$4,919.87	\$4,170.13	8/18/17 \$4,919.87 (6 VieVu LE4 body cameras)
14 Nevada City	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$4,545.00	\$1,515.00	2/6/18 \$4,545 (Body Camera Storage and Equipment cost for 2015-2017)
15 Oroville	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00	\$12,120.00	\$0.00	9/23/16 \$3,010 (Video Storage Buffalo Terastation) 10/5/16 \$3,050 (5 VieVu LE4 body cameras) 11/20/17 \$1,174.00 (1 Tactical Armor-Ballistic Vest) 9/4/18 \$4,886 (20 VieVu LE5s body cameras)
16 Paradise	3	\$2,272.50	\$2,272.50	\$2,272.50	\$2,272.50	\$9,090.00	\$4,545.00	\$4,545.00	11/15/16 \$762.14 (5 flashlights) + \$1,477.28 (1 VieVu LE4 multi-dock station) 3/14/17 \$2,305.58 (Ballistic Vests)
17 Placerville*	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$3,970.32	\$2,089.68	12/28/17 \$3,970.32 (4 Tactical Armor-Ballistic Vests)
18 Red Bluff	3	\$2,272.50	\$2,272.50	\$2,272.50	\$2,272.50	\$9,090.00	\$4,545.00	\$4,545.00	2/17/17 \$1,473.74 (Apex Body cam storage remediation) 10/18/17 \$3,071.26 (5 VieVu LE4 body cameras) 1/25/18 Plan to use to purchase more BWC & future funds to replace old cameras
19 Rio Vista	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$4,241.15	\$1,818.85	11/16/17 \$4,241.15 (9 VieVu LE5 body cameras)
20 Rocklin	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00	\$6,516.24	\$5,603.76	10/5/16 \$6,516.24 (4 VieVu LE4 body cameras, 1 LE4 multi-dock, 1 LE3 multi-dock)
21 Willows	2	\$1,515.00	\$1,515.00	\$1,515.00	\$1,515.00	\$6,060.00	\$2,130.00	\$3,930.00	6/18/18 \$2,130 for 18/19 Lexipol-Fire Policy Service annual fee
22 Yuba City	4	\$3,030.00	\$3,030.00	\$3,030.00	\$3,030.00	\$12,120.00	\$6,060.00	\$6,060.00	5/5/17 \$6,060 (Data911 body-worn cameras)
TOTAL	58	\$49,995.00	\$49,995.00	\$49,995.00	\$49,995.00	\$199,980.00	\$110,380.59	\$89,599.41	

*Opted for Cash Allocation to purchase other than VieVu Camera
Fund Allocation is based on cost of camera at \$757.50 each

PROPERTY APPRAISAL SERVICES AGREEMENT



and

Northern California Cities Self Insurance Fund

Table of Contents

- PROFESSIONAL SERVICES AGREEMENT 3
- Statement of Work 6
 - 1. Appraisal Scope 6
 - 2. Appraisal Services & Procedures 7
 - General Buildings & Structures 7
 - ISO/Construction Classifications 7
 - Specialty Structures – Historical Properties 8
 - Specialty Structures – Water & Sewer Treatment 8
 - Common Processes and Structures 8
 - Reservoirs, Pump Houses, Wells, etc. 8
 - Flood Zone Designation 9
 - Content Values 9
 - Valuation Methodology 9
 - 3. Appraisal Services & Procedures 10
 - 4. Project Plan 10
 - NCCSIF / Member Staff Expectations 10
 - Project ‘Kickoff’ Meeting 11
 - Project plan and timing 11
 - 5. Project Management/Customer Communication Tool 12
 - 6. Reports and Deliverables 13
 - Certification Letter 13
 - Preliminary Reports 13
 - Final Reports & Data 13
 - Sample Reports 14
- Compliance with “Scope of Work” 15
- Fees 15
- Expiration 15
- ATTACHMENT A – Property List 16

PROFESSIONAL SERVICES AGREEMENT

PROPERTY APPRAISAL SERVICES

1. SERVICES.

This Agreement shall apply each time Northern California Cities Self Insurance Fund ("Customer") engages AssetWorks LLC to provide services. All services provided will be described in an AssetWorks' quotation or a mutually agreed upon "Statement of Work" ("SOW") as applicable (hereinafter referred to as "Services"). The initial SOW is attached hereto. This Agreement is effective as of the date first fully executed.

2. TERMS

2.1 Requests for Service; Quotes and Orders. Customer shall sign and return this agreement for the initial order for Services. All subsequent orders for Services must specify AssetWorks' quotation (if any), and reference the Service(s) requested and invoice address as well as this Agreement. All orders are subject to acceptance by AssetWorks.

2.2 Prices. The prices charged for Services purchased under this Agreement will be as quoted by AssetWorks. If the Services are being performed on a time and materials basis, any estimates provided by AssetWorks are for planning purposes only.

2.3 Additional Fees; Taxes. Prices are exclusive of all country, provincial, state and local sales, use, value added, excise, privilege, franchise and similar taxes. Taxes imposed on AssetWorks (other than taxes related to AssetWorks' income) in connection with the Services purchased under this Agreement will be paid by Customer and will appear as separate items on AssetWorks' invoices.

2.4 Invoicing and Payment. Customer's payment terms will be net thirty (30) days from the date of invoice.

2.5 Term. This Agreement will begin on the effective date stated above and will continue until terminated in accordance with its terms. Each SOW will continue for the term stated therein, unless otherwise terminated pursuant to this Agreement.

2.6 Termination. Either party may terminate this Agreement by providing at least thirty (30) days prior written notice to the other. Termination of the Agreement will not terminate any outstanding SOWs and the terms of this Agreement will survive such termination to the extent that such terms are incorporated into any outstanding SOWs. Either party may terminate an individual SOW if the other party commits a material breach of such an agreement and the breach is not cured within thirty (30) days of receipt of written notice from the injured party.

Termination of one or more SOW will not terminate this Agreement. Upon termination, all rights and obligations of the parties under this Agreement will automatically terminate except for rights of action accruing prior to termination, payment obligations and any obligations that expressly or by implication are intended to survive termination.

3. PROPRIETARY RIGHTS AND CONFIDENTIALITY

3.1 PROPRIETARY RIGHTS. AssetWorks will retain exclusive ownership in all deliverables created by AssetWorks hereunder and will own all intellectual property rights, title and interest in any ideas, concepts, know how, documentation or techniques developed by AssetWorks under this Agreement. AssetWorks will also retain all intellectual property rights with respect to the tools and/or software that AssetWorks uses to deliver the Services. Subject to payment in full for the applicable Services, AssetWorks grants Customer a perpetual, non-exclusive, non-transferable, royalty-free right to use the deliverables solely for Customer's internal use.

3.2 Confidentiality. Each party shall, during the term of this Agreement and thereafter, keep confidential all, and shall not use for its own purposes (other than as required to perform Services) nor without the prior written consent of the other disclose to any third party (except its professional advisors or as may be required by any law or any legal or regulatory authority) any, information of a confidential nature (including trade secrets and information of commercial value) which may become known to such party from the other party and which relates to the other party or any of its Affiliates, unless that information is public knowledge or already known to such party at the time of disclosure, or subsequently becomes public knowledge other than by breach of this Agreement, or subsequently comes lawfully into the possession of such party from a third party. Each party shall use its reasonable endeavors to prevent the unauthorized disclosure of any such information.

4. CUSTOMER RESPONSIBILITIES

It is the Customer's responsibility to backup data on Customer's system. AssetWorks WILL NOT BE RESPONSIBLE FOR LOSS OF OR DAMAGE TO DATA OR LOSS OF USE OF ANY COMPUTER OR NETWORK SYSTEMS. Customer acknowledges that AssetWorks' performance and delivery of the Services are contingent upon: (i) Customer providing safe and hazard-free access to its personnel, facilities, equipment, hardware, software, network and information

and (ii) Customer's timely decision-making, notification of relevant issues or information and granting of approvals and/or permission. CUSTOMER will obtain the appropriate license, intellectual property rights, or any other permissions, regulatory certifications or approvals required to support any SOW or AssetWorks' performance of the Services in the CUSTOMER environment. CUSTOMER will also obtain any necessary export license.

5. LIMITED WARRANTY & LIMITATION OF LIABILITY

5.1 Limited Warranty. ASSETWORKS WARRANTS THAT SERVICES WILL BE PERFORMED IN A GOOD AND WORKMANLIKE MANNER. EXCEPT AS EXPRESSLY STATED IN THE PRECEDING SENTENCE, ASSETWORKS MAKES NO EXPRESS OR IMPLIED WARRANTIES WITH RESPECT TO THE SERVICES, INCLUDING BUT NOT LIMITED TO, ANY WARRANTY RELATING TO THIRD PARTY PRODUCTS OR THIRD PARTY SERVICES; ANY WARRANTY WITH RESPECT TO THE PERFORMANCE OF ANY HARDWARE OR SOFTWARE USED IN CONDUCTING SERVICES; ANY WARRANTY CONCERNING THE RESULTS TO BE OBTAINED FROM THE SERVICES OR THE RESULTS OF ANY RECOMMENDATION ASSETWORKS MAY MAKE; AND, ANY IMPLIED WARRANTIES CONCERNING THE PERFORMANCE, MERCHANTABILITY, SUITABILITY, NON-INFRINGEMENT OR FITNESS FOR A PARTICULAR PURPOSE OF ANY OF THE DELIVERABLES OR OF ANY SYSTEM THAT MAY RESULT FROM THE IMPLEMENTATION OF ANY RECOMMENDATION ASSETWORKS MAY PROVIDE.

5.2 Limitation of Liability. NEITHER CUSTOMER, ASSETWORKS NOR ASSETWORKS'S SUBCONTRACTORS WILL BE LIABLE FOR ANY INCIDENTAL, INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF OR IN CONNECTION WITH THE SERVICES PROVIDED BY ASSETWORKS EVEN IF A PARTY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. ASSETWORKS SHALL NOT HAVE LIABILITY FOR (I) LOSS OF INCOME, PROFIT, OR SAVINGS, WHETHER DIRECT OR INDIRECT, (II) LOST OR CORRUPTED DATA OR SOFTWARE, OR (III) PRODUCTS NOT BEING AVAILABLE FOR USE. EXCEPT FOR CLAIMS THAT THE SERVICES (EXCLUDING THIRD PARTY PRODUCTS) CAUSED BODILY INJURY (INCLUDING DEATH), PROPERTY DAMAGE OR PERSONAL INJURY DUE TO ASSETWORKS'S NEGLIGENCE OR WILLFUL MISCONDUCT, ASSETWORKS'S TOTAL LIABILITY ARISING OUT OF, OR IN CONNECTION WITH, ANY SERVICES PURCHASED PURSUANT TO THIS AGREEMENT SHALL NOT EXCEED THE AMOUNTS PAID BY CUSTOMER FOR THE SPECIFIC SERVICE(S) GIVING RISE TO SUCH CLAIM DURING THE PRIOR TWELVE MONTH PERIOD.

6. MISCELLANEOUS ITEMS

6.1 Assignment; Subcontracting. Unless otherwise provided in the SOW, CUSTOMER may not assign this Agreement without the prior written consent of AssetWorks. AssetWorks has the right to hire subcontractors to perform the Services provided that AssetWorks shall remain responsible for the performance of Services under this Agreement, or to assign Services to its affiliates.

6.2 Entire Agreement; Severability. This Agreement (with attachments) is the entire agreement between AssetWorks and CUSTOMER with respect to its subject matter and supersedes all prior oral and written understandings, communications or agreements between AssetWorks and CUSTOMER. No amendment to or modification of this Agreement, in whole or in part, will be valid or binding unless it is in writing and executed by authorized representatives of both parties. If any provision of this Agreement is void or unenforceable, the remainder of this Agreement will remain in full force and will not be terminated.

6.3 Independent Contractor. The parties are independent contractors. Neither party will have any rights, power or authority to act or create an obligation, express or implied, on behalf of another party except as specified in this Agreement.

6.4 Force Majeure. Neither party shall be liable hereunder by reason of any failure or delay in the performance of its obligations hereunder (except for the payment of money) on account of strikes, shortages, riots, insurrection, fires, flood, storm, explosions, earthquakes, acts of God, war, governmental action, labor conditions, material shortages or any other cause which is beyond the reasonable control of such party.

6.5 Dispute Resolution. The parties will seek a fair and prompt negotiated resolution within ten (10) days of the initial notice of the dispute. If the dispute has not been resolved after such time, the parties will escalate the issue to more senior levels. Nothing herein shall prevent either party from seeking a preliminary or permanent injunction to preserve the status quo or prevent irreparable harm during the negotiation process or diminish the respective rights of the parties to pursue any and all remedies available in law and/or equity at any time.

6.6 Notices. To give notice under this Agreement, the notice must be in writing and sent by postage prepaid first-class mail, receipted courier service, facsimile telecommunication or electronic mail to the address which appears below each party's signature below or to such

other address as any party shall specify by notice in writing to the other party and will be effective upon receipt.

6.7 Section Headings. The section headings contained in this Agreement are inserted for reference purposes only and shall not affect the meaning or interpretation of this Agreement.

6.8 Governing Law, Jurisdiction and Language. The laws of the state or province where the Services are performed will govern this Agreement.

6.10 Counterparts. This Agreement may be executed in counterparts, each of which shall be deemed an original, but all such counterparts shall together constitute one and the same instrument.

Accepted by: Northern California Cities Self Insurance Fund:

Signature Marcus Beverly
Name Program Manager
Title 8/30/18
Date

Accepted by: AssetWorks LLC
Signature Shaun Callahan
Name Shaun Callahan
Title Vice President
Date 9/5/18

Notice Address:

Company Name: _____
Attn: _____
Address: _____
Phone: _____

AssetWorks LLC
Attn: Contract Manager
168 Industry Drive
Pittsburgh, PA 15275
Phone: (412) 809-0389
Fax: (412) 809-0777

CONFIDENTIAL AND PROPRIETARY

Statement of Work

1. Appraisal Scope

The scope of this project is to physically inspect and appraise the insured property of the Northern California Cities Self Insurance Fund and obtain uniform and reliable insurable values for buildings, structures, and contents so accurate values can be used for insurance placement and allocation of premiums amongst members. The resulting data will provide current insurable values, enhanced COPE data, proof-of-loss documentation, as well as the basis for underwriting and insurance premiums. The proposed services will be performed in accordance with industry-wide appraisal standards (USPAP – Uniform Standards of Professional Appraisal Practice).

AssetWorks proposed solution will include:

- Onsite property insurance appraisals resulting in accurate insurable values and proof-of-loss documentation for buildings and structures
- Contents within the buildings will be reported based on a modeling technique
- Proper identification of primary Construction, Occupancy, Protection and Exposure (COPE) data for each structure.
- Identification of flood zones for each structure
- Certified reports and data file for OAYSIS system

2. Appraisal Services & Procedures

General Buildings & Structures

The basis for valuations of each building lie in the data recorded while onsite. Each building will be physically inspected and a description for each building will be developed and recorded, depicting primary Construction, Occupancy, Protection and Exposure (**COPE**) data to be included on the insured property as it is related to property loss prevention and valuation. **Construction** details will include: building wall, floor and roof material, dates of construction, building dimensions, total square footage. **Occupancy** details will include general building use. **Protection** details include sprinkler systems, fire detection and alarm systems. **Exposure** details include our observation of interior and exterior hazard exposures.

Primary COPE data collected on each building will include:

- | | |
|--|--|
| 1. Company name | 12. Construction type |
| 2. Name of appraiser | 13. Exterior wall type |
| 3. Date of valuation | 14. Roof type |
| 4. Property name | 15. HVAC systems |
| 5. Property description | 16. Fire protection |
| 6. Building name | 17. Sprinkler system |
| 7. Property address | 18. Security alarms |
| 8. GPS coordinates | 19. Flood zone |
| 9. Occupancy or use | 20. Earthquake zone (California Earthquake Underwriting Zones) |
| 10. Year constructed (actual or estimated) | |
| 11. Square footage | |

ISO/Construction Classifications

One of the primary goals of underwriting for property insurance is determining the risk of fire. Properly identifying the construction class can help underwriter understand the construction type and rate the risk more accurately. AssetWorks reports will include ISO classes 1-6, as defined by the Commercial Fire Rating Schedule (CFRS) defined in terms of the Marshall and Swift construction classes:

ISO Class	M&S Class	Description
1	D	Frame/Combustible (wood walls & roof)
2	C	Joisted Masonry (free standing masonry shell flammable roof)
3	S	Noncombustible (light steel construction)
4	C	Masonry Noncombustible (free standing masonry walls with noncombustible floors and roof)
5	A	Modified Fire Resistive (noncombustible, 1 hour fire rating)
6	B	Fire Resistive (noncombustible, 2 hour fire rating)

Specialty Structures – Historical Properties



Buildings registered as historical with the National Trust of Historic Preservation will be valued based on reproduction cost which will include identifying and appraising components of the subject building, utilizing a segregated cost approach to determine valuations on material that is like-kind as well as function. Specialty attributes will be taken into consideration and valued in terms of reproduction as it relates to the subject building. It is understood that the customer will provide information on which buildings require **reproduction cost** valuations.

Specialty Structures – Water & Sewer Treatment

AssetWorks' approach to valuing wastewater facilities are performed on an itemized building and/or by process basis. The fieldwork will begin with a meeting between the project team and the plant manager or other delegate. The following is verified:

- Available blueprints/site map/process summary
- Plant design capacity – measured in “million gallon capacity per day treated” MGD
- Plant original construction date
- Plant addition dates
- Drawings for original and additional (architectural drawings)
- Plant flow diagram (used to establish numbering scheme)
- Any “contractors cost breakdowns” for construction – (original or additions)

Common Processes and Structures

- Headworks (Inflow Pump Station)
- Clarifiers (Primary, Intermediate, Secondary)
- Gravity Thickeners/Dewatering Buildings
- Digesters
- Filters (Trickling, Biological, UV... etc.)
- Laboratories
- Chlorine Contact Structures
- Blower Buildings
- Pump Stations / Equipment Galleries



Reservoirs, Pump Houses, Wells, etc.

- Type(concrete/steel/above-ground/in-ground)
- Capacity
- Equipment

Flood Zone Designation

Flood Zone designations are based on GPS coordinates and mapped via satellite by a provider including E&O coverage. Identifying flood zones based on address can lead to an incorrect designation as many sites include multiple acres and multiple flood zones. In some cases, the flood zone actually intersects the structure and this has to be represented or an incorrect designation may be applied, particularly when only using a physical address as the determining factor. FEMA is currently undertaking the task of updating flood zones across the country as many zones have adjusted over time with the increase of construction, paving, terraforming and resulting changes from weather patterns and events. AssetWorks subscribes to FEMA updates as it relates to flood zone updates and maintains a strong relationship with a geo-mapping group to support zone designations and provide our clients with the accuracy required in this category.

Content Values

Content values will be included based on a “modeling” approach that was developed to meet the valuation needs of public entities without the extensive time required for a detailed inventory. AssetWorks utilizes computer models of more than 100 building types and their contents. A field inspection of each building is conducted and the building’s contents will be identified. We then estimate the value of contents in a particular building by taking a square foot equipment index of a like facility and applying it to the calculated area of the building. Equipment values may adjust to account for variations in density and quality of equipment present. Special consideration are given to buildings with specialty equipment (wells, pump houses, labs, EDP, warehouse...etc.), where content value can vary greatly.

Valuation Methodology

Our investigation of each property will follow generally accepted appraisal techniques and valuations in accordance with the Uniform Standards of Professional Appraisal Practice (USPAP) incorporating various research sources to develop cost conclusions for each building. Sources include price lists, trade journals, industry publications, pricing subscription services, engineering manuals and inquiries with local contractors as well as direct cost research. It is an ongoing task to identify and continuously substantiate values. Multiple sources of value exist, but to rely on only one can be limiting as they all have positive points to be reflected. Example sources of construction cost information include:

- Direct cost information from recent projects
- Inquiries with local contractors and architects
- US Department of Labor – Bureau of Labor Statistics
- Marshall and Swift / Boeckh
- RS Means Construction Cost Index
- Reed Construction Data
- Consumer & Producer Price Index
- Internal Developed Systems

3. Appraisal Services & Procedures

Cost of Replacement New is the amount required to reproduce a property entirely at one time, as of a specific date, in like size, kind and quality and in accordance with current market prices for labor, materials, manufactured equipment, contractors overhead, profit and fees, but with no provisions for overtime or bonuses, or for premiums for materials and equipment. This methodology takes local wages and material costs into account, as well as specific structural attributes and current construction code that will cause an increase or decrease in base value such as sprinkler systems, elevators, exterior wall adjustments, actual building occupancy, and quality of construction as related to industry standards. In determining replacement cost new, AssetWorks will not consider costs associated with demolition of property, debris removal, or partial loss into our analysis.

Insurance Exclusion is a provision in an insurance contract describing property, or types of property, that are not covered by an insurance policy. The insurance exclusion amount is deducted from replacement cost to arrive at an insurable value, specific to each structure.

Cost of Reproduction New (Historical Properties), is the amount required to reproduce a duplicate or replica of the entire property at one time in like kind and materials, in accordance with current market prices for materials, labor and manufactured equipment, contractor's overhead, profit, and fees, but with no provisions for overtime, bonuses for labor, or premiums for material.

4. Project Plan

The core of success in a property appraisal project begins on the front-end, and by way of dialogue, a truly customized project plan is developed to meet the specifications and needs of both NCCSIF and its Members. This includes communication and high levels of active listening, meshing the interests and requests of all parties involved from the Pool to its Members, Brokers, Insurers and potentially Reinsurers. Our approach is not a template and is catered towards not only client requests but by way of our experience and expertise can bring new solutions to the table and aid in determining suitability.

NCCSIF / Member Staff Expectations

AssetWorks believes that clients retain our services with the expectation that AssetWorks staff, as paid professionals and consultants, will perform the necessary tasks with the highest level of industry professionals to successfully complete the project on time. We do view our clients as active participants and anticipate their assistance with the following:

- **Pre-Project Planning and Scheduling** – AssetWorks will identify key items to have prepared for the project kickoff meeting. We ask that you prepare and distribute a memo to all members receiving an appraisal announcing the project and give the general purpose and time frame. AssetWorks will perform the appraisals by geographic region. We ask that you assist with scheduling the appraisals by geographic region as best as possible.
- **Availability of Existing Building Records** – AssetWorks will utilize existing records, as provided by the members. Blueprints, for example, can aid in the accuracy of calculating square foot area. Direct construction costs from Members are another source of valuable information we collect.
- **Access to All Sites** – AssetWorks appraisers will be granted access to all sites and buildings based on a mutually agreed upon appraisal schedule.

Project 'Kickoff' Meeting

Our project team will hold a comprehensive project-planning meeting with NCCSIF representatives in advance of the onsite fieldwork. We advise that members of the administrative, risk management and facilities staff or other directly involved personnel attend this meeting. Topics of discussion include confirmation of project scope and time frames, the physical appraisal schedule, accessibility to buildings, and contacts for each Member. This meeting lays the foundation for the methods and procedures used during the onsite fieldwork.

Project plan and timing

AssetWorks' automated approach and depth of experienced staff qualify us to complete all phases of this project in a timely fashion. Upon receipt of your authorization, AssetWorks will arrange a mutually agreeable schedule for the project planning meeting and our on-site inspection. We are prepared to have all appraisals completed by December 21, 2018 assuming an agreement is in place by September 27, 2018.

A. Project Planning Meeting	Who	Results	Timing
Review project scope	AssetWorks/NCCSIF	Confirm project understanding	10/1/18-10/5/18
Receive most recent schedule of values	AssetWorks/NCCSIF	Scheduling/data verification	10/1/18-10/5/18
Obtain member contact list	NCCSIF	Contact intro	10/1/18-10/5/18
Confirm schedule	AssetWorks	Timing/member notification	10/1/18-10/5/18
Review underwriting requirements	AssetWorks/NCCSIF	Deliverable formatting	10/1/18-10/5/18
Review Deliverables	AssetWorks/NCCSIF	Confirm reporting	10/1/18-10/5/18
Project team introduction	AssetWorks/NCCSIF	AssetWorks staff intro	10/1/18-10/5/18
B. Site Inspection	Who	Results	Timing
Physically inspect each site (inside/outside)	AssetWorks	Accurate property data	10/8/18-11/9/18
Generate square footage (plans/measure/direct)	AssetWorks	Square footage drives value	10/8/18-11/9/18
Collect COPE data	AssetWorks	Favorable insurance rates	10/8/18-11/9/18
Building digital photos/GPS coordinates	AssetWorks	ID building, proof-of-loss	10/8/18-11/9/18
C. Office Valuation	Who	Task Description	Timing
Develop insurable value	AssetWorks	Underwriting/Allocation	11/12/18-12/7/18
Risk+	AssetWorks	25+ years of values calculations	11/12/18-12/7/18
MS Boeckh	AssetWorks	Nationally recognized	11/12/18-12/7/18
RS Means	AssetWorks	Supplemental value source	11/12/18-12/7/18
Direct costing (actual construction cost)	AssetWorks	Reconcile new construction	11/12/18-12/7/18
AssetWorks developed costing models	AssetWorks	Actual cost of public entity buildings	11/12/18-12/7/18
D. Report Preparation	Who	Task Description	Timing
Management review (values, data, USPAP)	AssetWorks	Quality/consistent reporting	12/10/18-12/12/18
Draft report to NCCSIF	AssetWorks	Member review prior to finals	12/12/18
Final reports-detail/summary/data file	AssetWorks	Detail underwriting data	12/18

Our proposed schedule is flexible and can be adjusted based on NCCSIF and member needs. Progress reports can be delivered as each member is completed or we can break the service down into a multi-year approach.

5. Project Management/Customer Communication Tool

For all large scale property appraisal projects, AssetWorks implements a project management tool that is accessible by both AssetWorks and our customers. This provides a level of transparency that we feel is critical to successfully implement and conduct a comprehensive property valuation solution. This tool will give NCCSIF real time access to:

- Individual project stages
- Names of appraisal staff completing the appraisals
- Tasks being completed by the appraisal staff
- Projected start and finish dates
- Actual start and finish dates
- Time spent
- Percent complete

Project Number/Member	Stage	Team	Task	Predecessors	Project Manager/Assigned To	Allocation %	Duration	Projected Start	Projected Finish	% Complete	Complete Date
UTICA-AP-P004	In Progress						20.125d	03/05/18	04/02/18	3%	
UTICA-AP-P004 GREATER ATLANTA CHRISTIAN SO	Scheduling						5.125d	03/26/18	04/02/18	79%	
	Complete	Appraisal Services	Kickoff Meeting		Rob McMullen	100%	1h	03/26/18	03/26/18	100%	03/26/18
	Complete	Appraisal Services	On-Site Inspection		Rob McMullen	100%	3d	03/26/18	03/28/18	100%	03/28/18
	Complete	Appraisal Services	Upload/Valuation	4	Rob McMullen	100%	1d	03/29/18	03/29/18	100%	04/16/18
	Not Started	Appraisal Services	PM Review	5	Jay Shalakho	100%	1h	03/30/18	03/30/18	0%	
	Not Started	Product	Prelim Reports	6	Brett Johnson	100%	4h	03/30/18	03/30/18	0%	
	Not Started	Product	Final Reports	7	Brett Johnson	100%	4h	03/30/18	04/02/18	0%	
UTICA-AP-P004 AUBURN ENLARGED	Not Started						5.125d	03/05/18	03/12/18	0%	
	Not Started	Appraisal Services	Kickoff Meeting		Rob McMullen	100%	1h	03/05/18	03/05/18	0%	
	Not Started	Appraisal Services	On-Site Inspection		Rob McMullen	100%	3d	03/05/18	03/07/18	0%	
	Not Started	Appraisal Services	Upload/Valuation	11	Rob McMullen	100%	1d	03/08/18	03/08/18	0%	
	Not Started	Appraisal Services	PM Review	12	Jay Shalakho	100%	1h	03/09/18	03/09/18	0%	
	Not Started	Product	Prelim Reports	13	Brett Johnson	100%	4h	03/09/18	03/09/18	0%	
	Not Started	Product	Final Reports	14	Brett Johnson	100%	4h	03/09/18	03/12/18	0%	
UTICA-AP-P004 BELLEVILLE HENDERSON	Not Started						5.125d	03/05/18	03/12/18	0%	
	Not Started	Appraisal Services	Kickoff Meeting		Rob McMullen	100%	1h	03/05/18	03/05/18	0%	
	Not Started	Appraisal Services	On-Site Inspection		Rob McMullen	100%	3d	03/05/18	03/07/18	0%	
	Not Started	Appraisal Services	Upload/Valuation	18	Rob McMullen	100%	1d	03/08/18	03/08/18	0%	
	Not Started	Appraisal Services	PM Review	19	Jay Shalakho	100%	1h	03/09/18	03/09/18	0%	

In addition, the tool also provides real time access to the project costs. The tool tracks the estimated number of buildings at each location and compares it to the actual number of buildings appraised. It also includes the updated cost associated with the number of buildings appraised. This transparent project management approach ensures that there will be no surprises to NCCSIF and NCCSIF will know the cost of each appraisal in advance to receiving invoices.

6. Reports and Deliverables

Certification Letter

Certified appraisal reports are bound in an easy-to-read format. AssetWorks will provide a set of insurance summary and insurance detail reports. The certified appraisal report will include the Letter of Certification, which will:

- Identify the property appraised
- State the purpose of the appraisal
- Specify the appraisal date
- Define the level of value sought and the premise of value employed
- Describe the nature of the property included and excluded
- Discuss the appraisal investigation
- Indicate the factual data considered
- Present the conclusions of value
- Outline the qualifying and limiting conditions
- Include the signature of an authorized officer of the company

Preliminary Reports

Draft Summary and detail reports will be sent via email in .pdf format for review. We provide two weeks (or more as requested) to determine acceptability of the final data. Upon approval, AssetWorks will then prepare and deliver final reports in electronic and hard-copy format. Preliminary reports will also include a "Variance Report", comparing old values to new, providing an added tool for analysis.

Final Reports & Data

Hard Copy Reports

- Certification Letter
- Insurance Summary Report
- Building Detail Report
- Variance Report (Value Comparison Report)

Electronic Reports & Data

- Excel File of Reports Data
- .PDF Files of all Hard Copy Reports

Sample Reports

Sample Variance Report

Code	Building Description Address		Replacement Cost Less Exclusions	Replacement Cost - SOV	Replacement Cost Difference	% Change
Entity: 001 SAMPLE ENTITY USA						
Site: 005 SAMPLE SITE #1						
001	MAIN BUILDING #1 200 ANY STREET ANY TOWN, US 12345	Building	4,625,370	3,800,000	825,370	17.84 %
		Contents	917,200	800,000	117,200	12.77 %
002	OUT BUILDING #1 200 ANY STREET ANY TOWN, US 12345	Building	20,820	17,300	3,520	16.90 %
		Contents	4,900	2,000	2,900	59.18 %
Site total for 005:		Building	4,646,190	3,817,300	828,890	17.84 %
		Contents	922,100	802,000	120,100	13.02 %
Site: 006 SAMPLE SITE #2						
001	MAIN BUILDING #2 300 ANY STREET ANY TOWN, US 12345	Building	12,514,830	11,022,521	1,492,309	11.92 %
		Contents	1,688,900	1,330,627	358,273	21.21 %
002	OUT BUILDING #2 300 ANY STREET ANY TOWN, US 12345	Building	103,300	85,000	18,300	17.71 %
		Contents	31,300	18,000	13,300	42.49 %
003	OUT BUILDING #3 300 ANY STREET ANY TOWN, US 12345	Building	103,300	85,000	18,300	17.71 %
		Contents	31,300	18,000	13,300	42.49 %

Sample Building Detail Report

Entity: 001 SAMPLE ENTITY USA
Site: 003 COLLEGE/UNIVERSITY
Building: 001 UNIVERSITY BUILDING
 200 ANY STREET
 ANY TOWN, US 12345
Department: 2009 2009 APPRAISAL
Year Built: 2001 **Year Acquired:** 2001
Nbr. of Stories: 4 **Square Footage:** 105,545
Basement: NO **Adds/Renovations:** NO
Occupancy: COG COLLEGE - CLASSROOM
Frame Type: ST STEEL
ISO Class: 4 MASONRY NON COMBUSTIBLE
GPS Latitude: 28°26.125N
GPS Longitude: 081°11.935W
Flood Zone: X
Fire Protection: SPRINKLER SYSTEM
 INTRUSION SYSTEM
 FIRE ALARM - MANUAL
 FIRE ALARM - AUTOMATIC



GENERAL BUILDING CHARACTERISTICS

Exterior Walls: DECORATIVE CONCRETE BLOCK, GLASS METAL CURTAIN
Roofing: METAL (2%), SINGLE MEMBRANE (98%)
Foundation: CONCRETE SLAB ON GROUND, CONCRETE FOOTING FOUNDATION
Floor Finish: CARPETING, CERAMIC TILE
Ceiling Finish: ACOUSTICAL, DRYWALL
Partitions: CONCRETE BLOCK, DRYWALL/STUDS

Services: ELECTRICAL
 PLUMBING
 HEATING - CENTRAL (HOT WATER)
 AIR CONDITIONING - CENTRAL
Features: BUILT-INS (CABINETS, LOCKERS, BOOKCASES)
 COVERED ENTRANCE WAY
 COVERED WALKWAY
 PASSENGER ELEVATOR
 BUILT-IN SEATING
 MEZZANINE

Notes:
 ROOF - 2% HIGH PITCH & 98% FLAT
 AVERAGE WALL HEIGHT - 16'

VALUATION CONCLUSIONS

Replacement Cost New:	17,995,400
Exclusion Amount:	1,079,770
Replacement Cost Less Exclusions:	16,915,630
Actual Cash Value:	16,555,800
Exclusion Amount:	993,400
Actual Cash Value Less Exclusions:	15,562,400
Contents Value:	11,470,600

Produced by AssetWorks

Compliance with “Scope of Work”

AssetWorks, LLC can comply with all aspects of the “Scope of Work” identified in “Section B” of the Request for Proposal. All aspects of this project will be completed by full-time AssetWorks personnel.

Fees

AssetWorks fees include expenses and are based on the appraisal of 1,333 buildings/structures for 15 member cities. If the building count exceeds 1,333 an additional fee of \$75 per building will apply. Please see attachment A for a listing of members and building count.

Property Appraisal Services (1,333 buildings/structures) \$128,000

Appraisal of All Buildings/Structures and PITO to Include:

- ⊗ Buildings/Structures
- ⊗ Primary COPE Data (Includes GPS Coordinates & Flood Zone Classification)
- ⊗ Contents (Modeling Approach)
- ⊗ Value Comparison Reports
- ⊗ Certified Reports

Expiration

This proposal submitted by AssetWorks, LLC, including all pricing proposals, will remain in effect until at least September 30, 2018.

ATTACHMENT A – Property List

Member	# of Line items
1. City of Anderson	74
2. City of Auburn	45
3. City of Colusa	59
4. City of Dixon	82
5. City of Folsom	173
6. City of Galt	131
7. City of Gridley	59
8. City of Ione	32
9. City of Lincoln	106
10. City of Marysville	78
11. City of Oroville	88
12. Town of Paradise	25
13. City of Red Bluff	126
14. City of Rocklin	158
15. City of Yuba City	<u>97</u>
Total:	1,333



BACK TO AGENDA

Northern California Cities Self Insurance Fund
Executive Committee Meeting
September 27, 2018

Agenda Item F.1.

**QUARTERLY FINANCIAL REPORT
FOR PERIOD ENDING JUNE 30, 2018**

ACTION ITEM

ISSUE: James Marta & Company will present the Quarterly Financial Report for the Quarter and year ending June 30, 2018.

RECOMMENDATION: Receive and file the Quarterly Financials as presented.

FISCAL IMPACT: None

BACKGROUND: The Executive Committee regularly reviews the quarterly financials, refers questions or issues for follow-up, and/or receives and files the report as presented.

ATTACHMENT(S): Quarterly Financial Report for Period Ending June 30, 2018

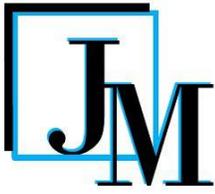
FINANCIAL REPORT

**JUNE 30, 2018
AND FOR THE
FISCAL YEAR THEN ENDED**

Northern California Cities Self Insurance Fund

Northern California Cities Self Insurance Fund
Table of Contents
June 30, 2018

Accountant’s Report	1
 BASIC FINANCIAL STATEMENTS	
Statement of Net Position	2
Statement of Revenues, Expenses and Changes in Net Position	3
Statement of Cash Flows	4
 SUPPLEMENTARY INFORMATION	
Combining Statement of Net Position – Liability	5
Combining Statement of Revenues, Expenses and Changes in Net Position – Liability	8
Combining Statement of Cash Flows – Liability	11
Combining Statement of Net Position – Workers’ Compensation	14
Combining Statement of Revenues, Expenses and Changes in Net Position – Workers’ Compensation	17
Combining Statement of Cash Flows – Workers’ Compensation	20
Reconciliation of Claims Liabilities by Program	23
Graphical Summary of Claims	24
Budget to Actual	26
Notes to the Budget to Actual	30
Risk Management Reserves Historic Usage Report	31
Police Risk Management Grants Historic Usage Report	32



James Marta & Company LLP

Certified Public Accountants

Accounting, Auditing, Tax, and Consulting

ACCOUNTANT'S COMPILATION REPORT

Board of Directors
Northern California Cities Self Insurance Fund
701 Howe Avenue, Suite E3
Sacramento, CA 95825

Management is responsible for the accompanying statement of financial position of Northern California Cities Self Insurance Fund as of June 30, 2018, and the related statement of revenues, expenses and changes in net position and statement of cash flows for the fiscal year then ended and the related notes to the financial statements in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services Committee of the AICPA. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or the completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, nor provide any form of assurance on these financial statements.

Management has omitted substantially all of the disclosures that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the company's financial position, results of operations, comprehensive income and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

The supplementary information on pages 5 through 32 is presented for purposes of additional analysis and is not a required part of the basic financial statements. The information is the representation of management. The information was subject to our compilation engagement; however, we have not audited or reviewed the information and, accordingly, do not express an opinion, a conclusion, nor provide any assurance on such information.

Management has elected to present designations of net position on the Statement of Net Position as of June 30, 2018, contrary to accounting principles generally accepted in the United States of America which bar the presentation of designations of net position on the Statement of Net Position.

We are not independent with respect to Northern California Cities Self Insurance Fund.

James Marta & Company LLP

James Marta & Company LLP
Certified Public Accountants
Sacramento, California
July 16, 2018

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Statement of Net Position
June 30, 2018**

Assets

Current Assets	
Cash and Cash Equivalents	\$ 4,333,881
Accounts Receivable	72,513
Interest Receivable	171,460
Excess Accounts Receivable	938,429
Prepaid Expense	188,166
Total Current Assets	5,704,449
Non-Current Assets	
Investments*	51,610,909
Total Assets	\$ 57,315,358

Liabilities & Net Position

Current Liabilities	
Accounts Payable	\$ 66,892
Unearned Revenue	934,706
Total Current Liabilities	1,001,598
Non-Current Liabilities	
Outstanding Liabilities*	38,920,458
ULAE*	1,774,312
Total Non-Current Liabilities	40,694,770
Total Liabilities	\$ 41,696,368
Net Position	
Designated for Contingency	200,000
Designated for Risk Management	342,820
Undesignated	15,076,170
Total Net Position	15,618,990
Liability & Net Position	\$ 57,315,358

*For internal reporting purposes, investments and claim liabilities are classified as non-current.

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Statement of Revenues, Expenses and Changes in Net Position
For the Fiscal Year Ended June 30, 2018

Operating Income		
Administration Deposit	\$	1,272,680
Banking Layer Deposit		8,158,729
Shared Risk Layer		5,187,000
Excess Deposit/Premium		2,632,368
Property/Crime Insurance Income		912,925
Banking Layer Assessment		268,520
Shared Risk Refund		(781,002)
Banking Layer Refund		(1,770,293)
Risk Management Grants		200,382
Other Income		101,606
Total Operating Income		<u>16,182,915</u>
Operating Expenses		
Claims Paid		8,310,061
O/S Liability adj.		2,597,843
ULAE		87,681
Consultants		59,186
Safety Service		463,220
Claims Administration		826,015
Program Administration		402,835
Board Expenses		10,632
Excess Insurance		2,628,365
Property/Crime Insurance Expense		912,931
Member Identity Theft Protection		11,793
Total Operating Expenses		<u>16,310,562</u>
Operating Income (Loss)		(127,647)
Non-Operating Income		
Change in Fair Market Value		(1,033,236)
Investment Income		783,789
Total Non-Operating Income		<u>(249,447)</u>
Change in Net Position		(377,094)
Beginning Net Position		<u>15,996,084</u>
Ending Net Position	\$	<u>15,618,990</u>

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Statement of Cash Flows
For the Fiscal Year Ended June 30, 2018

Cash flows from operating activities:	
Cash received from members	\$ 17,668,259
Cash paid for claims	(8,762,225)
Cash paid for insurance	(3,541,296)
Cash paid to vendors	(1,900,331)
Cash (paid) received for dividends	<u>(1,447,685)</u>
Net cash provided by (used in) operating activities	<u>2,016,722</u>
 Cash flows from investing activities:	
Investment income received	848,977
Purchases of investments	(22,264,589)
Proceeds from sale or maturity of investments	<u>21,417,740</u>
Net cash provided by (used in) investing activities	<u>2,128</u>
Net increase (decrease) in cash and cash equivalents	2,018,850
Cash and cash equivalents, beginning of year	<u>2,315,031</u>
Cash and cash equivalents, end of year	<u><u>\$ 4,333,881</u></u>
 Reconciliation of operating income (loss) to net cash provided by (used in) operating activities:	
Operating income (loss)	\$ (127,647)
 Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:	
(Increase) decrease in:	
Member receivable	79,150
Excess receivable	(452,164)
Prepaid expense	(136,717)
(Decrease) increase in:	
Accounts payable	10,068
Unearned revenue	(41,491)
Unpaid claims and claim adjustment expenses	<u>2,685,523</u>
Net cash provided by (used in) operating activities	<u><u>\$ 2,016,722</u></u>
 Supplemental information:	
Noncash non-operating and investing activities	
Net change in fair value of investments	<u><u>\$ (1,033,236)</u></u>

SUPPLEMENTARY INFORMATION

Selected Information
Substantially All Disclosures Required by Generally Accepted
Accounting Principles Are Not Included

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Net Position - Liability
June 30, 2018**

	Total All Layers	Admin Layer	Shared Layer	Total Banking Layer
Current Assets				
Cash and Cash Equivalents	\$ 1,370,090	\$ 10,590	\$ 790,872	\$ 568,628
Interest Receivable	38,869	607	21,947	16,315
Excess Accounts Receivable	581,846	-	581,846	-
Prepaid Expense	167,176	115,727	51,449	-
Total Current Assets	2,157,981	126,924	1,446,114	584,943
Non-Current Assets				
Investments*	11,057,852	85,722	6,382,627	4,589,503
Total Assets	\$ 13,215,833	\$ 212,646	\$ 7,828,741	\$ 5,174,446
Current Liabilities				
Accounts Payable	\$ 51,818	\$ 14,997	\$ 134	\$ 36,687
Unearned Revenue	230,217	-	-	230,217
Total Current Liabilities	282,035	14,997	134	266,904
Non-Current Liabilities				
Outstanding Liabilities*	8,748,166	-	6,318,732	2,429,434
Total Non-Current Liabilities	8,748,166	-	6,318,732	2,429,434
Total Liabilities	\$ 9,030,201	\$ 14,997	\$ 6,318,866	\$ 2,696,338
Net Position				
Designated for Contingency	100,000	100,000	-	-
Undesignated	4,085,632	97,649	1,509,875	2,478,108
Total Net Position	4,185,632	197,649	1,509,875	2,478,108
Liability & Net Position	\$ 13,215,833	\$ 212,646	\$ 7,828,741	\$ 5,174,446

*For internal reporting purposes, investments and claim liabilities are classified as non-current.

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Net Position - Liability
June 30, 2018**

	City of Anderson	City of Auburn	City of Colusa	City of Corning	City of Dixon	City of Folsom	City of Galt	City of Gridley	City of Ione
Current Assets									
Cash and Cash Equivalents	\$ 11,890	\$ 12,431	\$ 1,261	\$ 6,615	\$ 16,796	\$ 170,541	\$ 30,132	\$ 17,033	\$ 1,528
Interest Receivable	328	354	30	188	503	5,085	943	475	37
Excess Accounts Receivable	-	-	-	-	-	-	-	-	-
Prepaid Expense	-	-	-	-	-	-	-	-	-
Total Current Assets	12,218	12,785	1,291	6,803	17,299	175,626	31,075	17,508	1,565
Non-Current Assets									
Investments*	95,960	100,336	10,172	53,392	135,580	1,376,621	243,266	137,464	12,328
Total Assets	\$ 108,178	\$ 113,121	\$ 11,463	\$ 60,195	\$ 152,879	\$ 1,552,247	\$ 274,341	\$ 154,972	\$ 13,893
Current Liabilities									
Accounts Payable	\$ 60	\$ 3,140	\$ 1,282	\$ 1,783	\$ 574	\$ 11,369	\$ 827	\$ 187	\$ 197
Unearned Revenue	-	-	-	8,309	-	-	-	-	-
Total Current Liabilities	60	3,140	1,282	10,092	574	11,369	827	187	197
Non-Current Liabilities									
Outstanding Liabilities*	46,503	142,020	87,680	42,634	47,749	867,083	131,165	45,813	12,825
Total Non-Current Liabilities	46,503	142,020	87,680	42,634	47,749	867,083	131,165	45,813	12,825
Total Liabilities	\$ 46,563	\$ 145,160	\$ 88,962	\$ 52,726	\$ 48,323	\$ 878,452	\$ 131,992	\$ 46,000	\$ 13,022
Net Position									
Designated for Contingency	-	-	-	-	-	-	-	-	-
Undesignated	61,615	(32,039)	(77,499)	7,469	104,556	673,795	142,349	108,972	871
Total Net Position	61,615	(32,039)	(77,499)	7,469	104,556	673,795	142,349	108,972	871
Liability & Net Position	\$ 108,178	\$ 113,121	\$ 11,463	\$ 60,195	\$ 152,879	\$ 1,552,247	\$ 274,341	\$ 154,972	\$ 13,893

*For internal reporting purposes, investments and claim liabilities are classified as non-current.

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Net Position - Liability
June 30, 2018**

	City of Jackson	City of Lincoln	City of Marysville	City of Oroville	City of Paradise	City of Red Bluff	City of Rio Vista	City of Rocklin	City of Willows	City of Yuba City
Current Assets										
Cash and Cash Equivalents	\$ 13,866	\$ 50,734	\$ 30,460	\$ 19,985	\$ 30,883	\$ 35,395	\$ 4,419	\$ 39,860	\$ 4,795	\$ 70,004
Interest Receivable	325	1,343	930	598	864	1,000	143	1,115	117	1,937
Excess Accounts Receivable	-	-	-	-	-	-	-	-	-	-
Prepaid Expense	-	-	-	-	-	-	-	-	-	-
Total Current Assets	14,191	52,077	31,390	20,583	31,747	36,395	4,562	40,975	4,912	71,941
Non-Current Assets										
Investments*	111,854	409,391	245,896	161,326	249,243	285,665	35,678	321,691	38,686	564,954
Total Assets	\$ 126,045	\$ 461,468	\$ 277,286	\$ 181,909	\$ 280,990	\$ 322,060	\$ 40,240	\$ 362,666	\$ 43,598	\$ 636,895
Current Liabilities										
Accounts Payable	\$ 466	\$ 1,939	\$ 2,667	\$ 145	\$ 3,530	\$ 568	\$ 2,161	\$ 3,346	\$ 17	\$ 2,429
Unearned Revenue	32,953	78,006	-	-	15,885	34,463	-	-	-	60,601
Total Current Liabilities	33,419	79,945	2,667	145	19,415	35,031	2,161	3,346	17	63,030
Non-Current Liabilities										
Outstanding Liabilities*	44,090	219,807	179,737	82,044	63,734	33,077	53,948	134,567	11,793	183,165
Total Non-Current Liabilities	44,090	219,807	179,737	82,044	63,734	33,077	53,948	134,567	11,793	183,165
Total Liabilities	\$ 77,509	\$ 299,752	\$ 182,404	\$ 82,189	\$ 83,149	\$ 68,108	\$ 56,109	\$ 137,913	\$ 11,810	\$ 246,195
Net Position										
Designated for Contingency	-	-	-	-	-	-	-	-	-	-
Undesignated	48,536	161,716	94,882	99,720	197,841	253,952	(15,869)	224,753	31,788	390,700
Total Net Position	48,536	161,716	94,882	99,720	197,841	253,952	(15,869)	224,753	31,788	390,700
Liability & Net Position	\$ 126,045	\$ 461,468	\$ 277,286	\$ 181,909	\$ 280,990	\$ 322,060	\$ 40,240	\$ 362,666	\$ 43,598	\$ 636,895

*For internal reporting purposes, investments and claim liabilities are classified as non-current.

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Revenues, Expenses and Changes in Net Position - Liability
For the Fiscal Year Ended June 30, 2018

	Total			Total Banking
	All Layers	Admin Layer	Shared Layer	Layer
Operating Income				
Administration Deposit	\$ 565,098	\$ 565,098	\$ -	\$ -
Banking Layer Deposit	1,922,999	-	-	1,922,999
Shared Risk Layer	1,954,000	-	1,954,000	-
Excess Deposit/Premium	1,161,327	-	1,161,327	-
Property/Crime Insurance Income	912,925	-	912,925	-
Banking Layer Assessment	79,760	-	-	79,760
Banking Layer Refund	(478,737)	-	-	(478,737)
Total Operating Income	6,117,372	565,098	4,028,252	1,524,022
Operating Expenses				
Claims Paid	2,119,500	-	698,733	1,420,767
O/S Liability adj.	1,364,860	-	1,093,980	270,880
Consultants	37,742	37,742	-	-
Safety Service	228,701	228,701	-	-
Claims Administration	20,900	20,900	-	-
Program Administration	215,213	215,213	-	-
Board Expenses	5,316	5,316	-	-
Excess Insurance	1,166,890	-	1,166,890	-
Property/Crime Insurance Expense	912,931	-	912,931	-
Total Operating Expense	6,072,053	507,872	3,872,534	1,691,647
Operating Income (Loss)	45,319	57,226	155,718	(167,625)
Non-Operating Income				
Change in Fair Market Value	(254,676)	(6,696)	(140,675)	(107,305)
Investment Income	191,567	4,674	106,886	80,007
Total Non-Operating Income	(63,109)	(2,022)	(33,789)	(27,298)
Change in Net Position	(17,790)	55,204	121,929	(194,923)
Beginning Net Position	4,203,422	142,445	1,387,946	2,673,031
Ending Net Position	\$ 4,185,632	\$ 197,649	\$ 1,509,875	\$ 2,478,108

See Accompanying Accountant's Report

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Revenues, Expenses and Changes in Net Position - Liability
For the Fiscal Year Ended June 30, 2018**

	City of Anderson	City of Auburn	City of Colusa	City of Corning	City of Dixon	City of Folsom	City of Galt	City of Gridley	City of Ione
Operating Income									
Administration Deposit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Banking Layer Deposit	29,573	112,009	32,415	31,551	38,499	588,876	95,431	47,154	17,398
Shared Risk Layer	-	-	-	-	-	-	-	-	-
Excess Deposit/Premium	-	-	-	-	-	-	-	-	-
Property/Crime Insurance Income	-	-	-	-	-	-	-	-	-
Banking Layer Assessment	-	20,584	19,850	-	-	-	-	-	9,326
Banking Layer Refund	-	-	-	(8,309)	(25,674)	(147,510)	(68,519)	-	-
Total Operating Income	29,573	132,593	52,265	23,242	12,825	441,366	26,912	47,154	26,724
Operating Expenses									
Claims Paid	20,556	113,044	48,010	24,587	6,575	427,555	48,126	24,458	27,640
O/S Liability adj.	35,613	47,941	47,126	3,391	6,161	181,804	45,093	(25,704)	(16,900)
Consultants	-	-	-	-	-	-	-	-	-
Safety Service	-	-	-	-	-	-	-	-	-
Claims Administration	-	-	-	-	-	-	-	-	-
Program Administration	-	-	-	-	-	-	-	-	-
Board Expenses	-	-	-	-	-	-	-	-	-
Excess Insurance	-	-	-	-	-	-	-	-	-
Property/Crime Insurance Expense	-	-	-	-	-	-	-	-	-
Total Operating Expense	56,169	160,985	95,136	27,978	12,736	609,359	93,219	(1,246)	10,740
Operating Income (Loss)	(26,596)	(28,392)	(42,871)	(4,736)	89	(167,993)	(66,307)	48,400	15,984
Non-Operating Income									
Change in Fair Market Value	(2,084)	(2,459)	(391)	(1,198)	(3,243)	(33,831)	(6,380)	(3,008)	(325)
Investment Income	1,492	1,847	307	828	2,392	25,136	4,670	2,295	245
Total Non-Operating Income	(592)	(612)	(84)	(370)	(851)	(8,695)	(1,710)	(713)	(80)
Change in Net Position	(27,188)	(29,004)	(42,955)	(5,106)	(762)	(176,688)	(68,017)	47,687	15,904
Beginning Net Position	88,803	(3,035)	(34,544)	12,575	105,318	850,483	210,366	61,285	(15,033)
Ending Net Position	\$ 61,615	\$ (32,039)	\$ (77,499)	\$ 7,469	\$ 104,556	\$ 673,795	\$ 142,349	\$ 108,972	\$ 871

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Revenues, Expenses and Changes in Net Position - Liability
For the Fiscal Year Ended June 30, 2018**

	City of Jackson	City of Lincoln	City of Marysville	City of Oroville	City of Paradise	City of Red Bluff	City of Rio Vista	City of Rocklin	City of Willows	City of Yuba City
Operating Income										
Administration Deposit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Banking Layer Deposit	73,666	110,875	113,480	62,753	68,806	65,266	29,353	203,753	19,496	182,645
Shared Risk Layer	-	-	-	-	-	-	-	-	-	-
Excess Deposit/Premium	-	-	-	-	-	-	-	-	-	-
Property/Crime Insurance Income	-	-	-	-	-	-	-	-	-	-
Banking Layer Assessment	10,000	-	-	-	-	-	10,000	-	10,000	-
Banking Layer Refund	-	(28,776)	(42,261)	(29,988)	(15,885)	(51,214)	-	-	-	(60,601)
Total Operating Income	83,666	82,099	71,219	32,765	52,921	14,052	39,353	203,753	29,496	122,044
Operating Expenses										
Claims Paid	21,072	80,167	78,961	5,140	78,166	19,763	45,959	217,072	50,159	83,757
O/S Liability adj.	2,939	132,298	25,578	20,960	(134,152)	(31,496)	38,092	(72,866)	(5,933)	(29,065)
Consultants	-	-	-	-	-	-	-	-	-	-
Safety Service	-	-	-	-	-	-	-	-	-	-
Claims Administration	-	-	-	-	-	-	-	-	-	-
Program Administration	-	-	-	-	-	-	-	-	-	-
Board Expenses	-	-	-	-	-	-	-	-	-	-
Excess Insurance	-	-	-	-	-	-	-	-	-	-
Property/Crime Insurance Expense	-	-	-	-	-	-	-	-	-	-
Total Operating Expense	24,011	212,465	104,539	26,100	(55,986)	(11,733)	84,051	144,206	44,226	54,692
Operating Income (Loss)	59,655	(130,366)	(33,320)	6,665	108,907	25,785	(44,698)	59,547	(14,730)	67,352
Non-Operating Income										
Change in Fair Market Value	(1,760)	(8,184)	(6,447)	(3,835)	(5,700)	(6,236)	(1,105)	(8,005)	(1,091)	(12,023)
Investment Income	1,543	6,162	4,802	2,859	4,258	4,571	794	5,968	742	9,096
Total Non-Operating Income	(217)	(2,022)	(1,645)	(976)	(1,442)	(1,665)	(311)	(2,037)	(349)	(2,927)
Change in Net Position	59,438	(132,388)	(34,965)	5,689	107,465	24,120	(45,009)	57,510	(15,079)	64,425
Beginning Net Position	(10,902)	294,104	129,847	94,031	90,376	229,832	29,140	167,243	46,867	326,275
Ending Net Position	\$ 48,536	\$ 161,716	\$ 94,882	\$ 99,720	\$ 197,841	\$ 253,952	\$ (15,869)	\$ 224,753	\$ 31,788	\$ 390,700

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Cash Flows - Liability
For the Fiscal Year Ended June 30, 2018**

	Total All Layers	Admin Layer	Shared Layer	Total Banking Layer
Cash flows from operating activities:				
Cash received from members	\$ 6,327,681	\$ 565,098	\$ 4,045,059	\$ 1,717,524
Cash paid for claims	(2,541,853)	-	(1,121,086)	(1,420,767)
Cash paid for insurance	(2,079,821)	-	(2,079,821)	-
Cash paid to vendors	(617,530)	(611,745)	(17)	(5,768)
Cash (paid) received for dividends	(215,445)	-	-	(215,445)
Net cash provided by (used in) operating activities	<u>873,032</u>	<u>(46,647)</u>	<u>844,135</u>	<u>75,544</u>
Cash flows from investing activities:				
Investment income received	205,365	4,890	113,324	87,151
Net investment (purchases) sales	(204,757)	46,154	(438,540)	187,629
Net cash provided by (used in) investing activities	<u>608</u>	<u>51,044</u>	<u>(325,216)</u>	<u>274,780</u>
Net increase (decrease) in cash and cash equivalents	873,640	4,397	518,919	350,324
Cash and cash equivalents, beginning of year	496,450	6,193	271,953	218,304
Cash and cash equivalents, end of year	<u>\$ 1,370,090</u>	<u>\$ 10,590</u>	<u>\$ 790,872</u>	<u>\$ 568,628</u>
Reconciliation of operating income (loss) to net cash provided by (used in) operating activities:				
Operating income (loss)	\$ 45,319	\$ 57,226	\$ 155,718	\$ (167,625)
Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:				
(Increase) decrease in:				
Member receivable	39,020	-	39,020	-
Excess receivable	(422,353)	-	(422,353)	-
Prepaid expense	(115,727)	(115,727)	-	-
(Decrease) increase in:				
Accounts payable	6,069	11,854	(17)	(5,768)
Unearned revenue	(44,156)	-	(22,213)	(21,943)
Unpaid claims and claim adjustment expenses	1,364,860	-	1,093,980	270,880
Net cash provided by (used in) operating activities	<u>\$ 873,032</u>	<u>\$ (46,647)</u>	<u>\$ 844,135</u>	<u>\$ 75,544</u>
Supplemental information:				
Noncash non-operating and investing activities				
Net change in fair value of investments	\$ (254,676)	\$ (6,696)	\$ (140,675)	\$ (107,305)

See Accompanying Accountant's Report

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Cash Flows - Liability
For the Fiscal Year Ended June 30, 2018**

	City of Anderson	City of Auburn	City of Colusa	City of Corning	City of Dixon	City of Folsom	City of Galt	City of Gridley	City of Ione
Cash flows from operating activities:									
Cash received from members	\$ 29,573	\$ 132,593	\$ 52,265	\$ 31,551	\$ 38,499	\$ 588,876	\$ (88,127)	\$ 35,483	\$ 26,724
Cash paid for claims	(20,556)	(113,044)	(48,010)	(24,587)	(6,575)	(427,555)	(48,126)	(24,458)	(27,640)
Cash paid for insurance	-	-	-	-	-	-	-	-	-
Cash paid to vendors	(178)	(1,679)	111	281	(221)	1,211	(635)	(1,234)	(56)
Cash paid for dividends	-	-	-	-	(25,674)	(147,510)	-	-	-
Net cash provided by (used in) operating activities	8,839	17,870	4,366	7,245	6,029	15,022	(136,888)	9,791	(972)
Cash flows from investing activities:									
Investment income received	1,696	1,946	298	959	2,602	27,270	5,390	2,445	272
Net investment (purchases) sales	(2,897)	(11,468)	(3,709)	(3,857)	1,877	62,419	144,035	(1,417)	1,591
Net cash provided by (used in) investing activities	(1,201)	(9,522)	(3,411)	(2,898)	4,479	89,689	149,425	1,028	1,863
Net increase (decrease) in cash and cash equivalents	7,638	8,348	955	4,347	10,508	104,711	12,537	10,819	891
Cash and cash equivalents, beginning of year	4,252	4,083	306	2,268	6,288	65,830	17,595	6,214	637
Cash and cash equivalents, end of year	\$ 11,890	\$ 12,431	\$ 1,261	\$ 6,615	\$ 16,796	\$ 170,541	\$ 30,132	\$ 17,033	\$ 1,528
Reconciliation of operating income (loss) to net cash provided by (used in) operating activities:									
Operating income (loss)	\$ (26,596)	\$ (28,392)	\$ (42,871)	\$ (4,736)	\$ 89	\$ (167,993)	\$ (66,307)	\$ 48,400	\$ 15,984
Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:									
(Increase) decrease in:									
Member receivable	-	-	-	-	-	-	-	-	-
Excess receivable	-	-	-	-	-	-	-	-	-
Prepaid expense	-	-	-	-	-	-	-	-	-
(Decrease) increase in:									
Accounts payable	(178)	(1,679)	111	281	(221)	1,211	(635)	(1,234)	(56)
Unearned revenue	-	-	-	8,309	-	-	(115,039)	(11,671)	-
Unpaid claims and claim adjustment expenses	35,613	47,941	47,126	3,391	6,161	181,804	45,093	(25,704)	(16,900)
Net cash provided by (used in) operating activities	\$ 8,839	\$ 17,870	\$ 4,366	\$ 7,245	\$ 6,029	\$ 15,022	\$ (136,888)	\$ 9,791	\$ (972)
Supplemental information:									
Noncash non-operating and investing activities									
Net change in fair value of investments	\$ (2,084)	\$ (2,459)	\$ (391)	\$ (1,198)	\$ (3,243)	\$ (33,831)	\$ (6,380)	\$ (3,008)	\$ (325)

See Accompanying Accountant's Report

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Cash Flows - Liability
For the Fiscal Year Ended June 30, 2018**

	City of Jackson	City of Lincoln	City of Marysville	City of Oroville	City of Paradise	City of Red Bluff	City of Rio Vista	City of Rocklin	City of Willows	City of Yuba City
Cash flows from operating activities:										
Cash received from members	\$ 116,619	\$ 115,154	\$ 83,072	\$ 32,765	\$ 68,806	\$ 48,515	\$ 33,042	\$ 202,053	\$ 25,582	\$ 144,479
Cash paid for claims	(21,072)	(80,167)	(78,961)	(5,140)	(78,166)	(19,763)	(45,959)	(217,072)	(50,159)	(83,757)
Cash paid for insurance	-	-	-	-	-	-	-	-	-	-
Cash paid to vendors	(171)	(262)	(829)	(832)	3,026	(180)	1,654	(5,203)	-	(571)
Cash paid for dividends	-	-	(42,261)	-	-	-	-	-	-	-
Net cash provided by (used in) operating activities	95,376	34,725	(38,979)	26,793	(6,334)	28,572	(11,263)	(20,222)	(24,577)	60,151
Cash flows from investing activities:										
Investment income received	1,331	6,744	5,233	3,037	4,670	5,049	893	6,574	935	9,807
Net investment (purchases) sales	(84,158)	(8,996)	50,663	(16,490)	20,248	(10,790)	12,583	37,114	25,519	(24,638)
Net cash provided by (used in) investing activities	(82,827)	(2,252)	55,896	(13,453)	24,918	(5,741)	13,476	43,688	26,454	(14,831)
Net increase (decrease) in cash and cash equivalents	12,549	32,473	16,917	13,340	18,584	22,831	2,213	23,466	1,877	45,320
Cash and cash equivalents, beginning of year	1,317	18,261	13,543	6,645	12,299	12,564	2,206	16,394	2,918	24,684
Cash and cash equivalents, end of year	\$ 13,866	\$ 50,734	\$ 30,460	\$ 19,985	\$ 30,883	\$ 35,395	\$ 4,419	\$ 39,860	\$ 4,795	\$ 70,004
Reconciliation of operating income (loss) to net cash provided by (used in) operating activities:										
Operating income (loss)	\$ 59,655	\$ (130,366)	\$ (33,320)	\$ 6,665	\$ 108,907	\$ 25,785	\$ (44,698)	\$ 59,547	\$ (14,730)	\$ 67,352
Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:										
(Increase) decrease in:										
Member receivable	-	-	-	-	-	-	-	-	-	-
Excess receivable	-	-	-	-	-	-	-	-	-	-
Prepaid expense	-	-	-	-	-	-	-	-	-	-
(Decrease) increase in:										
Accounts payable	(171)	(262)	(829)	(832)	3,026	(180)	1,654	(5,203)	-	(571)
Unearned revenue	32,953	33,055	(30,408)	-	15,885	34,463	(6,311)	(1,700)	(3,914)	22,435
Unpaid claims and claim adjustment expenses	2,939	132,298	25,578	20,960	(134,152)	(31,496)	38,092	(72,866)	(5,933)	(29,065)
Net cash provided by (used in) operating activities	\$ 95,376	\$ 34,725	\$ (38,979)	\$ 26,793	\$ (6,334)	\$ 28,572	\$ (11,263)	\$ (20,222)	\$ (24,577)	\$ 60,151
Supplemental information:										
Noncash non-operating and investing activities										
Net change in fair value of investments	\$ (1,760)	\$ (8,184)	\$ (6,447)	\$ (3,835)	\$ (5,700)	\$ (6,236)	\$ (1,105)	\$ (8,005)	\$ (1,091)	\$ (12,023)

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Net Position – Workers’ Compensation
June 30, 2018

	Total			
	All Layers	Admin Layer	Shared Layer	Total Banking Layer
Current Assets				
Cash and Cash Equivalents	\$ 2,963,791	\$ 39,092	\$ 1,463,192	\$ 1,461,507
Accounts Receivable	72,513	-	-	72,513
Interest Receivable	132,591	1,674	65,134	65,783
Excess Accounts Receivable	356,583	-	356,583	-
Prepaid Expense	20,990	20,990	-	-
Total Current Assets	3,546,468	61,756	1,884,909	1,599,803
Non-Current Assets				
Investments*	40,553,057	534,795	20,020,187	19,998,075
Total Assets	\$ 44,099,525	\$ 596,551	\$ 21,905,096	\$ 21,597,878
Current Liabilities				
Accounts Payable	\$ 15,074	\$ 11,761	\$ 1,669	\$ 1,644
Unearned Revenue	704,489	-	187,045	517,444
Total Current Liabilities	719,563	11,761	188,714	519,088
Non-Current Liabilities				
Outstanding Liabilities*	30,172,292	-	17,037,424	13,134,868
ULAE*	1,774,312	-	1,001,903	772,409
Total Non-Current Liabilities	31,946,604	-	18,039,327	13,907,277
Total Liabilities	\$ 32,666,167	\$ 11,761	\$ 18,228,041	\$ 14,426,365
Net Position				
Designated for Contingency	100,000	100,000	-	-
Designated for Risk	342,820	342,820	-	-
Undesignated	10,990,538	141,970	3,677,055	7,171,513
Total Net Position	11,433,358	584,790	3,677,055	7,171,513
Liability & Net Position	\$ 44,099,525	\$ 596,551	\$ 21,905,096	\$ 21,597,878

*For internal reporting purposes, investments and claim liabilities are classified as non-current.

See Accompanying Accountant’s Report

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Net Position – Workers’ Compensation
June 30, 2018**

	City of Anderson	City of Auburn	City of Colusa	City of Corning	City of Dixon	City of Elk Grove	City of Folsom	City of Galt	City of Gridley	City of Ione	City of Jackson
Current Assets											
Cash and Cash Equivalents	\$ 29,719	\$ 63,695	\$ 12,335	\$ 22,730	\$ 69,141	\$ 137,908	\$ 353,057	\$ 67,296	\$ 36,541	\$ 3,392	\$ 10,728
Accounts Receivable	-	-	-	-	-	-	-	-	-	-	-
Interest Receivable	1,340	2,932	571	993	3,222	5,983	16,561	2,917	1,574	170	517
Excess Accounts Receivable	-	-	-	-	-	-	-	-	-	-	-
Prepaid Expense	-	-	-	-	-	-	-	-	-	-	-
Total Current Assets	31,059	66,627	12,906	23,723	72,363	143,891	369,618	70,213	38,115	3,562	11,245
Non-Current Assets											
Investments*	406,653	871,636	168,799	310,978	946,199	1,886,734	4,831,794	920,695	499,910	46,439	146,830
Total Assets	\$ 437,712	\$ 938,263	\$ 181,705	\$ 334,701	\$ 1,018,562	\$ 2,030,625	\$ 5,201,412	\$ 990,908	\$ 538,025	\$ 50,001	\$ 158,075
Current Liabilities											
Accounts Payable	\$ 29	\$ 56	\$ 12	\$ 22	\$ 78	\$ 39	\$ 472	\$ 91	\$ 40	\$ 8	\$ 22
Unearned Revenue	-	8,206	20,583	52,733	78,005	-	-	76,398	-	2,185	-
Total Current Liabilities	29	8,262	20,595	52,755	78,083	39	472	76,489	40	2,193	22
Non-Current Liabilities											
Outstanding Liabilities*	346,554	609,905	167,427	121,227	301,534	1,155,474	2,684,987	778,286	132,479	29,308	32,642
ULAE*	20,379	35,866	9,846	7,129	17,732	67,949	157,893	45,768	7,791	1,724	1,920
Total Non-Current Liabilities	366,933	645,771	177,273	128,356	319,266	1,223,423	2,842,880	824,054	140,270	31,032	34,562
Total Liabilities	\$ 366,962	\$ 654,033	\$ 197,868	\$ 181,111	\$ 397,349	\$ 1,223,462	\$ 2,843,352	\$ 900,543	\$ 140,310	\$ 33,225	\$ 34,584
Net Position											
Designated for Contingency	-	-	-	-	-	-	-	-	-	-	-
Designated for Safety Grants	-	-	-	-	-	-	-	-	-	-	-
Undesignated	70,750	284,230	(16,163)	153,590	621,213	807,163	2,358,060	90,365	397,715	16,776	123,491
Total Net Position	70,750	284,230	(16,163)	153,590	621,213	807,163	2,358,060	90,365	397,715	16,776	123,491
Liability & Net Position	\$ 437,712	\$ 938,263	\$ 181,705	\$ 334,701	\$ 1,018,562	\$ 2,030,625	\$ 5,201,412	\$ 990,908	\$ 538,025	\$ 50,001	\$ 158,075

*For internal reporting purposes, investments and claim liabilities are classified as non-current.

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Net Position – Workers’ Compensation
June 30, 2018

	City of Lincoln	City of Marysville	City of Nevada City	City of Oroville	City of Paradise	City of Placerville	City of Red Bluff	City of Rio Vista	City of Rocklin	City of Willows	City of Yuba City
Current Assets											
Cash and Cash Equivalents	\$ 81,322	\$ 39,147	\$ 11,618	\$ 31,022	\$ 45,060	\$ 47,137	\$ 81,525	\$ 17,367	\$ 139,840	\$ 22,061	\$ 138,866
Accounts Receivable	-	-	-	72,513	-	-	-	-	-	-	-
Interest Receivable	3,609	1,786	606	1,317	1,945	2,179	3,458	758	6,082	970	6,293
Excess Accounts Receivable	-	-	-	-	-	-	-	-	-	-	-
Prepaid Expense	-	-	-	-	-	-	-	-	-	-	-
Total Current Assets	84,931	40,933	12,224	104,852	47,005	49,316	84,983	18,125	145,922	23,031	145,159
Non-Current Assets											
Investments*	1,112,676	535,681	159,078	424,375	616,460	645,056	1,115,258	237,611	1,913,186	301,838	1,900,189
Total Assets	\$ 1,197,607	\$ 576,614	\$ 171,302	\$ 529,227	\$ 663,465	\$ 694,372	\$ 1,200,241	\$ 255,736	\$ 2,059,108	\$ 324,869	\$ 2,045,348
Current Liabilities											
Accounts Payable	\$ (108)	\$ 73	\$ 19	\$ 53	\$ 73	\$ 41	\$ 71	\$ 17	\$ 162	\$ 29	\$ 345
Unearned Revenue	177,218	-	-	61,876	-	-	-	117	-	40,123	-
Total Current Liabilities	177,110	73	19	61,929	73	41	71	134	162	40,152	345
Non-Current Liabilities											
Outstanding Liabilities*	525,645	384,975	179,291	467,252	584,748	477,640	1,119,500	142,234	1,250,792	57,665	1,585,303
ULAE*	30,911	22,639	10,543	27,477	34,387	28,088	65,833	8,364	73,554	3,391	93,225
Total Non-Current Liabilities	556,556	407,614	189,834	494,729	619,135	505,728	1,185,333	150,598	1,324,346	61,056	1,678,528
Total Liabilities	\$ 733,666	\$ 407,687	\$ 189,853	\$ 556,658	\$ 619,208	\$ 505,769	\$ 1,185,404	\$ 150,732	\$ 1,324,508	\$ 101,208	\$ 1,678,873
Net Position											
Designated for Contingency	-	-	-	-	-	-	-	-	-	-	-
Designated for Safety Grants	-	-	-	-	-	-	-	-	-	-	-
Undesignated	463,941	168,927	(18,551)	(27,431)	44,257	188,603	14,837	105,004	734,600	223,661	366,475
Total Net Position	463,941	168,927	(18,551)	(27,431)	44,257	188,603	14,837	105,004	734,600	223,661	366,475
Liability & Net Position	\$ 1,197,607	\$ 576,614	\$ 171,302	\$ 529,227	\$ 663,465	\$ 694,372	\$ 1,200,241	\$ 255,736	\$ 2,059,108	\$ 324,869	\$ 2,045,348

*For internal reporting purposes, investments and claim liabilities are classified as non-current.

**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)**

**Combining Statement of Revenues, Expenses and Changes in Net Position – Workers’ Compensation
For the Fiscal Year Ended June 30, 2018**

	Total All Layers	Admin Layer	Shared Layer	Total Banking Layer
Operating Income				
Administration Deposit	\$ 707,582	\$ 707,582	\$ -	\$ -
Banking Layer Deposit	6,235,730	-	-	6,235,730
Shared Risk Layer	3,233,000	-	3,233,000	-
Excess Deposit/Premium	1,471,041	-	1,471,041	-
Banking Layer Assessment	188,760	-	-	188,760
Shared Risk Refund	(781,002)	-	(781,002)	-
Banking Layer Refund	(1,291,556)	-	-	(1,291,556)
Risk Management Grants	200,382	200,382	-	-
Other Income	101,606	-	-	101,606
Total Operating Income	10,065,543	907,964	3,923,039	5,234,540
Operating Expenses				
Claims Paid	6,190,561	-	1,656,437	4,534,124
O/S Liability adj.	1,232,983	-	974,097	258,886
ULAE	87,681	-	65,706	21,975
Consultants	21,444	21,444	-	-
Safety Service	234,519	234,519	-	-
Claims Administration	805,115	237,771	-	567,344
Program Administration	187,622	187,622	-	-
Board Expenses	5,316	5,316	-	-
Excess Insurance	1,461,475	-	1,461,475	-
Contingency Reserves	11,793	11,793	-	-
Total Operating Expenses	10,238,509	698,465	4,157,715	5,382,329
Operating Income (Loss)	(172,966)	209,499	(234,676)	(147,789)
Non-Operating Income				
Change in Fair Market Value	(778,560)	(9,556)	(377,456)	(391,548)
Investment Income	592,222	7,744	288,431	296,047
Total Non-Operating Income	(186,338)	(1,812)	(89,025)	(95,501)
Change in Net Position	(359,304)	207,687	(323,701)	(243,290)
Beginning Net Position	11,792,662	377,103	4,000,756	7,414,803
Ending Net Position	\$ 11,433,358	\$ 584,790	\$ 3,677,055	\$ 7,171,513

See Accompanying Accountant’s Report

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Revenues, Expenses and Changes in Net Position – Workers’ Compensation
For the Fiscal Year Ended June 30, 2018

	City of Anderson	City of Auburn	City of Colusa	City of Corning	City of Dixon	City of Elk Grove	City of Folsom	City of Galt	City of Gridley	City of Ione	City of Jackson
Operating Income											
Administration Deposit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Banking Layer Deposit	182,838	221,035	65,090	51,672	312,987	671,632	1,041,036	297,383	69,235	9,536	71,944
Shared Risk Layer	-	-	-	-	-	-	-	-	-	-	-
Excess Deposit/Premium	-	-	-	-	-	-	-	-	-	-	-
Banking Layer Assessment	-	-	-	-	-	-	-	-	-	-	-
Shared Risk Refund	-	-	-	-	-	-	-	-	-	-	-
Banking Layer Refund	-	(8,206)	(20,583)	(52,733)	(178,005)	-	(662,804)	(7,879)	-	(11,511)	(32,621)
Risk Management Grants	-	-	-	-	-	-	-	-	-	-	-
Other Income	-	-	-	-	-	-	-	-	18,282	-	-
Total Operating Income	182,838	212,829	44,507	(1,061)	134,982	671,632	378,232	289,504	87,517	(1,975)	39,323
Operating Expenses											
Claims Paid	177,557	86,461	33,430	1,087	99,950	367,481	705,485	277,636	51,397	14,513	100,018
O/S Liability adj.	(66,936)	197,337	85,463	23,384	(34,404)	(91,907)	(116,673)	100,630	52,651	8,512	(66,166)
ULAE	(3,719)	11,821	5,069	1,426	(1,847)	(4,751)	(5,392)	6,273	3,138	511	(3,839)
Consultants	-	-	-	-	-	-	-	-	-	-	-
Safety Service	-	-	-	-	-	-	-	-	-	-	-
Claims Administration	18,406	19,768	6,982	6,713	19,228	43,316	116,358	28,829	5,798	1,267	6,240
Program Administration	-	-	-	-	-	-	-	-	-	-	-
Board Expenses	-	-	-	-	-	-	-	-	-	-	-
Excess Insurance	-	-	-	-	-	-	-	-	-	-	-
Contingency Reserves	-	-	-	-	-	-	-	-	-	-	-
Total Operating Expenses	125,308	315,387	130,944	32,610	82,927	314,139	699,778	413,368	112,984	24,803	36,253
Operating Income (Loss)	57,530	(102,558)	(86,437)	(33,671)	52,055	357,493	(321,546)	(123,864)	(25,467)	(26,778)	3,070
Non-Operating Income											
Change in Fair Market Value	(7,943)	(16,522)	(3,640)	(5,669)	(19,060)	(33,877)	(102,424)	(16,719)	(9,222)	(1,240)	(3,351)
Investment Income	6,134	13,008	2,742	4,314	14,670	25,571	75,507	12,702	7,043	835	2,404
Total Non-Operating Income	(1,809)	(3,514)	(898)	(1,355)	(4,390)	(8,306)	(26,917)	(4,017)	(2,179)	(405)	(947)
Change in Net Position	55,721	(106,072)	(87,335)	(35,026)	47,665	349,187	(348,463)	(127,881)	(27,646)	(27,183)	2,123
Beginning Net Position	15,029	390,302	71,172	188,616	573,548	457,976	2,706,523	218,246	425,361	43,959	121,368
Ending Net Position	\$ 70,750	\$ 284,230	\$ (16,163)	\$ 153,590	\$ 621,213	\$ 807,163	\$ 2,358,060	\$ 90,365	\$ 397,715	\$ 16,776	\$ 123,491

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Revenues, Expenses and Changes in Net Position – Workers’ Compensation
For the Fiscal Year Ended June 30, 2018

	City of Lincoln	City of Marysville	City of Nevada City	City of Oroville	City of Paradise	City of Placerville	City of Red Bluff	City of Rio Vista	City of Rocklin	City of Willows	City of Yuba City
Operating Income											
Administration Deposit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Banking Layer Deposit	310,853	150,639	85,236	189,468	241,951	138,663	478,530	80,452	529,246	118,587	917,717
Shared Risk Layer	-	-	-	-	-	-	-	-	-	-	-
Excess Deposit/Premium	-	-	-	-	-	-	-	-	-	-	-
Banking Layer Assessment	-	-	-	72,513	37,141	-	51,214	-	-	-	27,892
Shared Risk Refund	-	-	-	-	-	-	-	-	-	-	-
Banking Layer Refund	(177,218)	(26,958)	(6,946)	-	-	(65,852)	-	(117)	-	(40,123)	-
Risk Management Grants	-	-	-	-	-	-	-	-	-	-	-
Other Income	-	-	-	-	-	-	-	-	83,324	-	-
Total Operating Income	133,635	123,681	78,290	261,981	279,092	72,811	529,744	80,335	612,570	78,464	945,609
Operating Expenses											
Claims Paid	140,692	228,991	158,696	287,111	183,928	84,245	302,421	48,880	392,061	49,591	742,493
O/S Liability adj.	130,867	(83,141)	(56,085)	(435,285)	(32,533)	111,160	179,823	63,808	116,180	(27,908)	200,109
ULAE	7,903	(4,644)	(3,175)	(25,124)	(1,589)	6,729	11,067	3,793	7,427	(1,596)	12,494
Consultants	-	-	-	-	-	-	-	-	-	-	-
Safety Service	-	-	-	-	-	-	-	-	-	-	-
Claims Administration	23,030	26,082	7,973	24,542	25,906	20,496	39,531	10,506	48,030	5,261	63,082
Program Administration	-	-	-	-	-	-	-	-	-	-	-
Board Expenses	-	-	-	-	-	-	-	-	-	-	-
Excess Insurance	-	-	-	-	-	-	-	-	-	-	-
Contingency Reserves	-	-	-	-	-	-	-	-	-	-	-
Total Operating Expenses	302,492	167,288	107,409	(148,756)	175,712	222,630	532,842	126,987	563,698	25,348	1,018,178
Operating Income (Loss)	(168,857)	(43,607)	(29,119)	410,737	103,380	(149,819)	(3,098)	(46,652)	48,872	53,116	(72,569)
Non-Operating Income											
Change in Fair Market Value	(21,869)	(11,076)	(4,179)	(7,428)	(11,079)	(13,410)	(19,898)	(4,501)	(34,584)	(5,665)	(38,192)
Investment Income	16,601	8,133	2,941	5,583	8,549	9,938	15,629	3,414	26,604	4,317	29,408
Total Non-Operating Income	(5,268)	(2,943)	(1,238)	(1,845)	(2,530)	(3,472)	(4,269)	(1,087)	(7,980)	(1,348)	(8,784)
Change in Net Position	(174,125)	(46,550)	(30,357)	408,892	100,850	(153,291)	(7,367)	(47,739)	40,892	51,768	(81,353)
Beginning Net Position	638,066	215,477	11,806	(436,323)	(56,593)	341,894	22,204	152,743	693,708	171,893	447,828
Ending Net Position	\$ 463,941	\$ 168,927	\$ (18,551)	\$ (27,431)	\$ 44,257	\$ 188,603	\$ 14,837	\$ 105,004	\$ 734,600	\$ 223,661	\$ 366,475

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Cash Flows – Workers’ Compensation
For the Fiscal Year Ended June 30, 2018

	Total All Layers	Admin Layer	Shared Layer	Total Banking Layer
Cash flows from operating activities:				
Cash received from members	\$ 11,340,578	\$ 907,964	\$ 4,151,240	\$ 6,281,374
Cash paid for claims	(6,220,372)	-	(1,686,248)	(4,534,124)
Cash paid for insurance	(1,461,475)	-	(1,461,475)	-
Cash paid to vendors	(1,282,801)	(715,361)	(43)	(567,397)
Cash (paid) received for dividends	(1,232,240)	-	(369,680)	(862,560)
Net cash provided by (used in) operating activities	<u>1,143,690</u>	<u>192,603</u>	<u>633,794</u>	<u>317,293</u>
Cash flows from investing activities:				
Investment income received	643,612	7,712	312,244	323,656
Net investment (purchases) sales	(642,092)	(177,614)	(377,623)	(86,855)
Net cash provided by (used in) investing activities	<u>1,520</u>	<u>(169,902)</u>	<u>(65,379)</u>	<u>236,801</u>
Net increase (decrease) in cash and cash equivalents	1,145,210	22,701	568,415	554,094
Cash and cash equivalents, beginning of year	<u>1,818,581</u>	<u>16,391</u>	<u>894,777</u>	<u>907,413</u>
Cash and cash equivalents, end of year	<u>\$ 2,963,791</u>	<u>\$ 39,092</u>	<u>\$ 1,463,192</u>	<u>\$ 1,461,507</u>
Reconciliation of operating income (loss) to net cash provided by (used in) operating activities:				
Operating income (loss)	\$ (172,966)	\$ 209,499	\$ (234,676)	\$ (147,789)
Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:				
(Increase) decrease in:				
Member receivable	40,130	-	-	40,130
Excess receivable	(29,811)	-	(29,811)	-
Prepaid expense	(20,990)	(20,990)	-	-
(Decrease) increase in:				
Accounts payable	3,999	4,094	(42)	(53)
Unearned revenue	2,665	-	(141,479)	144,144
Unpaid claims and claim adjustment expenses	1,320,663	-	1,039,802	280,861
Net cash provided by (used in) operating activities	<u>\$ 1,143,690</u>	<u>\$ 192,603</u>	<u>\$ 633,794</u>	<u>\$ 317,293</u>
Supplemental information:				
Noncash non-operating and investing activities				
Net change in fair value of investments	<u>\$ (778,560)</u>	<u>\$ (9,556)</u>	<u>\$ (377,456)</u>	<u>\$ (391,548)</u>

See Accompanying Accountant’s Report

Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Cash Flows – Workers’ Compensation
For the Fiscal Year Ended June 30, 2018

	City of Anderson	City of Auburn	City of Colusa	City of Corning	City of Dixon	City of Elk Grove	City of Folsom	City of Galt	City of Gridley	City of Ione	City of Jackson
Cash flows from operating activities:											
Cash received from members	\$ 182,838	\$ 206,545	\$ 62,803	\$ 51,672	\$ 312,987	\$ 671,632	\$ 1,041,036	\$ 365,902	\$ 87,517	\$ (17,601)	\$ 32,192
Cash paid for claims	(177,557)	(86,461)	(33,430)	(1,087)	(99,950)	(367,481)	(705,485)	(277,636)	(51,397)	(14,513)	(100,018)
Cash paid for insurance	-	-	-	-	-	-	-	-	-	-	-
Cash paid to vendors	(18,407)	(19,770)	(6,983)	(6,714)	(19,230)	(43,321)	(116,372)	(28,831)	(5,799)	(1,267)	(6,241)
Cash paid for dividends	-	-	-	-	(100,000)	-	(662,804)	-	-	-	-
Net cash provided by (used in) operating activities	(13,126)	100,314	22,390	43,871	93,807	260,830	(443,625)	59,435	30,321	(33,381)	(74,067)
Cash flows from investing activities:											
Investment income received	6,584	13,477	2,930	4,643	15,382	27,821	84,664	13,900	7,650	1,062	2,939
Net investment (purchases) sales	16,972	(85,950)	(19,807)	(38,228)	(79,630)	(226,461)	470,461	(45,885)	(23,150)	32,143	71,929
Net cash provided by (used in) investing activities	23,556	(72,473)	(16,877)	(33,585)	(64,248)	(198,640)	555,125	(31,985)	(15,500)	33,205	74,868
Net increase (decrease) in cash and cash equivalents	10,430	27,841	5,513	10,286	29,559	62,190	111,500	27,450	14,821	(176)	801
Cash and cash equivalents, beginning of year	19,289	35,854	6,822	12,444	39,582	75,718	241,557	39,846	21,720	3,568	9,927
Cash and cash equivalents, end of year	\$ 29,719	\$ 63,695	\$ 12,335	\$ 22,730	\$ 69,141	\$ 137,908	\$ 353,057	\$ 67,296	\$ 36,541	\$ 3,392	\$ 10,728
Reconciliation of operating income (loss) to net cash provided by (used in) operating activities:											
Operating income (loss)	\$ 57,530	\$ (102,558)	\$ (86,437)	\$ (33,671)	\$ 52,055	\$ 357,493	\$ (321,546)	\$ (123,864)	\$ (25,467)	\$ (26,778)	\$ 3,070
Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:											
(Increase) decrease in:											
Member receivable	-	-	-	-	-	-	-	-	-	-	-
Excess receivable	-	-	-	-	-	-	-	-	-	-	-
Prepaid expense	-	-	-	-	-	-	-	-	-	-	-
(Decrease) increase in:											
Accounts payable	(1)	(2)	-	(1)	(2)	(5)	(14)	(2)	(1)	-	(1)
Unearned revenue	-	(6,284)	18,296	52,733	78,005	-	-	76,398	-	(15,626)	(7,131)
Unpaid claims and claim adjustment expenses	(70,655)	209,158	90,531	24,810	(36,251)	(96,658)	(122,065)	106,903	55,789	9,023	(70,005)
Net cash provided by (used in) operating activities	\$ (13,126)	\$ 100,314	\$ 22,390	\$ 43,871	\$ 93,807	\$ 260,830	\$ (443,625)	\$ 59,435	\$ 30,321	\$ (33,381)	\$ (74,067)
Supplemental information:											
Noncash non-operating and investing activities											
Net change in fair value of investments	\$ (7,943)	\$ (16,522)	\$ (3,640)	\$ (5,669)	\$ (19,060)	\$ (33,877)	\$ (102,424)	\$ (16,719)	\$ (9,222)	\$ (1,240)	\$ (3,351)

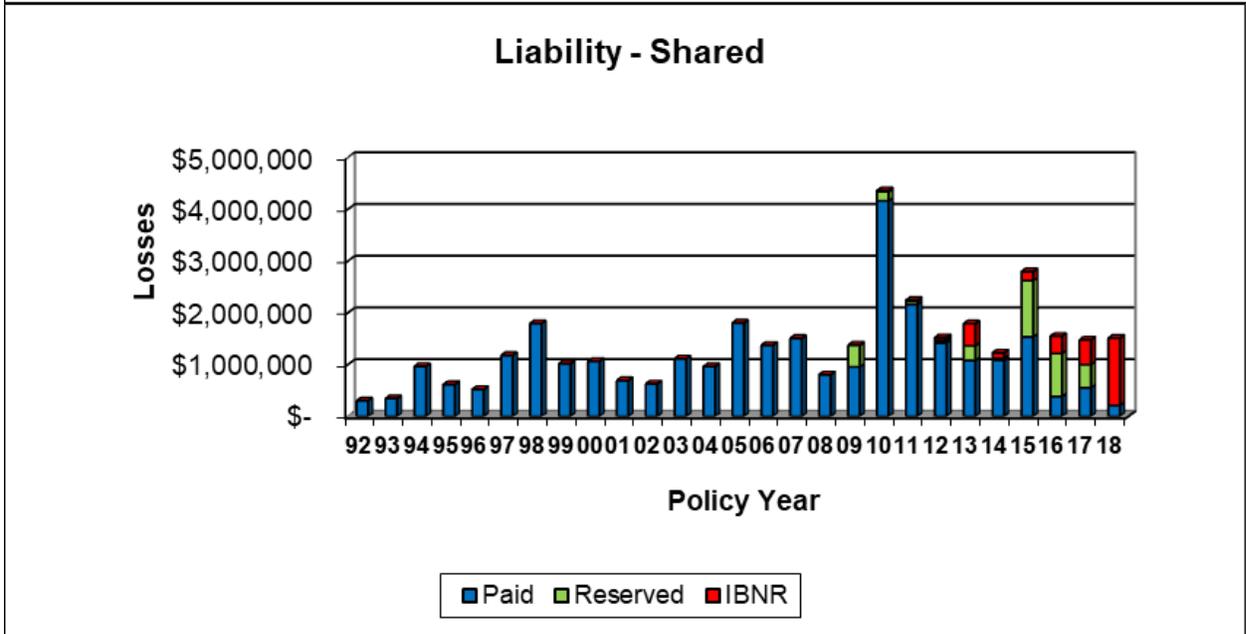
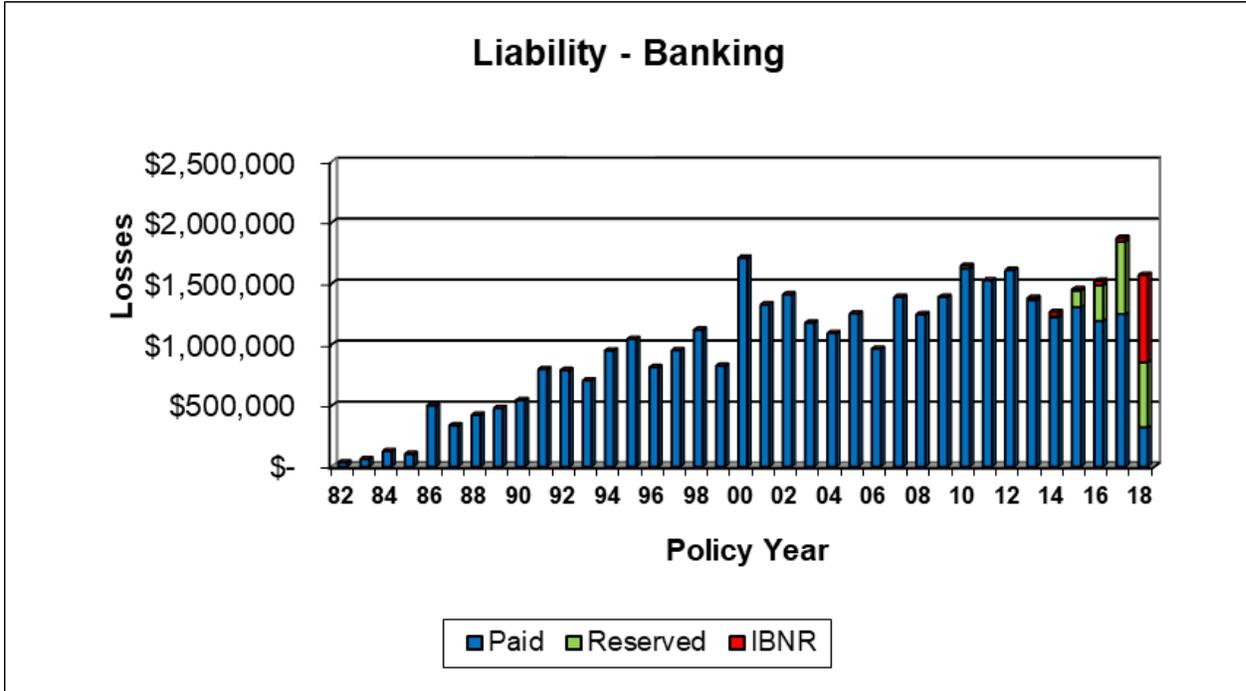
Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Combining Statement of Cash Flows – Workers’ Compensation
For the Fiscal Year Ended June 30, 2018

	City of Lincoln	City of Marysville	City of Nevada City	City of Oroville	City of Paradise	City of Placerville	City of Red Bluff	City of Rio Vista	City of Rocklin	City of Willows	City of Yuba City
Cash flows from operating activities:											
Cash received from members	\$ 148,869	\$ 150,639	\$ 85,236	\$ 315,868	\$ 284,902	\$ 138,663	\$ 529,744	\$ 56,515	\$ 611,970	\$ 57,141	\$ 904,304
Cash paid for claims	(140,692)	(228,991)	(158,696)	(287,111)	(183,928)	(84,245)	(302,421)	(48,880)	(392,061)	(49,591)	(742,493)
Cash paid for insurance	-	-	-	-	-	-	-	-	-	-	-
Cash paid to vendors	(23,033)	(26,083)	(7,973)	(24,543)	(25,908)	(20,498)	(39,534)	(10,507)	(48,035)	(5,261)	(63,087)
Cash paid for dividends	-	(26,958)	(6,946)	-	-	(65,852)	-	-	-	-	-
Net cash provided by (used in) operating activities	(14,856)	(131,393)	(88,379)	4,214	75,066	(31,932)	187,789	(2,872)	171,874	2,289	98,724
Cash flows from investing activities:											
Investment income received	18,086	9,528	3,538	6,339	9,165	11,044	16,270	3,778	28,643	4,738	31,475
Net investment (purchases) sales	26,212	130,733	85,348	1,120	(64,343)	36,945	(165,856)	5,399	(141,410)	1,235	(74,632)
Net cash provided by (used in) investing activities	44,298	140,261	88,886	7,459	(55,178)	47,989	(149,586)	9,177	(112,767)	5,973	(43,157)
Net increase (decrease) in cash and cash equivalents	29,442	8,868	507	11,673	19,888	16,057	38,203	6,305	59,107	8,262	55,567
Cash and cash equivalents, beginning of year	51,880	30,279	11,111	19,349	25,172	31,080	43,322	11,062	80,733	13,799	83,299
Cash and cash equivalents, end of year	\$ 81,322	\$ 39,147	\$ 11,618	\$ 31,022	\$ 45,060	\$ 47,137	\$ 81,525	\$ 17,367	\$ 139,840	\$ 22,061	\$ 138,866
Reconciliation of operating income (loss) to net cash provided by (used in) operating activities:											
Operating income (loss)	\$ (168,857)	\$ (43,607)	\$ (29,119)	\$ 410,737	\$ 103,380	\$ (149,819)	\$ (3,098)	\$ (46,652)	\$ 48,872	\$ 53,116	\$ (72,569)
Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:											
(Increase) decrease in:											
Member receivable	-	-	-	14,223	25,907	-	-	-	-	-	-
Excess receivable	-	-	-	-	-	-	-	-	-	-	-
Prepaid expense	-	-	-	-	-	-	-	-	-	-	-
(Decrease) increase in:											
Accounts payable	(3)	(1)	(1)	(1)	(1)	(2)	(4)	(1)	(5)	-	(5)
Unearned revenue	15,234	-	-	39,664	(20,097)	-	-	(23,820)	(600)	(21,323)	(41,305)
Unpaid claims and claim adjustment expenses	138,770	(87,785)	(59,259)	(460,409)	(34,123)	117,889	190,891	67,601	123,607	(29,504)	212,603
Net cash provided by (used in) operating activities	\$ (14,856)	\$ (131,393)	\$ (88,379)	\$ 4,214	\$ 75,066	\$ (31,932)	\$ 187,789	\$ (2,872)	\$ 171,874	\$ 2,289	\$ 98,724
Supplemental information:											
Noncash non-operating and investing activities											
Net change in fair value of investments	\$ (21,869)	\$ (11,076)	\$ (4,179)	\$ (7,428)	\$ (11,079)	\$ (13,410)	\$ (19,898)	\$ (4,501)	\$ (34,584)	\$ (5,665)	\$ (38,192)

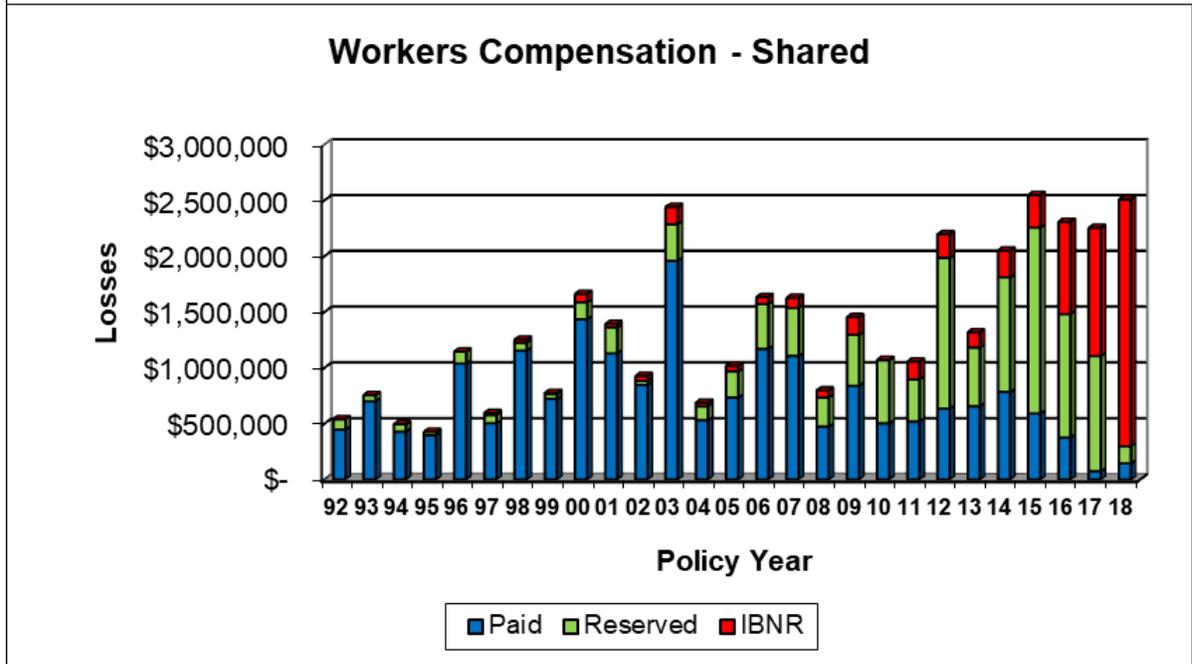
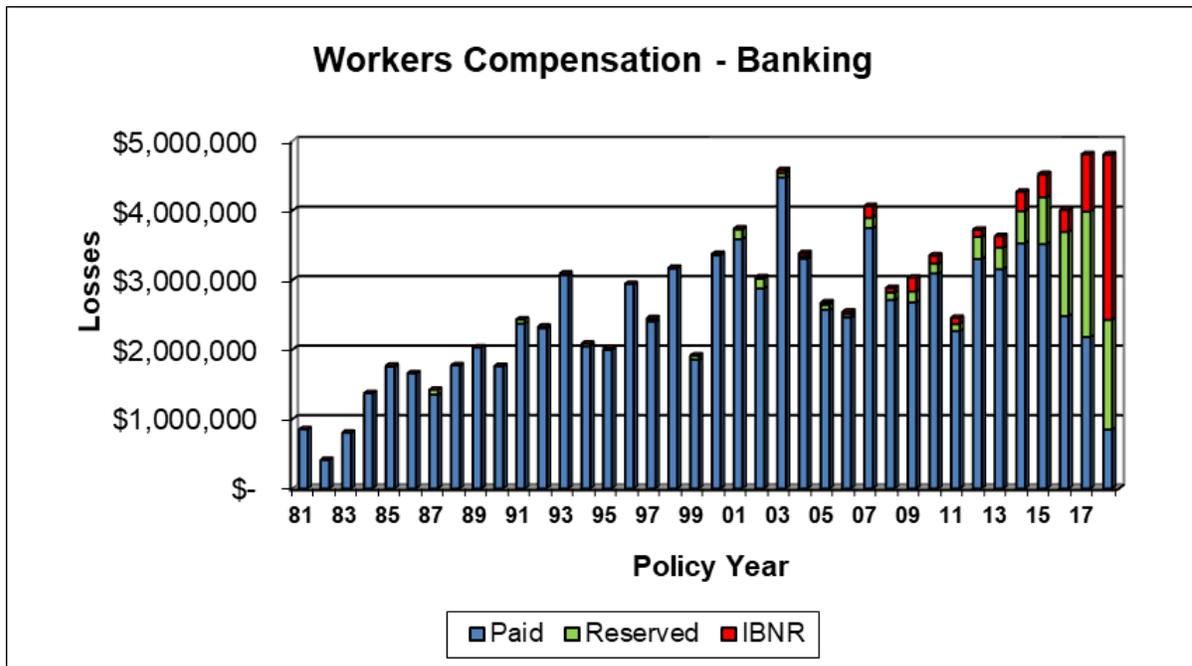
**Northern California Cities Self Insurance Fund
(Governmental Enterprise Fund)
Reconciliation of Claims Liability by Program
As of June 30, 2018 and June 30, 2017**

	Liability Banking 2018	Liability Shared Risk 2018	Total Liability Program		WC Banking 2018	WC Shared Risk 2018	Total WC Program		Totals	
			2018	2017			2018	2017	2018	2017
Unpaid claims and claim adjustment expenses at beginning of the fiscal year	\$ 2,158,551	\$ 5,224,752	\$ 7,383,303	\$ 9,946,575	\$ 13,626,420	\$ 16,999,526	\$ 30,625,946	\$ 29,798,449	\$ 38,009,249	\$ 39,745,024
Incurred claims and claim adjustment expenses:										
Provision for insured events of the current fiscal year	1,571,037	1,508,393	3,079,430	3,062,804	4,804,680	2,468,948	7,273,628	7,275,432	10,353,058	10,338,236
Increases (Decreases) in provision for insured events of prior fiscal years	120,610	284,320	404,930	(1,236,867)	(11,670)	161,587	149,917	(927,061)	554,847	(2,163,928)
Change in provision for ULAE in current year	-	-	-	-	21,975	65,705	87,680	79,550	87,680	79,550
Total incurred claims and claim adjustment expenses	1,691,647	1,792,713	3,484,360	1,825,937	4,814,985	2,696,240	7,511,225	6,427,921	10,995,585	8,253,858
Payments:										
Claims and claim adjustment expenses attributable to insured events of the current fiscal year	321,874	207,838	529,712	587,291	851,331	145,009	996,340	1,012,697	1,526,052	1,599,988
Claims and claim adjustment expenses attributable to insured events of prior fiscal years	1,098,890	490,895	1,589,785	3,801,918	3,682,793	1,511,430	5,194,223	4,587,727	6,784,008	8,389,645
Total Payments	1,420,764	698,733	2,119,497	4,389,209	4,534,128	1,656,439	6,190,567	5,600,424	8,310,064	9,989,633
Total unpaid claims and claim adjustment expenses at end of the fiscal year	\$ 2,429,434	\$ 6,318,732	\$ 8,748,166	\$ 7,383,303	\$ 13,907,277	\$ 18,039,327	\$ 31,946,604	\$ 30,625,946	\$ 40,694,770	\$ 38,009,249
Claims Liability	\$ 2,429,434	\$ 6,318,732	\$ 8,748,166	\$ 7,383,303	\$ 13,134,868	\$ 17,037,424	\$ 30,172,292	\$ 28,939,316	\$ 38,920,458	\$ 36,322,619
Claims ULAE	-	-	-	-	772,409	1,001,903	1,774,312	1,686,630	1,774,312	1,686,630
Total Claim Liabilities	\$ 2,429,434	\$ 6,318,732	\$ 8,748,166	\$ 7,383,303	\$ 13,907,277	\$ 18,039,327	\$ 31,946,604	\$ 30,625,946	\$ 40,694,770	\$ 38,009,249

Northern California Cities Self Insurance Fund
Graphical Summary of Claims
As of June 30, 2018



Northern California Cities Self Insurance Fund
Graphical Summary of Claims
As of June 30, 2018



Northern California Cities Self Insurance Fund
Budget to Actual
As of June 30, 2018

	Budget 2017-2018			YTD Expended 2017-2018			Remaining 2017-2018			
	Total	WC	Liab	Total	WC	Liab	Total \$	Total %	WC	Liab
ADMIN BUDGET										
Administrative Revenue										
41010 Administrative Deposit - See Note 1	\$ 1,272,680	\$ 707,582	\$ 565,098	\$ 1,272,680	\$ 707,582	\$ 565,098	\$ -	0%	\$ -	\$ -
44030 Change in Fair Value - See Note 2	-	-	-	(16,252)	(9,556)	(6,696)	16,252		9,556	6,696
44040 Interest Income - See Note 2	-	-	-	12,419	7,743	4,676	(12,419)		(7,743)	(4,676)
44080 Risk Management Grants - See Note 6	-	-	-	200,382	200,382	-	(200,382)		(200,382)	-
Total Admin Revenue	\$ 1,272,680	\$ 707,582	\$ 565,098	\$ 1,469,229	\$ 906,151	\$ 563,078	\$ (196,549)	-15%	\$ (198,569)	\$ 2,020
Administrative Expenses										
52101 Claims Audit	\$ 7,500	\$ -	\$ 7,500	\$ 7,500	\$ -	\$ 7,500	\$ -	0%	\$ -	\$ -
52102 Financial Audit	26,622	13,311	13,311	26,622	13,311	13,311	-	0%	-	-
52103 Legal Services	17,000	2,000	15,000	9,433	1,109	8,324	7,567	45%	891	6,676
52104 Actuarial Services	12,340	5,170	7,170	9,780	4,098	5,682	2,560	21%	1,072	1,488
52106 CAJPA Accreditation	4,500	2,250	2,250	5,850	2,925	2,925	(1,350)	-30%	(675)	(675)
52109 Misc Consulting / Contingency	5,000	2,500	2,500	-	-	-	5,000	100%	2,500	2,500
Total Admin Expenses	\$ 72,962	\$ 25,231	\$ 47,731	\$ 59,185	\$ 21,443	\$ 37,742	\$ 13,777	19%	\$ 3,788	\$ 9,989
Safety Services										
52201 Outside Training	\$ 30,000	\$ 15,000	\$ 15,000	\$ 9,128	\$ 4,564	\$ 4,564	\$ 20,872	70%	\$ 10,436	\$ 10,436
52202 Risk Mgmt Comm Mtg Expense	1,500	750	750	1,611	806	805	(111)	-7%	(56)	(55)
52203 Police Risk Mgmt Comm Training	7,900	3,950	3,950	1,471	736	735	6,429	81%	3,214	3,215
52204 Bickmore Risk Management Services	168,820	94,910	73,910	176,720	99,351	77,369	(7,900)	-5%	(4,441)	(3,459)
52207 Member Training and Risk Management	88,000	50,000	38,000	32,643	18,619	14,024	55,357	63%	31,381	23,976
52208 Lexipol Police Manual Updates & DTBs	132,546	15,903	116,643	123,563	14,473	109,090	8,983	7%	1,430	7,553
52209 Police Risk Management Funds	50,000	25,000	25,000	44,228	22,114	22,114	5,772	12%	2,886	2,886
54200 Safety Grant Fund - See Note 3	-	-	-	73,857	73,857	-	(73,857)		(73,857)	-
Total Safety Services Expenses	\$ 478,766	\$ 205,513	\$ 273,253	\$ 463,221	\$ 234,520	\$ 228,701	\$ 15,545	3%	\$ (29,007)	\$ 44,552

Northern California Cities Self Insurance Fund
Budget to Actual
As of June 30, 2018

	Budget 2017-2018			YTD Expended 2017-2018			Remaining 2017-2018			
	Total	WC	Liab	Total	WC	Liab	Total	Total %	WC	Liab
ADMIN BUDGET CONTINUED										
Claims Administration										
52302 Claims Administration Fee	\$ 50,600	\$ 29,700	\$ 20,900	\$ 50,600	\$ 29,700	\$ 20,900	\$ -	0%	\$ -	\$ -
52304 State Funding/Fraud Assessment	225,667	225,667	-	208,071	208,071	-	17,596	8%	17,596	-
Total Claims Admin Expenses	\$ 276,267	\$ 255,367	\$ 20,900	\$ 258,671	\$ 237,771	\$ 20,900	\$ 17,596	6%	\$ 17,596	\$ -
Program Administration										
52401 Program Administration and Brokerage Fee	\$ 303,505	\$ 137,957	\$ 165,548	\$ 303,505	\$ 137,957	\$ 165,548	\$ -	0%	\$ -	\$ -
52403 Accounting Services	99,330	49,665	49,665	99,330	49,665	49,665	-	0%	-	-
Total Program Admin Expenses	\$ 402,835	\$ 187,622	\$ 215,213	\$ 402,835	\$ 187,622	\$ 215,213	\$ -	0%	\$ -	\$ -
Board Expenses										
52500 Board of Directors Long Range Planning Session	\$ 2,000	\$ 1,000	\$ 1,000	\$ 1,515	\$ 758	\$ 757	\$ 485	24%	\$ 242	\$ 243
52501 Executive Committee	2,500	1,250	1,250	546	273	273	1,954	78%	977	977
52502 Executive Committee Member Travel	4,000	2,000	2,000	1,548	774	774	2,452	61%	1,226	1,226
52503 Board of Directors Meetings (includes Travel)	8,000	4,000	4,000	4,998	2,499	2,499	3,002	38%	1,501	1,501
52504 Association Memberships	4,000	2,000	2,000	2,026	1,013	1,013	1,974	49%	987	987
Total Board Expenses	\$ 20,500	\$ 10,250	\$ 10,250	\$ 10,633	\$ 5,317	\$ 5,316	\$ 9,867	48%	\$ 4,933	\$ 4,934
Other Administration Expenses - Not identified with above budget line items										
52001 Administration Expense - Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-		\$ -	\$ -
52900 Member Identity Theft Protection	11,800	11,800	-	11,793	11,793	-	7	0%	7	-
Total Other Admin	\$ 11,800	\$ 11,800	\$ -	\$ 11,793	\$ 11,793	\$ -	\$ 7	0%	\$ 7	\$ -
Total Admin Expenses	\$ 1,263,130	\$ 695,783	\$ 567,347	\$ 1,206,338	\$ 698,466	\$ 507,872	\$ 56,792	4%	\$ (2,683)	\$ 59,475
TOTAL ADMIN REVENUE OVER EXPENSES	\$ 9,550	\$ 11,799	\$ (2,249)	\$ 262,891	\$ 207,685	\$ 55,206	\$ (253,341)		\$ (195,886)	\$ (57,455)

Northern California Cities Self Insurance Fund
Budget to Actual
As of June 30, 2018

	Budget 2017-2018			YTD Expended 2017-2018			Remaining 2017-2018			
	Total	WC	Liab	Total	WC	Liab	Total	Total %	WC	Liab
BANKING LAYER BUDGET										
Banking Layer Revenue										
41020 Banking Layer Deposit - See Note 1	\$ 8,158,729	\$ 6,235,730	\$ 1,922,999	\$ 8,158,729	\$ 6,235,730	\$ 1,922,999	\$ -	0%	\$ -	\$ -
44030 Change in Fair Value - See Note 2	-	-	-	(498,853)	(391,548)	(107,305)	498,853		391,548	107,305
44040 Interest Income - See Note 2	-	-	-	376,061	296,048	80,013	(376,061)		(296,048)	(80,013)
Total Banking Layer Revenue	<u>\$ 8,158,729</u>	<u>\$ 6,235,730</u>	<u>\$ 1,922,999</u>	<u>\$ 6,635,770</u>	<u>\$ 5,139,040</u>	<u>\$ 1,496,730</u>	<u>\$ 1,522,959</u>	<u>19%</u>	<u>\$ 1,096,690</u>	<u>\$ 426,269</u>
Banking Layer Expenses										
51100 Claims Expense - See Note 4	\$ 5,830,000	\$ 4,001,000	\$ 1,829,000	\$ 5,525,171	\$ 4,534,125	\$ 991,046	\$ 304,829	5%	\$ (533,125)	\$ 837,954
51135 Claims Admin - Liability - See Note 4	-	-	-	429,722	-	429,722	(429,722)		-	(429,722)
51400 OS Liability Adjustment - See Note 4	-	-	-	529,766	258,885	270,881	(529,766)		(258,885)	(270,881)
51800 ULAE Adjustment - See Note 4	-	-	-	21,975	21,975	-	(21,975)		(21,975)	-
52300 Claims Admin - Monthly WC Only - See Note 4	706,000	706,000	-	567,345	567,345	-	138,655	20%	138,655	-
Total Banking Layer Expenses	<u>\$ 6,536,000</u>	<u>\$ 4,707,000</u>	<u>\$ 1,829,000</u>	<u>\$ 7,073,979</u>	<u>\$ 5,382,330</u>	<u>\$ 1,691,649</u>	<u>\$ (537,979)</u>	<u>-8%</u>	<u>\$ (675,330)</u>	<u>\$ 137,351</u>
TOTAL BANKING REVENUE OVER EXPENSES	\$ 1,622,729	\$ 1,528,730	\$ 93,999	\$ (438,209)	\$ (243,290)	\$ (194,919)	\$ 2,060,938		\$ 1,772,020	\$ 288,918

Northern California Cities Self Insurance Fund
Budget to Actual
As of June 30, 2018

	Budget 2017-2018			YTD Expended 2017-2018			Remaining 2017-2018			
	Total	WC	Liab	Total	WC	Liab	Total	Total %	WC	Liab
SHARED RISK LAYER BUDGET										
Shared Layer Revenue										
41030 Shared Risk Layer Deposit - See Note 1	\$ 5,187,000	\$ 3,233,000	\$ 1,954,000	\$ 5,187,000	\$ 3,233,000	\$ 1,954,000	\$ -	0%	\$ -	\$ -
41040 Excess Deposit/Premium - See Note 1	2,632,368	1,471,041	1,161,327	2,632,368	1,471,041	1,161,327	-	0%	-	-
44020 Excess Insurance Refund - See Note 3	-	-	-	-	-	-	-	-	-	-
44030 Change in Fair Value	-	-	-	(518,131)	(377,456)	(140,675)	518,131		377,456	140,675
44040 Interest Income	-	-	-	395,316	288,430	106,886	(395,316)		(288,430)	(106,886)
44060 Property Premium - See Note 1	861,478	-	861,478	861,478	-	861,478	-	0%	-	-
44070 Crime Premium - See Note 1	51,447	-	51,447	51,447	-	51,447	-	0%	-	-
Total Shared Layer Revenue	<u>\$ 8,732,293</u>	<u>\$ 4,704,041</u>	<u>\$ 4,028,252</u>	<u>\$ 7,828,476</u>	<u>\$ 3,834,013</u>	<u>\$ 3,994,463</u>	<u>\$ 903,817</u>	<u>10%</u>	<u>\$ 870,028</u>	<u>\$ 33,789</u>
Shared Layer Expenses										
51100 Claims Expense	\$ 5,206,000	\$ 3,829,000	\$ 1,377,000	\$ 4,488,953	\$ 2,696,240	\$ 1,792,713	\$ 717,047	14%	\$ 1,132,760	\$ (415,713)
54100 Excess Deposit/Premium Exp - See Note 5	2,632,368	1,471,041	1,161,327	2,628,365	1,461,475	1,166,890	4,003	0%	9,566	(5,563)
54150 Member Property Coverage - See Note 5	861,478	-	861,478	861,484	-	861,484	(6)	0%	-	(6)
54150 Member Crime Coverage - See Note 5	51,447	-	51,447	51,447	-	51,447	-	0%	-	-
Total Shared Layer Expenses	<u>\$ 8,751,293</u>	<u>\$ 5,300,041</u>	<u>\$ 3,451,252</u>	<u>\$ 8,030,249</u>	<u>\$ 4,157,715</u>	<u>\$ 3,872,534</u>	<u>\$ 721,044</u>	<u>8%</u>	<u>\$ 1,142,326</u>	<u>\$ (421,282)</u>
TOTAL SHARED REVENUE OVER EXPENSES	\$ (19,000)	\$ (596,000)	\$ 577,000	\$ (201,773)	\$ (323,702)	\$ 121,929	\$ 182,773		\$ (272,298)	\$ 455,071
OTHER INCOME/(EXPENSE)										
Rounding	-	-	-	(3)	3	(6)	3		(3)	6
Total Other Income/(Expense)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ (3)</u>	<u>\$ 3</u>	<u>\$ (6)</u>	<u>\$ 3</u>		<u>\$ (3)</u>	<u>\$ 6</u>
TOTAL INCOME/(EXPENSE)	\$ 1,613,279	\$ 944,529	\$ 668,750	\$ (377,094)	\$ (359,304)	\$ (17,790)	\$ 1,990,373		\$ 1,303,833	\$ 686,540

Northern California Cities Self Insurance Fund
Notes to Budget to Actual
As of June 30, 2018

1. Revenue Recognition

The budget presents revenue to be earned during the entire fiscal year. In accordance with the accrual basis of accounting, the YTD Expended columns show only the amount earned by the organization, year-to-date.

2. Investment Income

No budget is developed for the Change in Fair Value and Interest Income amounts, as it is difficult to predict the yield on the organization's portfolio.

3. CJPRMA Refund

For budgeting purposes, the CJPRMA refund is recorded in the year following its approval. In accordance with the accrual basis of accounting, the refund to the organization is recorded on the books in the period it was declared.

4. Claims Expenses

Claims related expenses are budgeted based on the estimated claims expense for the year. Claims related expenses are recorded on the books in several additional categories. Review of the budget to actual performance of claims related items should take this into consideration.

5. Insurance Expense Recognition

The budget presents excess and other insurance expense based on the policy fee paid for entire fiscal year. In accordance with the accrual basis of accounting, the YTD Expended columns show only the portion of the policy used by the organization, year-to-date. The remainder of the policy fee paid, but not used to date is recorded in Prepaid Expenses on the Statement of Net Position, as applicable.

**Northern California Cities Self Insurance Fund
Risk Management Reserves Historic Usage Report
As of June 30, 2018**

Member	ADA Grants Declared in FY 2009 Board Meeting 4/24/2009	Risk Management Reserve Fund elected to be retained in WC admin by members from their WC shared refund							Total funds available FY 2009 - FY 2017 for Risk Management	Total Disbursements Paid through Last Update	Risk Management Reserve Funds Available
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2017				
Anderson	\$ 6,496.00	\$ 8,039.00	\$ 7,650.00	\$ 7,540.00	\$ 7,451.00	\$ 3,860.00	\$ -	\$ -	\$ 41,036.00	\$ 31,355.00	\$ 9,681.00
Auburn	6,397.00	-	-	8,098.00	-	-	-	16,672.00	\$ 31,167.00	6,397.00	24,770.00
Colusa	6,258.00	-	-	5,817.00	5,662.00	-	-	-	\$ 17,737.00	17,737.00	-
Corning	6,157.00	6,149.00	5,788.00	5,678.00	-	-	-	-	\$ 23,772.00	23,772.00	-
Dixon	6,592.00	-	-	-	10,379.00	-	10,602.00	-	\$ 27,573.00	11,762.47	15,810.53
Elk Grove	-	-	-	-	-	-	-	-	\$ -	-	-
Folsom	16,732.00	62,977.00	-	61,737.00	61,566.00	32,328.00	57,693.00	117,055.00	\$ 410,088.00	361,297.37	48,790.63
Galt	7,613.00	14,375.00	14,135.00	14,171.00	14,153.00	11,310.00	16,586.00	-	\$ 92,343.00	84,412.00	7,931.00
Gridley	6,144.00	6,391.00	-	6,507.00	6,476.00	5,152.00	6,614.00	-	\$ 37,284.00	6,144.00	31,140.00
Ione	-	-	-	-	-	514.00	-	-	\$ 514.00	-	514.00
Jackson	5,627.00	3,510.00	3,487.00	-	-	-	4,285.00	-	\$ 16,909.00	5,500.00	11,409.00
Lincoln	6,303.00	8,638.00	10,028.00	-	10,854.00	-	10,078.00	-	\$ 45,901.00	24,969.00	20,932.00
Marysville	6,758.00	-	-	-	-	-	-	13,095.00	\$ 19,853.00	731.00	19,122.00
Nevada City	5,665.00	-	-	-	3,618.00	1,896.00	4,275.00	-	\$ 15,454.00	13,001.00	2,453.00
Oroville	7,633.00	-	-	-	-	-	12,309.00	-	\$ 19,942.00	17,412.50	2,529.50
Placerville	6,883.00	-	-	-	-	9,048.00	-	-	\$ 15,931.00	6,883.00	9,048.00
Paradise	7,182.00	-	-	-	-	-	-	-	\$ 7,182.00	7,182.00	-
Red Bluff	7,339.00	12,860.00	12,493.00	12,290.00	-	-	-	-	\$ 44,982.00	44,982.00	-
Rio Vista	5,818.00	-	4,770.00	4,766.00	-	3,759.00	-	-	\$ 19,113.00	15,602.50	3,510.50
Rocklin	9,178.00	24,019.00	25,254.00	25,419.00	25,476.00	20,260.00	22,851.00	51,560.00	\$ 204,017.00	118,471.80	85,545.20
Willows	5,856.00	4,618.00	4,412.00	-	-	3,405.00	7,156.00	2,000.00	\$ 27,447.00	25,448.00	1,999.00
Yuba City	8,607.00	-	-	22,184.00	-	-	-	-	\$ 30,791.00	30,791.00	-
	\$ 145,238.00	\$ 151,576.00	\$ 88,017.00	\$ 174,207.00	\$ 145,635.00	\$ 91,532.00	\$ 152,449.00	\$ 200,382.00	\$ 1,149,036.00	\$ 853,850.64	\$ 295,185.36

Schedule includes payments made through June 30, 2018.

**Northern California Cities Self Insurance Fund
Police Risk Management Grants Historic Usage Report
As of June 30, 2018**

Member	Police Risk Management Grant Funds			Total funds granted FY 2015 - FY 2017 for Police Risk Management	Total Disbursements Paid through Last Update	Police Risk Management Grant Funds Available
	FY 2015	FY 2016	FY 2017			
Anderson	\$1,515.00	\$1,515.00	\$ 1,515.00	\$ 4,545.00	\$ -	\$ 4,545.00
Auburn	3,030.00	3,030.00	3,030.00	9,090.00	9,309.74	(219.74)
Colusa	1,515.00	1,515.00	1,515.00	4,545.00	3,030.00	1,515.00
Corning	1,515.00	1,515.00	1,515.00	4,545.00	3,291.26	1,253.74
Dixon	3,030.00	3,030.00	3,030.00	9,090.00	8,994.38	95.62
Elk Grove	3,030.00	3,030.00	3,030.00	9,090.00	9,090.00	-
Folsom	3,787.50	3,787.50	3,788.00	11,363.00	7,576.00	3,787.00
Galt	3,030.00	3,030.00	3,030.00	9,090.00	-	9,090.00
Gridley	1,515.00	1,515.00	1,515.00	4,545.00	4,543.26	1.74
Ione	1,515.00	1,515.00	1,515.00	4,545.00	3,391.87	1,153.13
Jackson	1,515.00	1,515.00	1,515.00	4,545.00	-	4,545.00
Lincoln	3,030.00	3,030.00	3,030.00	9,090.00	6,548.50	2,541.50
Marysville	2,272.50	2,272.50	2,273.00	6,818.00	4,919.87	1,898.13
Nevada City	1,515.00	1,515.00	1,515.00	4,545.00	4,545.00	-
Oroville	3,030.00	3,030.00	3,030.00	9,090.00	7,234.00	1,856.00
Placerville	2,272.50	2,272.50	2,273.00	6,818.00	3,970.32	2,847.68
Paradise	1,515.00	1,515.00	1,515.00	4,545.00	4,545.00	-
Red Bluff	2,272.50	2,272.50	2,273.00	6,818.00	4,545.00	2,273.00
Rio Vista	1,515.00	1,515.00	1,515.00	4,545.00	4,241.15	303.85
Rocklin	3,030.00	3,030.00	3,030.00	9,090.00	6,516.24	2,573.76
Willows	1,515.00	1,515.00	1,515.00	4,545.00	-	4,545.00
Yuba City	3,030.00	3,030.00	3,030.00	9,090.00	6,060.00	3,030.00
	<u>\$ 49,995.00</u>	<u>\$ 49,995.00</u>	<u>\$ 49,997.00</u>	<u>\$ 149,987.00</u>	<u>\$ 102,351.59</u>	<u>\$ 47,635.41</u>

Schedule includes payments made through June 30, 2018.

Designated for Risk Management	
Risk Management Reserves	\$ 295,185
Police Risk Management Grants	<u>47,635</u>
Total	<u>\$ 342,820</u>



Agenda Item F.2.

BUDGET-TO-ACTUAL AS OF JUNE 30, 2018

INFORMATION ITEM

ISSUE: The Budget to Actual exhibit from the Quarterly Financial Report is placed on the agenda as a separate item, per member request, for review and discussion as needed. The Program Administrators will discuss any items that are not on track with the budget.

Administrative expenses were \$1,206,338, or \$56,792 (4%) below the budgeted amount of \$1,263,130.

The significant categories below budget were for training, both the member grants of up to \$4,000 each and the budget for regional training and risk assessment recommendations. The Program Administrators expect these categories to be utilized more often this year as members and service providers are now more aware of these resources.

RECOMMENDATION: None.

FISCAL IMPACT: None.

BACKGROUND: The Executive Committee has asked that the budget-to-actual exhibit from the quarterly financials listed separately in the agenda packet to highlight any issues that may develop over the course of the fiscal year. James Marta and Company also agreed to add a Usage Report to the Quarterly Financials to track the budget for Police Risk Management Grants.

ATTACHMENT(S): (Please refer to pages 26-30 of the Quarterly Financial Report) for Period Ending June 30, 2018 - Budget to Actual as of June 30, 2018



BACK TO AGENDA

Northern California Cities Self Insurance Fund
Executive Committee Meeting
September 27, 2018

Agenda Item F.3.

FY 17/18 FINANCIAL AUDIT UPDATE
INFORMATION ITEM

ISSUE: The annual NCCSIF Financial Audit has just concluded. A representative from James Marta & Company will be present to provide an update on the audit process and findings. The audit report will be presented at the October 25, 2018 Board meeting by Crowe Horwath LLP.

RECOMMENDATION: None. Information only.

FISCAL IMPACT: None.

BACKGROUND: The annual financial audit has been conducted by Crowe Horwath since FY 10/11.

ATTACHMENT(S): None.



Agenda Item G.1.

**APPROVAL OF NCCSIF DEFENSE ATTORNEY LIST
FOR LIABILITY**

ACTION ITEM

ISSUE: The City of Rocklin is recommending the addition of Charleton S. Pearse, Benjamin D. Oram, and Adam Ambrozy from Lenahan, Lee, Slater, Pearse & Majernik, LLP to the Liability Counsel Approved List. They are also recommended by the Claims Manager for NCCSIF's excess coverage provider, California Joint Powers Risk Management Authority (CJPRMA). They have successfully defended and managed claims for another member of CJPRMA, the Yolo County Public Agency Risk Management Insurance Authority (YCPARMIA) risk pool.

NCCSIF plans to use the Lenahan law firm deliberately as needed for difficult dangerous condition cases or unusual cases that need a vigorous legal defense. Attached is a firm resume that includes a summary of some of those types of cases the firm managed for YCPARMIA.

RECOMMENDATION: Approve as requested.

FISCAL IMPACT: None.

BACKGROUND: The Claims Committee regularly reviews and recommends changes to the Approved List of attorneys based on feedback from members and the claims administrator.

The Lenahan firm is on NCCSIF Workers' Compensation defense panel and has successfully managed many of those claims for the JPA. Hourly rates for the firm: Sr. Partner \$225, Partner \$210, Sr. Associate \$195, and Paralegals \$90.

ATTACHMENT(S):

1. City of Rocklin Recommendation
2. Lenahan, Lee, Slater, Pearse & Majernik, LLP Law Firm Resume
3. Policy and Procedure A-9: Attachment A Defense Attorney List for Liability (red-line version)

On Thu, May 24, 2018 at 2:23 PM, Steven Rudolph <Steven.Rudolph@rocklin.ca.us> wrote:

Hi Cameron,

I understand that you are considering adding some attorneys from the Lenahan firm to the NCCSIF civil panel list. I have had experience with several members of that firm in the workers comp arena, and have been pleased with their knowledge, skill and responsiveness. We certainly can use more depth on the civil liability side of the house, and I would support their addition to the list. If you wish to discuss further, please give me a call. I hope you are doing well, and have an enjoyable holiday weekend (spent on your bike, I am sure!).

Steve

Steven Rudolph | City Manager

City of Rocklin | 3970 Rocklin Road | Rocklin, CA 95677

direct: (916) 625-5585 | Steven.Rudolph@rocklin.ca.us

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FIRM RESUME

Lenahan, Lee, Slater, Pearse & Majernik, LLP, is the direct descendant of the original Law Offices of Files and McMurchie formed in Sacramento in 1952 when two Stanford Law School graduates, Tom Files and Don McMurchie, joined forces to open a general civil practice partnership. Over the past 65 years the Firm has experienced steady growth resulting in what is currently the largest work comp defense firm in Northern California. With 23 attorneys in the Sacramento office and a second office in Fresno, the Firm covers the entire Sacramento Valley, Bay Area, and Northern California to the Oregon border.

The Firm is divided into three practice pods, all of which involve litigation. The pods focus primarily on the defense of workers' compensation matters, pursuit of civil subrogation remedies in connection with on-the-job injuries, and liability defense, including most areas of employment and tort law.

WORKERS' COMPENSATION DEFENSE AND SUBROGATION

Compensation Defense

Tom Files was the Firm's first certified specialist in workers' compensation and charter specialist in what began as an experimental program with the State Bar. Since his retirement in 1981, the Firm has added additional certified specialists, five of whom presently lead in the representation of over fifty major insurance companies, self-insured employers, and permissibly uninsured public entities. A representative sample of those clients include the Sacramento Metropolitan Fire District, Sacramento Regional Transit, Central Contra Costa Transit Authority, San Joaquin Regional Transit, County of Sacramento, San Joaquin County, Nevada County, Amador County, Butte County, Sutter County, Yuba County, Calaveras County, Napa County, Sierra County, Siskiyou County, the Cities of Folsom, Modesto, Colusa, Citrus Heights, Elk Grove, Roseville, Rocklin, Lincoln, Dixon, Rio Vista, Nevada City, Placerville, Palo Alto, Coalinga, and Mendocino, the Towns of Truckee and Paradise, UC Davis, YCPARMIA, Elk Grove School District, San Juan USD, Schools Insurance Authority, Marin Schools Insurance Authority, Republic Insurance Company, UPS, Trader Joe's, Waste Management, Pacific Coast Building

Established 1952

Products, Mission Linen, Gordon Trucking, Argonaut, PMA Group (Pennsylvania Manufacturers), Compass Group, CareWest Insurance Group, Non Profits United, Samsung Fire & Marine Insurance Group, Eskaton Properties, and Bank of America.

The Firm's current certified specialists are partners Gerald Lenahan, Peter Slater, William Majernik, Stephanie Merri, and Jeana Pipkin. Other partners practicing in this area include Brian Lee, Moses Mendoza, Colin Connor, Yolanda Tuckerman, Christine Green, Ira Gerald Clary, Jr., and Nicole Flanagan. In addition to thirteen partners, the Firm has twelve Associates devoting substantial practice to compensation defense and has immediate plans to add more.

Compensation Subrogation

When the Firm was smaller, the same attorney would handle the defense of the workers' compensation case before the Appeals Board and also appear in the Superior Court to pursue the carrier's subrogation recovery against a negligent third-party. With the advent of specialization, fast track cases, and irreconcilable calendars, the Firm has established a sub-pod of attorneys who devote a substantial portion of their practice to recover compensation expenditures in the Superior Court.

Charleton Pearse manages the subrogation team, aided by partners William Majernik and Jeana Pipkin, and associate attorneys Adam Ambrozy and Benjamin Oram. The subrogation team is skilled and prepared in trying cases to their conclusions before the Superior Courts of this state, with or without the assistance of plaintiff's counsel.

This subrogation unit has had many consecutive years of large dollar recoveries for the Firm's compensation clients. The Firm is small enough to allow continuous coordination between the comp defense and civil subrogation attorneys. This allows the compensation defense and subrogation attorneys to maintain effective communication in order to accomplish advantageous settlement utilizing Third-Party Compromise and Release agreements and stipulated credits for third-party recovery.

EMPLOYER CIVIL LIABILITY DEFENSE

Many of the Firm's clients mentioned above adjust both workers' compensation and defense matters. It was a natural growth for the Firm's subrogation department to begin defending these clients in the Superior Court. Charleton Pearse is the partner in charge of this unit and is aided by partner Jeana Pipkin, and associate attorneys Adam Ambrozy and Benjamin Oram.

The Firm defends the employer community in Superior Court against employment discrimination, wrongful termination, sexual harassment, ADA violations, danger conditions of public property, excessive force, all Governmental Tort Claims and similar claims. Before the WCAB, the Firm's attorneys defend employees against claims of Serious and Willful Misconduct and Labor Code §132a violations.

CONCLUSION

The continued success of the Firm will be derived from the skills and integrity of its partners and associates, the stability of its composition, the diversity of its practice and its reputation for quality legal representation. A majority of the principals have practiced solely with this Firm and plan to remain throughout their legal careers. Individual resumes for each of the attorneys are available upon request or by visiting the Firm website at www.lenahanlaw.net. Questions concerning the specifics of legal representation can be directed to any partner or to the managing partner Gerald Lenahan in our Sacramento office or Brian Lee in our Fresno office.

Established 1952

Plaintiff v. City of Davis; County of Yolo
(Dangerous Condition of Public Property)

Plaintiffs' Counsel: Greene, Broillet & Wheeler (Santa Monica)
Defense Counsel: Charleton Pearse, Benjamin Oram, and Adam Ambrozy

Venue: Yolo County Superior Court

Facts:

Plaintiff was rendered a quadriplegic when he crashed his bicycle into a steel vehicle gate used to close an access road leading into a City waste water treatment plant. Plaintiff filed suit against the City and County alleging dangerous condition of public property under Gov. Code 830 and 835. The case was filed in Yolo County superior court. Plaintiff alleged \$30,000,000 in damages.

Discovery:

Retention of a nighttime visibility expert witness and an accident reconstructionist was required early in the case due to a massive update to the plant which changed the condition and require immediate inspection. The case required multiple demurrers and two motions for summary judgment, one for each defendant. Through our discovery efforts it was determined that plaintiff was highly intoxicated at the time that the accident occurred and presentation of that evidence at a critical juncture at mediation resulted in settlement.

Resolution:

The case was litigated until within a month or two of trial when it settled at mediation for an offer of "trial costs" which amounted to \$750,000. The settlement was achieved despite paid medical bills in excess of \$4.2 million and required Plaintiff to satisfy all medical liens out of the proceeds.

Plaintiff v. County of Yolo
(Civil Rights and Employment Discrimination)

Plaintiffs' Counsel: Law Office of Mary Alice Coleman
Defense Counsel: Charleton Pearse, Benjamin Oram

Venue: U.S. District Court for the Eastern District of California

Facts:

Plaintiff was the Asst. Director of the Department of Employment and Social Services. She served as acting director during a period of illness of the Director. Plaintiff perceived child abuse and child neglect on the part of the Director in relation to her own children and reported the Director to Child Protective Services for a neighboring County. Plaintiff was terminated from employment a short time later and filed suit alleging violation of 1st Amendment and civil rights, breach of contract, and violation of Whistleblower statutes.

Resolution:

Litigation ensued and we ultimately filed a motion for summary judgment which was granted in its entirety. Plaintiff appealed to the Ninth Circuit but later abandoned her appeal.

Plaintiff v. City of Davis

(Dangerous Condition of Public Property)

Plaintiffs' Counsel: John M. Feder - Rouda, Feder, Tietjen & McGuinn (San Francisco)

Defense Counsel: Charleton Pearse, Adam Ambrozy

Venue: Yolo County Superior Court

Facts:

Plaintiff, 18 years old, sustained a spinal fracture when a 60' City tree fell over onto the vehicle driven by plaintiff. The tree had been known by the City to be diseased and was marked for removal nearly 9 months prior to incident. The reason for delay in removal was due to a failure in communication of the City arborist and the committee which ordered removal. Plaintiff alleged \$12,000,000 in damages.

Discovery:

Thorough research on plaintiff including surveillance video which demonstrated significantly higher levels of functional ability that was disclosed in written discovery, in deposition, or to Plaintiff's medical experts.

Resolution:

The case was fully litigated through MSC at which time settlement was reached in the amount of \$168,500.

Plaintiff v. City of Davis

(Dangerous Condition of Public Property and Negligence)

Plaintiffs' Counsel: Mark O. Hiepler – Hiepler and Hiepler (Oxnard)

Defense Counsel: Charleton Pearse, Adam Ambrozy

Venue: Yolo County Superior Court

Facts:

Plaintiff was a UC Davis college student who was riding her bicycle in a cross-walk when she was hit by a City employee driving a City truck on the job. She sustained severe injuries including traumatic brain injury. Plaintiff alleged that the cross-walk constituted a dangerous condition of public property and negligence on the part of the driver who hit her.

Discovery:

The case was fully litigated including retention of expert witnesses to work up the traumatic brain injury assessment. Plaintiff claimed damages in the amount of \$13,000,000. The case become even more complex after plaintiff's attorney tampered with evidence during expert discovery requiring a motion for sanctions which was granted and awarded the City \$12,356 in sanctions to be paid by the plaintiff.

Resolution:

The case was fully litigated and settled on the eve of trial for \$450,000.00.

Plaintiff v. City of West Sacramento

(Dangerous Condition of Public Property and Negligence)

Plaintiff's counsel: Steven Brown Esq., Law Offices of Brown & Gessell

Defense counsel: Charleton Pearse, Adam M. Ambrozy

Venue: Yolo County Superior Court

Facts:

Plaintiff was a minor who was struck by a motor vehicle while crossing a roadway on her bicycle. Plaintiff alleged the City maintained a dangerous condition of public property due to visibility issues for oncoming traffic. Plaintiff alleged damages of 1.2 million. It was discovered through the City's investigation that Plaintiff was riding her bicycle on a Class I Bikeway at the time of the collision. As such, the City asserted that it was immune from liability.

Resolution:

At the Mandatory Settlement Conference, the City offered \$30,000 to Plaintiff while the driver of the motor vehicle who struck Plaintiff tendered her policy limits.

Plaintiffs v. Esparto Unified School District

(Vicarious Liability of District Employee, Wrongful Death of a minor)

Plaintiffs' counsel: Steven Sabbadini, Esq

Defense Counsel: Charleton Pearse and Adam Ambrozy

Venue: Yolo County Superior Court

Facts:

Plaintiffs, parents of the decedent, alleged that teachers at Defendant school failed to adequately supervise and protect the health of decedent who suffered an aneurysm while lifting weights alone in a high school gym. Plaintiffs alleged damages of over 2.2 million dollars. The City investigated the specific medical condition that led to decedent's death and along with expert consultations determined that decedent would not have survived even if he received immediate medical attention.

Resolution:

The parents of the decedent agreed to accept \$75,000 in settlement of their wrongful death claims against the Defendant School District.

Plaintiff v. City of Davis

(Dangerous Condition of Public Property)

Plaintiff's counsel: Stanley Fleshman, Esq.; Dreyer, Babich, Buccola, Wood & Campora, LLP
Defense counsel: Charleton Pearse, Benjamin Oram

Venue: Yolo County Superior Court

Facts:

Plaintiff was a 54-year-old triathlete training for an Ironman event. She was riding her bicycle on a sidewalk at the end of a 50-mile training ride when her front wheel got stuck in a gap/crack in the sidewalk. She flipped over the handle bars, fractured her hip and sustained other injuries. The sidewalk was named as a trail in the City's bicycle map. Plaintiff originally pled that she was injured while riding on a City bicycle trail. Her deposition was taken where she argued that the trail was not a sidewalk and could never be construed as a sidewalk. The City filed a motion for judgment on the pleadings which was granted but plaintiff was given the opportunity to amend. Plaintiff amended to call the pathway a sidewalk. The City filed a motion for summary judgment based on trail immunity establish by Government Code section 831.4.

Resolution:

Plaintiff settled for a waiver of costs after the motion for summary judgment was filed.

Plaintiff v. City of Davis, et al.

(Civil Rights and Excessive Force)

Plaintiffs' Counsel: pro per
Defense Counsel: Charleton Pearse, Benjamin Oram

Venue: Yolo County Superior Court

Facts:

Plaintiff was riding his bicycle at night when he encountered a City policer officer and fell to the ground. Plaintiff claimed that the office blinded him with the vehicle mounted flood light and caused severe back injuries. Plaintiff alleged violation of his civil rights and excessive force. Plaintiff alleged \$6,200,000 in damages.

Discovery:

Multiple motions to compel were required due to plaintiff's refusal to cooperate in the discovery process. Through investigation, it was found that plaintiff was a known gang member who had served time in state prison. It was discovered that plaintiff had been shot in the back six times as a teenager and all relevant medical records were obtained to offset the claim that all his injuries arose out of the bicycle accident.

Resolution:

After plaintiff's deposition, a motion for terminating sanctions was filed due to plaintiff's refusal to cooperate. The motion was granted and the case dismissed with no recovery by plaintiff. A motion for attorney fees was then filed and granted which sanctioned plaintiff in the amount of \$48,460.00.

Plaintiff v. County of Yolo, et al.

(Civil Rights, ADA and Excessive Force)

Plaintiffs' Counsel: pro per

Defense Counsel: Charleton Pearse, Benjamin Oram

Venue: U.S. District Court for Eastern District

Facts:

Plaintiff was an inmate in Yolo County Jail. While incarcerated plaintiff alleges that he was assaulted by a correctional officer, denied proper medical treatment, and subjected to unsafe prison conditions. Plaintiff filed suit in federal court alleging violation of ADA, excessive force under the 8th Amendment, and cruel and unusual punishment, all under 42 U.S.C. §1983. A motion to dismiss under Rule 12(b)(6) of the Federal Rules of Civil Procedure has been filed.

Resolution:

The case is currently pending.

Benjamin D. Oram, Esq.

LENAHAN, LEE, SLATER & PEARSE, LLP

2542 River Plaza Drive, Sacramento, CA 95833 • (916) 443-1030 • boram@lenahanlaw.net

EDUCATION

University of the Pacific, Mc George School Law, Sacramento, California

Juris Doctor, December 2009

- Witkin Award for highest grade in Legal Process.
- J. Rueben Clark Law Society Student Chapter

California State University, Sacramento

Bachelor of Science in Criminal Justice, June 2006

- *Magna Cum Laude*; GPA 3.76/4.00.
- Dean's Honor List all semesters.
- Honor Society of Phi Kappa Phi.

EXPERIENCE

Lenahan, Lee, Slater & Pearse, LLP, Sacramento, California

Associate Attorney, May 2010–Present

- Represent various clients in civil litigation defense cases on behalf of public and private employers, primarily in Northern California and the Bay Area arising out of employment and general liability issues, subrogation matters arising out of workers compensation claims;
- Admitted to practice in both State and Federal courts in California
- Clients include: City of Davis, County of Yolo, County of Sacramento, York Risk Services Group, Gallagher Bassett, Athens Administrators, PMA, and various additional self-insured public entities including multiple school districts, water districts, and other public entities.

Law Clerk, May 2008–May 2010

- Assisted attorneys in workers' compensation defense and civil litigation cases
- Drafted pleadings and petitions

Nevada Department of Corrections

Correctional Officer

Lovelock, NV

Sept 2000—July 2003

- Supervise inmates in state custody and ensure security of institution
- Member of the Special Response Team assigned to respond the critical incidents of all types

OTHER ACTIVITIES

- Speaker at various in-house trainings for adjusting agencies, insurers, and employers on subrogation issues
- **J. Rueben Clark Law Society**
Member
- **Port Rockwell Society**
Member

ADAM AMBROZY

2542 River Plaza Drive, CA 95833 ● (916) 443-1030 ● aambrozy@lenahanlaw.net

LICENSE

State Bar of California, December 2008

EDUCATION

University of the Pacific McGeorge School of Law
Juris Doctorate May 2008

San Jose State University
Bachelor of Arts, *with honors*, Political Science, May 2004

EXPERIENCE

Lenahan, Lee, Slater, & Pearse LLP. Sacramento, CA
Civil Litigation/Subrogation Attorney December 2008-Present

Draft pleadings, take/defend depositions, make court appearances, prepare and respond to law and motion filings, manage cases, fact investigation, prepare and respond to written discovery, attend mediations and settlement conferences, and trial.

Lenahan, Lee, Slater, & Pearse LLP. Sacramento, CA
Law Clerk May 2006-December 2008

Draft legal research memos, fact development and investigation, medical records reviews, propounding and responding to discovery requests. Perform intakes of prospective clients.

Intercare Insurance/Viia Insurance Roseville, CA
Member Services Representative Nov 2004-June 2005

Provided customer service to clients pertaining to their health insurance coverage. Provided clients with benefit explanations, claims assistance, and eligibility information.

REFERENCES UPON REQUEST

**CURRICULUM VITAE
CHARLETON S. PEARSE**

LEGAL EDUCATION

1985 **University of California at Davis, School of Law (King Hall)**
Degree: Juris Doctorate

COLLEGE EDUCATION

1982 **University of California at Los Angeles (UCLA)**
Degree: B.A., Political Science
Graduated: Cum Laude, Honors Status
Recipient: University Scholarship

1980 **Pasadena City College, Pasadena, California**
Degree: Associate of Arts, natural Sciences
Admitted: Alpha Gamma Sigma (Junior College Honor Society)

LEGAL EXPERIENCE

**1990-
Present** ***Partner, Lenahan, Lee, Slater & Pearse, LLP***
Practice limited to subrogation and civil litigation including: representation of public entities in litigation, employment litigation, sexual harassment/discrimination, insurance bad faith, product liability, fraud cases, personal injury and subrogation, all phases.

1985-1989 ***Associate Attorney, McMurchie, Foley, Brandenburger, Weill & Keeling***
Civil and workers' compensation practice including general civil practice, civil litigation, subrogation, defense of workers' compensation claims and appellate advocacy.

1984-1985 ***Law Clerk, McMurchie, Foley, Brandenburger, & Weill***
Participated in all phases of civil and workers' compensation practice including drafting pleadings, motions, petitions and appellate briefs, assisting at trial and deposition, and researching various substantive and procedural legal and medical issues.

PROFESSIONAL ORGANIZATIONS

California State Bar Association
Sacramento County Bar Association
Consumer Trial Attorneys of California
Consumer Trial Attorneys of Sacramento

NCCSIF ADMINISTRATIVE POLICY & PROCEDURE #A-9

**ATTACHMENT A - LIABILITY
Approved List of Counsel**

Name of Law Firm	Attorneys	Areas of Expertise
Angelo, Kilday & Kilduff 601 University Avenue, Suite 150 Sacramento, CA 95825 (916) 564-6100	Bruce A. Kilday Carolee Kilduff Serena Sanders Carrie McFadden Kevin Dehoff Amie McTavish	Police Liability, General Liability, Auto, Personnel, Heavy Trial Experience
Ayres & Associates 930 Executive Way, Suite 200 Redding, CA 96002 (530) 229-1340	William Ayres	Dangerous Condition, Auto, General Liability, Environmental Liability
Bertrand, Fox, Elliott et al 2749 Hyde Street San Francisco, CA 94109 (415) 353-0999	Eugene Elliott	
Caulfield Law Firm 1101 Investment Blvd., Suite 120 El Dorado Hills, CA 95762 (916) 933-3200	Rich Caulfield Andrew Caulfield	Same as above, with Construction Defect, Heavy to Medium Trial Experience
Donahue Davies LLP 1 Natoma Street Folsom, CA 95630 (916) 817-2900	Robert E Davies Brian Haydon	
Gregory P. Einhorn 48 Hanover Lane, Suite 2 Chico, CA 95973 (530) 898-0228	Gregory P. Einhorn <i>Use for Willows as needed</i>	Employment Law, General Liability, Municipal
Kronick, Moskovitz Tiedemann & Girard 400 Capitol Mall, 27 th Floor Sacramento, CA 95814	Christopher Onstott Bruce A. Scheidt * Maggie Stern David W. Tyra	Civil Rights, California Fair Employment and Housing, Tort Claims, California Public Records Employment Practices

NCCSIF ADMINISTRATIVE POLICY & PROCEDURE #A-9

**ATTACHMENT A - LIABILITY
Approved List of Counsel**

Name of Law Firm	Attorneys	Areas of Expertise
Peters, Habib, McKenna Juhl-Rhodes & Cardoza, LLP P.O. Box 3509 Chico, CA 95927 (530) 342-3593	Dave Rush Mark Habib Jim McKenna Lia Juhl	Dangerous Condition, Police Liability, General Liability, Auto, Good Trial Experience
Porter Scott P.O. Box 255428 Sacramento, CA 95865 (916) 929-1481 Fax: (916) 927-3706	Nancy Sheehan John Whitefleet Kevin Kreutz Terry Cassidy Carl L. Fessenden Steve Horan Russell Porter	Police, Civil Rights, Dangerous Condition, Inverse Condemnation, Auto, General Liability, Heavy to Light Trial Experience
Matheny Sears Linkert & Jaime, LLP 3638 American River Drive Sacramento, CA 95864 (916) 978-3434 Fax: (916) 978-3430	Matthew Jaime Douglas Sears Richard Linkert Michael Bishop	
The Law Office of Justin N. Tierney 2000 U Street Sacramento, CA 95814 (916) 451-3426	Justin N. Tierney	Dangerous Condition, Auto, Medium Trial Experience
The Law Office of James A. Wyatt 2130 Eureka Way Redding, CA 96001 (530) 244-6060 P.O. Box 992338 Redding, CA 96099-2338	James A. Wyatt	Dangerous Condition, Civil Rights, Police, Wrongful Termination, Auto Liability, Labor Law, Heavy Trial Experience
Murphy. Campbell, Alliston & Quinn, PLC. 8801 Folsom Boulevard, Suite 230 Sacramento, CA 95826 (916) 400-2300	Stephanie L. Quinn	Auto, Wrongful Deaths, Slip and falls, Fire and Trespassing Experience

NCCSIF ADMINISTRATIVE POLICY & PROCEDURE #A-9

**ATTACHMENT A - LIABILITY
Approved List of Counsel**

Name of Law Firm	Attorneys	Areas of Expertise
Cota Cole LLP 2261 Lava Ridge Court Roseville, CA 95661 (916) 780-9009	Dennis Cota Derek Cole Daniel King	Land Use, civil rights, environmental issues.
Allen, Glaessner, Hazelwood, Werth 180 Montgomery Street, Ste. 1200 San Francisco, CA 94104 (415) 697-2000	Dale Allen Mark Hazelwood Steve Werth	Police liability, ADA, sidewalk, employment practices, general municipal liability
Arthofer and Tonkin, Attorneys At Law 1267 Willis Street Redding, CA 96001 (530) 722-9002	Kenneth Arthofer Griffith Tonkin	Public entity, injury, real estate
Randall Harr 44282 Highway 299 East McArthur, CA 96056 (530) 336-5656 rlh@randallharrlaw.com	Randall Harr	
Lenahan, Lee, Slater, Pearse & Majernik LLP 2542 River Plaza Drive Sacramento, CA 95833 (916) 443-1030	Charleton S. Pearse Benjamin D. Oram, Esq. Adam Ambrozy	Dangerous Condition and Vicarious Liability cases

* *Bruce A. Scheidt will be used only as respects the Eaton vs. Rocklin litigation.*

Revision Date: **March 22, 2018**, [September 27, 2018](#)



FY 18/19 WORKERS' COMPENSATION CLAIMS AUDIT

ACTION ITEM

ISSUE: This year NCCSIF is scheduled to conduct a Workers' Compensation claims audit. The Program Administrators have requested and attached a proposal from Farley Consulting Services. He has conducted the last two audits for NCCSIF and will compare the results to previous audits to note any changes.

Mr. Farley proposes to conduct the audit during the weeks of March 18, 2019 and March 25, 2019 and provide a draft report by the end of April 2019. He will audit 90 open, active indemnity claims and 10 closed indemnity claims, as well as 25 open medical only claims.

RECOMMENDATION: Approve proposal from Farley Consulting Services.

FISCAL IMPACT: None, but will increase budget for future years. Budgeted \$10,500 and proposal is for \$11,200, a 6.7% increase from the last audit.

BACKGROUND: Every even year NCCSIF has a Workers' Compensation Claims Audit completed. It is often timed after the excess coverage provider, CSAC-EIA, completes their own audit, and they are currently wrapping up an audit of NCCSIF claims. Going forward we will continue to coordinate audits with CSAC-EIA and may consider changing the audit schedule.

ATTACHMENT(S): Proposal from Farley Consulting Services

Proposal for Workers' Compensation Claims Audit Services - 2018

for

Northern California Cities Self Insurance Fund (NCCSIF)



September 12, 2018



F A R L E Y
CONSULTING SERVICES, LLC

P.O. Box 5928 ~ Oceanside, CA 92052
Cell: 760.533-3439 ~ Fax: 760.722.1760
farleyconsulting@cox.net

**An Independent Claims Management
Consulting Firm**



September 12, 2018

Northern California Cities Self Insurance Fund
c/o Alliant Insurance Services
1792 Tribute Road, Suite 450
Sacramento, CA 95815

Attn: Ms. Raychelle Maranan
Program Administrator

by email: raychelle.maranan@alliant.com
marcus.beverly@alliant.com

Proposal for Workers' Compensation Claims Audit Services – 2018

Farley Consulting Services (FCS) is pleased to present this proposal to conduct an audit of workers' compensation claims handling for the Northern California Cities Self Insurance Fund (NCCSIF).

FCS has completed more than 900 similar audits and can provide a comparison of claims handling performance of York Insurance Services Group (York) with other claims administration arrangements. That comparison will identify areas of claims handling where York may not be complying with accepted industry standards or its own internal standards.

FCS will provide its insurance information to NCCSIF if it is selected to conduct the audit.

If acceptable to NCCSIF, the audit will be completed in March 2019 with a report presented by mid-April 2019.

Respectfully submitted,

Agreed and Accepted:

FARLEY CONSULTING SERVICES

NORTHERN CALIFORNIA CITIES SELF INSURANCE FUND

by Timothy P. Farley
Timothy P. Farley, CPCU
President

by _____
Marcus Beverly, CPCU, ARM-P, AIC
First Vice President, Public Entity Group

date _____

Contents

I. Consultant Corporate Data.....1
 A. FCS Qualifications.....1
 B. Project Team.....1
 C. References2
II. Recommendations/Scope and Approach.....3
 A. Project Objective.....3
 B. Plan of Action3
 C. Timing4
III. Cost of Service.....5
IV. Insurance Requirements6

Appendix

Resume

I. Consultant Corporate Data

A. FCS Qualifications

All activity for this project will be performed by Timothy P. Farley, CPCU.

Mr. Farley began his claims management consulting career in 1991 and formed Farley Consulting Services in 2000. FCS is a national, *independent* claims management consulting firm headquartered in Oceanside, California.

FCS has completed more than 900 similar audits.

Mr. Farley's resume is appended to this report.

B. Project Team

Mr. Farley is the sole consultant of FCS. His responsibilities for this project will be:

- Managing the project
- Performing the audit
- Completing the report
- Presenting the report to NCCSIF via teleconference at a subsequent board meeting, if requested.

Mr. Farley has an extensive claims administration background. He has been a multi-line claims adjuster and a claims supervisor. The claims unit he supervised handled high exposure workers' compensation claims.

Mr. Farley has conducted more than 900 similar audits for entities such as NCCSIF. His claims, legal, and risk management background enables him to take the fiscal and legislative concerns of these entities into consideration when evaluating claims administration effectiveness.

Mr. Farley's resume is in the Appendix to this proposal.

C. References

FCS has provided similar audit services to more than 200 public entities, primarily in California. FCS encourages NCCSIF to contact these individuals.

Bay Cities Joint Powers Insurance Authority	
Client address	Oakland, California
Client contact	Ms. Jackie Miller
Telephone/email	(800) 541-4591 / jmiller@bickmore.net
Type of business	California Cities Insurance Pool
Services provided	Claims audit
Date services provided	Bi-annually since 1994
Individuals involved in providing services for this client	Timothy P. Farley, CPCU President

Small Cities Organized Risk Effort (SCORE)	
Client address	San Francisco, California
Client contact	Mr. Laurence Voiculescu
Telephone/email	(916) 643-2702 / lvoiculescu@alliant.com
Type of business	California Cities Insurance Pool
Services provided	Claims audit
Date services provided	Bi-annually since 1998
Individuals involved in providing services for this client	Timothy P. Farley, CPCU President

Central San Joaquin Valley Risk Management Authority (CSJVRMA)	
Client address	Fresno, California
Client contact	Ms. Jeanette Workman
Telephone/email	(800) 541-4591 / jworkman@bickmore.net
Type of business	California Cities Insurance Pool
Services provided	Workers' Compensation claims audit
Date services provided	Bi-annually since 1994
Individuals involved in providing services for this client	Timothy P. Farley, CPCU President

FCS will provide additional references, if requested.

II. Recommendations/Scope and Approach

A. Project Objective

NCCSIF seeks assurance that its workers' compensation TPA (York) is providing effective claims administration and is adhering to California workers' compensation statutory guidelines. FCS will incorporate the review of key areas of claims administration identified by NCCSIF and used for past NCCSIF audits. They are standard points of observation in all audits FCS conducts.

B. Plan of Action

Audit Sample Selection

NCCSIF has indicated that this project must involve the following audit samples:

- **90 open active indemnity claims.** At least 50% of these will have incurred costs of \$25,000 or more.
- **10 closed** indemnity claims. FCS recommends that these claims will have been closed in the past 18 months. Again, at least half of these should be claims that have generated incurred costs of \$25,000 or more. The 18-month cut off point is recommended to ensure that the most *current* claims handling activity is evaluated.
- **25 open** medical only claims

Other Audit Steps

To complete this project, FCS will:

1. Conduct an initial telephone discussion with York to review data requirements and timing of the work. During this interview, FCS will also attempt to identify specific areas of concern (not expressed in the RFP) that NCCSIF would like to have evaluated.
2. Receive a current loss run of open claims in Excel spreadsheet format from York from which the audit sample will be selected.
3. Develop the list of claims to be reviewed and provide that list to York approximately three weeks before the start of the audit. FCS welcomes NCCSIF's input on the sample selection.
4. Conduct necessary telephone interviews to confirm audit timing.
5. Complete the audit. The review will be done remotely via access to York's claims information system.

6. Conduct follow-up telephone interviews with York personnel to refine the understanding of such issues as:
 - Settlement authority of York
 - York's or NCCSIF's standards for contesting questionable claims
7. Organize, tabulate, and analyze all information received, and develop conclusions.
8. Submit a draft report of findings to NCCSIF. The report will be a practical, working tool that is clear and concise in setting forth study results. The assessment of York claims administration performance will be clear. Recommended action to be taken will be supported with discussion and exhibits. The draft report will be provided electronically in a PDF file.
9. Discuss the draft report with NCCSIF.
10. Issue the final report electronically in PDF.
11. Present the report via teleconference at a subsequent NCCSIF Board meeting if requested.

This proposal assumes the audit of NCCSIF will be performed remotely via access to the York Claims Connect information system.

C. Timing

The review of 125 claims will require up to 10 working days to complete. FCS proposes to conduct the audit during the weeks of 3/18/19 and 3/25/19.

A draft report of audit findings will be provided by late April 2019.

III. Cost of Service

FCS will complete this project for a flat fee of \$11,200. This fee includes all related expenses. There will be no additional charges. This is the guaranteed not-to-exceed fee.

An invoice will be submitted following completion of the draft report.

IV. Insurance Requirements

FCS maintains the required insurance coverage and will provide specific insurance coverage verification if awarded the project.

Resume

Timothy P. Farley, CPCU President

EXPERIENCE

FARLEY CONSULTING SERVICES – 2000 to present

President and primary consultant of national, independent claims management consulting firm.

ADVANCED RISK MANAGEMENT TECHNIQUES, INC. – 1991 to 2000

Senior Claims Consultant. Consultant to various corporations and public agencies on risk management subjects, with an emphasis on claims audits, claims administrator selection and evaluation, and other claims management issues.

ALEXANDER & ALEXANDER – 1989 to 1991

Marketing Manager. Responsible for market placement of all new and renewal retail business.

TRANSCO INSURANCE SERVICES – 1988 to 1989

Home Office Claims Supervisor. Supervision of independent claims adjusting firms handling litigated liability claims, including products liability and construction defect claims. Duties also included reports to various members of reinsurance treaties.

INSURANCE CO. OF THE WEST – 1986 to 1988

Claims Supervisor. Supervision of claims unit handling liability, automobile and workers' compensation claims.

USF&G INSURANCE CO. – 1983 to 1986

Claims Adjustor. Responsible for full handling of property, liability and workers' compensation claims from first report to final resolution.

ACADEMIC BACKGROUND

University of Wisconsin – Madison, Wisconsin

Graduate level courses on risk management

Western State University College of Law

Graduate level courses in contracts, torts, civil procedures, property and criminal law

University of Wisconsin – La Crosse, Wisconsin

Bachelor of Science degree in Business Administration

Academic All-American

American Institute for Property and Liability Underwriters, Inc.

Chartered Property Casualty Underwriter (CPCU) designation

Instructor CPCU 1 and 6

PROFESSIONAL SOCIETIES

Society of Chartered Property Casualty Underwriters



Agenda Item G.3.

**WORKERS' COMPENSATION CLAIMS ADMINISTRATION
PERFORMANCE PLAN FOLLOW-UP SURVEY RESULTS**

ACTION ITEM

ISSUE: At the June 2016 Board meeting, York Risk Services was placed on a Performance Improvement Plan (PIP) for their Management of Workers' Compensation Claims Administration. A follow-up survey was recently sent to the members to gauge the progress made to date by York.

Committee Members are asked to review and discuss the survey results and provide direction or recommendations as needed.

RECOMMENDATION: Review and provide feedback and recommendations to the Board as needed.

FISCAL IMPACT: TBD.

BACKGROUND: NCCSIF regularly surveys members regarding service provider performance and the Committee uses that feedback to make changes as needed when working with service providers or considering contract renewal terms. The results of NCCSIF's regular Service Provider Survey conducted in December 2015 revealed some concerns with York Risk Services Workers' Compensation Claims Management. A follow-up survey was conducted in May 2016 with additional questions to gather more feedback to address the comments received during the April 2016 Board meeting. As a result, York Risk Services and NCCSIF developed a Performance Plan to address members concerns.

A follow-up survey was sent to the members in March 2017 to gauge York's progress. The survey showed positive results though only 15 of the members responded and they may not represent the underlying concerns of some members. The Board's direction at the April 2017 Board meeting to send a follow-up survey in September 2017 where all members must respond in order to get feedback of the entire membership.

ATTACHMENT(S): Follow-up Workers' Compensation Claims Administration Performance Plan Survey Results - *Handout at the meeting*



BACK TO AGENDA

**Northern California Cities Self Insurance Fund
Executive Committee Meeting
September 27, 2018**

Agenda Item H.1.

PRELIMINARY 2019 MEETING CALENDAR

ACTION ITEM

ISSUE: The proposed NCCSIF 2019 Meeting Calendar is presented for review to determine if any dates should be modified due to conflicts.

RECOMMENDATION: Review the proposed dates and recommend a Meeting Calendar to the Board of Directors for approval.

FISCAL IMPACT: None.

BACKGROUND: Annually, the Executive Committee reviews and recommends the meeting calendar for NCCSIF Board, Executive, Risk Management, Claims, Police Risk Management and Finance Committees.

ATTACHMENT(S): Preliminary 2019 Meeting Calendar



PRELIMINARY 2019 MEETING CALENDAR

- Thursday, February 21, 2019 **Police Risk Management Committee** at 10:00 a.m.

- Thursday, March 14, 2019 *Executive Committee* at 10:00 a.m.
Claims Committee at 11:30 a.m.

- Thursday, April 25, 2019 **Risk Management Committee** at 10:00 a.m.
Board of Directors at 12 noon

- Thursday, May 16, 2019..... **Claims Committee** at 10:00 a.m.
Executive Committee at 11:30 a.m.

- Thursday, June 13, 2019..... **Board of Directors** at 10:00 a.m.

- Thursday, August 1, 2019 **Police Risk Management Committee** at 10:00 a.m.

- Thursday, September 26, 2019..... **Claims Committee** at 10:00 a.m.
Executive Committee at 11:30 a.m.

- Thursday, October 24, 2019..... **Risk Management Committee** at 9:30 a.m.
40th Anniversary Luncheon at 11:00 a.m.
Board of Directors at 1:30 p.m.

- Thursday, November 7, 2019 **Police Risk Management Committee** at 10:00 a.m.

- Thursday, December 12, 2019 **Board of Directors** at 10:00 a.m.
(Training Day or Long-Range Planning)

- OR Thursday, January 16, 2020

Meeting Location: TBD

Note: Additional Claims Committee Meetings may be scheduled as needed for Claims Authority approval which will be held via teleconference.



Agenda Item H.2.

**APPOINTMENT OF NCCSIF SECRETARY AND
CJPRMA BOARD REPRESENTATIVE**

ACTION ITEM

ISSUE: The Executive Committee is asked to appoint a new Secretary and Board Representative to the California Joint Powers Risk Management Authority (CJPRMA) to fill the vacancy created due to the departure of Astrida Trupovnieks from the City of Lincoln.

RECOMMENDATION: Solicit interest from the Committee and make recommendations or appointments as needed pending Board approval.

FISCAL IMPACT: None.

BACKGROUND: The NCCSIF Secretary is a voting member of the Executive Committee and serves a two-year term. The CJPRMA Board Representative serves at the pleasure of the Board without a term limit. Elizabeth Ehrenstrom is currently the Board Alternate and available to fill in as needed.

The CJPRMA Board Representative attends meetings and votes on behalf of NCCSIF on all liability program issues (NCCSIF does not participate in their property or auto programs). The Board meets three times a year in Livermore, with an additional Annual Membership Meeting in May that lasts two days and is usually held in another Northern California location. NCCSIF is an important member of the group, representing 10% of their total payroll exposure base.

ATTACHMENT(S): CJPRMA 2019 Meeting Schedule



CALIFORNIA JOINT POWERS RISK MANAGEMENT AUTHORITY

2019 MEETING SCHEDULE

Executive Committee Thursday, January 17, 2019

Board of Directors Thursday, March 21, 2019

Executive Committee Thursday, April 18, 2019

Board of Directors Wednesday, Thursday & Friday
(Annual Membership Meeting) May 22, 23 & 24, 2019

Executive Committee Thursday, June 20, 2019

Board of Directors Thursday, August 15, 2019

Executive Committee Thursday, September 19, 2019

Board of Directors Thursday, November 21, 2019

No meetings are scheduled for February, July, October, and December.



Agenda Item H.3.

NOMINATION OF OFFICERS - 2019

ACTION ITEM

ISSUE: Membership on the NCCSIF Executive Committee (EC) rotates annually, and each Member serves for a two-year term. NCCSIF Officers are selected from the EC as needed. The January 1, 2019 to December 31, 2019 term for the Executive Committee will include the following Members:

2019 NCCSIF Executive Committee

<u>Member</u>	<u>Representative</u>	<u>New</u>	<u>Term of Office</u> <i>Second Year Term</i>
City of Folsom	Kris Haile (Alternate)	January 1, 2019 to December 31, 2020	
City of Galt	Cora Hall	January 1, 2019 to December 31, 2020	
City of Gridley	Juan Solis		<i>January 1, 2018 to December 31, 2019</i>
City of Oroville	Liz Ehrenstrom		<i>January 1, 2018 to December 31, 2019</i>
City of Lincoln	<i>Vacant</i>	January 1, 2019 to December 31, 2020	
City of Nevada City	Loree McCay	January 1, 2019 to December 31, 2020	
Town of Paradise	Gina Will		<i>January 1, 2018 to December 31, 2019</i>
City of Rio Vista	Yujun Du	January 1, 2019 to December 31, 2020	

The **current Officers** are as follows:

President	Dave Warren	<i>January 1, 2017 to December 31, 2018</i>
Vice President	Liz Ehrenstrom	January 1, 2018 to December 31, 2019
Secretary	<i>Vacant</i>	January 1, 2018 to December 31, 2019
Treasurer (non-voting)	Tim Sailsbery	Appointed annually
CJPRMA Rep (non-voting)	<i>Vacant</i>	Appointed as-needed

The President and Secretary positions are up for nomination.

The Treasurer is appointed annually by the Board and the CJPRMA Representative is appointed on an as-needed basis.



Northern California Cities Self Insurance Fund
Executive Committee Meeting
September 27, 2018

Agenda Item H.3. *continued*

RECOMMENDATION: Solicit nominations for the President, Secretary, and CJPRMA representative positions, and recommend a nominee for the Treasurer position.

FISCAL IMPACT: None.

BACKGROUND: The Executive Committee is a standing committee of the Board of Directors and is comprised of seven to eleven voting members, and two non-voting members. The President of the Board of Directors serves as the Chair of the Executive Committee. The President, Vice-President, Secretary, and seven to eight other Directors act as the voting members, so at times their number has varied from seven to nine. The two non-voting members are the Treasurer and the Representative to the CJPRMA Board of Directors.

Historically, the newly-elected officers (President, Vice President, Secretary and Treasurer) are nominated by the Executive Committee (acting as the Nominating Committee). The officers are chosen from the Executive Committee and are elected by the Board for a two-year term. The President is usually selected from the second-year Members (often the current Vice President) and the Vice President is generally selected from the first year members.

The Secretary is elected by the Board and serves a two-year term. The Treasurer is appointed annually by the Board and the CJPRMA Representative is appointed on an as-needed basis.

Rotating **Off** EC 12/31/2018

Liz Cottrell - Anderson
Kristina Miller - Corning
Sandy Ryan - Red Bluff
Tim Sailsbery - Willows

Rotating **On** EC 1/1/2019

Kris Haile - Folsom
Cora Hall - Galt
Vacant - Lincoln
Loree McCay - Nevada City
Yujun Du - Rio Vista

Remaining EC for another year

Juan Solis - Gridley
Liz Ehrenstrom - Oroville
Gina Will - Paradise

Section 5 of the NCCSIF Bylaws describes the duties and responsibilities of the Officers of the Authority.

ATTACHMENT(S):

1. Section 4 and Section 5 of the NCCSIF Bylaws
2. Executive Committee Rotation Schedule

E. All meetings of the Board shall be called, noticed, held and conducted in accordance with the provisions of Ralph M. Brown Act (Government Code Section 54950 et seq.).

SECTION 4 **Executive Committee**

A. - Membership. The Executive Committee shall be composed of seven to eleven (7 to 11) *voting* and two (2) *non-voting* members of the Board of Directors or their alternates. The President, Vice President and Secretary shall serve as *voting* members on the Executive Committee. The remaining *voting* members shall be elected by the Board of Directors on a member rotation basis, as established by the Board of Directors. The two (2) non-voting members shall be comprised of the Treasurer and the CJPRMA Board Representative. The President shall act as Chairman.

B. - Term. The terms of all members of the Executive Board shall be two (2) years, except for those of the President, Vice President, and Secretary, who shall all serve for two (2) years irrespective of the member rotation. A member may be reappointed to serve on the Executive Committee, except for the immediate Past President.

C. - Powers, Duties and Responsibilities.

1. The Executive Committee shall conduct, direct and supervise the day-to-day business of the Authority and in doing so shall exercise the powers expressly granted to it by the Agreement, these Bylaws and as otherwise delegated by the Board of Directors.

2. The following duties and responsibilities shall be assumed and carried out by the Executive Committee, which shall have all powers necessary for those purposes:

- a. Provide general supervision and direction to the Program Director;
- b. Authorize payment of claims against the Authority; provided, however, that with respect to claims arising under coverage programs operated by the Authority, claim settlement authority shall be in accordance with the policies and procedures governing the particular program;
- c. Enter into contracts, within budget limits;
- d. Make payments pursuant to previously authorized contracts, within budget limits; this Authority includes the power to authorize and reimburse expenses incurred for budgeted activities, within budget limits;
- e. Review and recommend a budget to the Board no later than seventy-two (72) hours prior to the spring meeting of the Board;
- f. Act as Program Director in the absence of the Program Director;

g. Recommend policies and procedures to the Board for implementation of the Agreement, the Bylaws and the operation of specific coverage programs; and

h. Appoint a nominating committee for each election of officers and members of the Executive Committee.

i. Amend annual budget in an amount not to exceed the contingency account.

3. Subject only to such limitations as are expressly stated in the Agreement, these Bylaws or a resolution of the Board of Directors, the Executive Committee shall have and be entitled to exercise all powers which may be reasonably implied from powers expressly granted and which are reasonably necessary to conduct, direct and supervise the business of the Authority.

D. - Meetings

1. Regular Meetings. Regular meetings shall be held at times, as the Executive Committee deems appropriate.

2. Special Meetings. Special meetings of the Executive Committee may be called by the Chairman or a majority of Executive Committee members, in accordance with the provisions of California Government Code Section 54956.

3. Public Meetings. All meetings of the Executive Committee shall be open to the public, except as provided by law.

4. Quorum. A majority of the members of the Executive Committee shall constitute a quorum for the transaction of business. Except as otherwise provided, no action may be taken by the Executive Committee except by affirmative vote of not less than a majority of those Executive Committee members present. A smaller number may adjourn a meeting.

5. Removal From Executive Committee. A member may be removed from the Executive Committee in the following ways:

a. Death of a Committee member;

b. Voluntary resignation;

c. Absence from three (3) consecutive meetings without a valid reason, in which case the Chair may recommend to the Executive Committee that member be terminated from Executive Committee membership. If the Executive Committee recommends to the Board of Directors that an Executive Committee member be terminated, the Board of Directors shall vote on the matter at its next regularly scheduled meeting.

d. When a vacancy occurs under the above provisions, a replacement shall be made from among the Board of Directors.

SECTION 5
Officers of the Authority

A. The officers of the Authority shall be a President, Vice President, Secretary, and Treasurer. Officers so appointed shall serve at the pleasure of the Board of Directors. The president shall chair meetings to the Board of Directors and Executive Committee; the vice president shall act in the place of the president in the president's absence. The secretary shall keep and maintain minutes of the Board meetings and Executive Committee meetings, or to direct the keeping and maintaining of such minutes, and to promptly report minutes of meetings to all members as soon as practicable after the meeting has concluded. The treasurer's duties are as described in Sections 11 and 12 of the Bylaws. Other responsibilities may be set forth by the Board of Directors.

B. The President, Vice President and Secretary shall be elected by the Board of Directors and shall serve two (2) year terms. No officer shall serve for more than two (2) complete consecutive terms in his or her respective office. The terms of each office will ordinarily commence on January 1st of each calendar year, except that if an election has not been conducted by that date, the terms shall commence as soon as the election has been held. The terms of each office shall end on December 31st of the calendar year, except that if the election of the officers to serve the next succeeding term has not been conducted, the incumbent officers shall continue to hold their offices until the election has been conducted.

C. The Treasurer shall be appointed annually by the Board of Directors and, unless the Board of Directors determines otherwise, the Treasurer shall serve at the Board of Directors' pleasure. The Treasurer shall be an officer or employee of a Member Entity or a Certified Public Accountant.

D. The Board of Directors may create such other offices and appoint such other officers as it deems necessary and advisable.

SECTION 6
Committees

Committees may be formed as necessary by either the Board of Directors or the Executive Committee for the purposes of overseeing any functions that the Board or Executive Committee has authority to control, such as, but not limited to, administration and policy direction, claims administration, investments, safety/loss control, etc.

SECTION 7
Program Director and Other Staff

A. The Board of Directors shall appoint a Program Director who shall be responsible for the general administration of the business and activities of the Authority as directed by the Executive Committee.

**NORTHERN CALIFORNIA CITIES SELF INSURANCE FUND
2019 - 2025 Executive Committee Rotation Schedule by Size**

BOD Approved: 12/14/2017

Member	Size	Geography	2019	2020	2021	2022	2023	2024	2025
Elk Grove, City of	Large	South			x	x			
Folsom, City of	Large	East	x	x			x	x	
Lincoln, City of	Large	East	x	x					x
Rocklin, City of	Large	East				x	x		
Yuba City, City of	Large	North			x	x			
Anderson, City of	Medium	West				x	x		
Auburn, City of	Medium	East				x	x		
Corning, City of	Medium	West			x	x			
Dixon, City of	Medium	South				x	x		
Galt, City of	Medium	South	x	x					x
Gridley, City of	Medium	North	x					x	x
Marysville, City of	Medium	North		x	x				
Oroville, City of	Medium	North	x					x	x
Paradise, Town of	Medium	North	x					x	x
Placerville, City of	Medium	East		x	x				
Red Bluff, City of	Medium	West			x	x			x
Rio Vista, City of	Medium	South	x	x			x	x	
Colusa, City of	Small	West						x	x
Ione, City of	Small	South			x	x			
Jackson, City of	Small	South		x	x			x	x
Nevada City, City of	Small	North	x	x			x	x	
Willows, City of	Small	West					x	x	
			8	8	8	9	8	9	8



NCCSIF UNDERWRITING POLICY

ACTION ITEM

ISSUE: The CAJPA Accreditation Standards now require an Underwriting Policy outlining the pool's overall underwriting objective, function, and process for evaluating new members. The policy references the general requirements found in the Bylaws and the data needed for new member evaluation. It goes on to establish guidelines to evaluate the prospective member's loss rate and commitment to supporting risk management programs. The policy outlines the data used for rate setting and references the application of an experience modification factor. The process for rate setting is detailed in the Policies & Procedures unique to each Program.

This policy will meet the CAJPA standards while providing a summary of the current process for new members. Once approved and NCCSIF is re-accredited the policy will be reviewed as part of the regular strategic planning process and may be expanded upon as needed.

RECOMMENDATION: Review and recommend the Underwriting Policy for Board approval as presented or amended.

FISCAL IMPACT: None.

BACKGROUND: NCCSIF has established underwriting standards from its inception, beginning with limiting membership to cities and requiring program membership for a minimum of three years. Various governing documents and policies touch on the elements of the underwriting process, and NCCSIF uses excess pool and insurer applications to gather most of the data used in the underwriting process. The Underwriting Policy is a means to review the process from a higher level and will be the subject of further review at Board planning sessions.

ATTACHMENT(S): Draft Administrative P&P # A-23: NCCSIF Underwriting Policy

ADMINISTRATIVE POLICY AND PROCEDURE #A-23

SUBJECT: NCCSIF UNDERWRITING POLICY

Policy:

Northern California Cities Self Insurance Fund (NCCSIF) has established underwriting criteria for the purpose of evaluating prospective members. Underwriting information is also used for rate and premium calculations and for measuring member risk management programs. Underwriting standards and guidelines are outlined in various governing documents, including the JPA Agreement, Bylaws and NCCSIF Policies. This Underwriting Policy provides a summary and highlights much of the criteria utilized to complete the underwriting process.

Establishing underwriting criteria ensures that NCCSIF coverage programs are analyzed for risk exposures, funding requirements, risk retention levels, compatibility between members, and serviceability by staff. Adherence to these Board approved standards and guidelines promotes the continued financial viability and security of NCCSIF.

Procedures:

The requirements for admittance of new members to NCCSIF are set forth in the Bylaws. Membership is open to any city that the Board of Directors determines, in its sole discretion, has similar exposures and interests to the Members. Approval of membership by two-thirds of the Board is required. Applicants accepted for membership in a coverage program agree to participate as a member for a minimum of three consecutive years.

Application Process

An applicant for membership must complete an application that solicits specific information to assess the applicant's risk exposures, including the following information:

- a. Current payroll, estimated payroll for the initial Program Year, audited financial statements, budget and other financial data as requested;
- b. Payrolls for the previous five years;
- c. Loss history for the previous five years;
- d. Any recent claim audits or actuarial studies.
- e. Assessment of current risk management best practices

In addition, the applicant must complete an actuarial study in the format required by NCCSIF and may pay an application fee as determined by the Board. The application is complete when the Program Director receives a fully executed resolution from the applicant's governing board seeking membership pursuant to NCCSIF's policies.

Underwriting Guidelines

Any prospective member must meet the following minimum underwriting guidelines:

- a. Have a loss rate calculated for the past three years that does not exceed the average loss rate of the current members;
- b. Demonstrate a commitment to support risk management and safety programs to control or prevent claims;

The Program Director, with the concurrence of the Board, may waive any of these guidelines or require additional terms and conditions for membership if circumstances warrant.

Rate Setting/Funding Requirements

The Liability and Workers' Compensation programs will be funded each year after completion of an independent actuarial study. Rates are established based upon multiple factors, including:

- Payroll exposure
- Loss rates
- Excess rates
- Administrative expenses
- A margin for contingency as prescribed in NCCSIF's Target Funding Policy

Although NCCSIF's coverage program is based upon risk sharing among its members, to encourage accountability for losses member funding is adjusted after the application of an experience modification factor.

Periodic Review

This Underwriting Policy will be periodically reviewed by the Board, at least once every three years. This review should consider:

- a. Is the process adequately measuring the risks?
- b. Is the process adequately allocating costs?

The Board may delegate the underwriting function as outlined in this Policy to the Executive Committee or any other committee established for the sole purpose of addressing underwriting issues.

ADOPTED BY BOARD OF DIRECTORS:

Date _____



CJPRMA DRONE COVERAGE

ACTION ITEM

ISSUE: CJPRMA has invoiced NCCSIF \$500 for each drone reported to them for liability coverage. The Program Managers were not informed of this change until after the July 1st renewal. Total payment of \$1,500 for three drones is being issued to CJPRMA, and the Program Managers recommend notifying the affected members of this charge and including it in their portion of the excess liability funding going forward. Members with drones include Anderson (2) and Red Bluff (1).

One benefit of this additional coverage is a reduction of the SIR (Self-Insured Retention) from \$500,000 to \$500, eliminating the exposure to the pool and greatly reducing the member's exposure. For that reason, members may consider paying all or a portion of the increased premium.

OPTIONS

1. Pass full cost of drone coverage to members with drone
2. Pay additional cost in whole or in part to recognize elimination of NCCSIF exposure

RECOMMENDATION: Recommend passing cost in whole or in part to members with drones.

FISCAL IMPACT: TBD - \$500 for each drone.

BACKGROUND: Drone coverage was added to the CJPRMA coverage in FY 17/18 at no additional charge. Members are required to register the drone with CJPRMA and follow certain guidelines for their use. The requirements have not changed for FY 18/19 though a fee of \$500 per drone is now charged.

ATTACHMENT(S): None.



SERVICE PROVIDERS CONTRACT RENEWAL STRATEGY

ACTION ITEM

ISSUE: The Program Managers seek direction from the Executive Committee (EC) regarding a strategy for reviewing and recommending terms for the four major service provider contracts that expire on June 30, 2019. An overview of the current plan for the new terms of each contract is provided below:

- a. York Workers' Compensation – *Extend* for one-year. We have survey results and two different claim audits in the near future to evaluate their performance. We expect York to provide renewal terms for both contracts by the time of the Claims Committee meeting in March of 2019.
- b. York Liability – *Renew* existing Agreement for a two-year term. York will provide a flat pricing option instead of the time and expense pricing currently in place.
- c. Bickmore – *Draft a new contract* with a three-year term and two optional years. The Program Managers met with Bickmore to provide feedback and suggestions for revising the scope of work. These suggestions will be provided to the Risk Management Committee at their meeting on October 25, 2018.
- d. Alliant – *Draft a new contract* with a five-year term and two optional years. An internal review of the Service Plan and hours per colleague is under way, with the goal to be prepared to negotiate renewal terms prior to the December Board meeting.

It was previously decided that, given the number of contracts and the timing of the York pricing terms, it makes sense to stagger the negotiations and deal with the Alliant and Bickmore contracts first. The Program Administrators present a couple of options and factors to consider and welcome the members' feedback and suggestions.

OPTIONS

1. Ad Hoc Committee(s). With four contracts the members may wish to take them one or two at a time and/or divide up the workload by having more than one committee.
2. Executive Committee Special Meeting(s). Given the timing of York's pricing terms, the Claims and Executive Committees will have the opportunity to review and recommend terms at their regular meetings in March. The Executive Committee could hold a special meeting to recommend terms for the Bickmore and Alliant contracts before March.



BACK TO AGENDA

**Northern California Cities Self Insurance Fund
Executive Committee Meeting
September 27, 2018**

Agenda Item H.6.a-d. *continued*

If the Committee chooses Option #2, the Program Administrators recommend holding the special meeting(s) prior to the end of 2018, after which the current Executive Committee's makeup will change. The suggested dates at this time are November 28 or December 4 or 5.

Attached is a summary of the contracts, the recommended timeframes for extension, and options for the renewal strategy.

RECOMMENDATION: provide direction regarding the contract renewal strategy as outlined.

FISCAL IMPACT: None

BACKGROUND: The Board approved the Committee's recommendations for extending the terms of the four contracts that expire on June 30, 2019. The Executive Committee recommended extending the current terms to stagger the renewal dates to better achieve long-term management of these service agreements, pending pricing negotiations and the results of a September survey updating member satisfaction with Workers' Compensation claims management.

The contracts up for renewal include the Alliant contract for Program Administration, the York contracts for both Liability and Workers' Compensation Claims Administration, and the Bickmore contract for Safety and Risk Control Services. Actuarial and Financial Auditing services are provided through annual Letters of Engagement, though longer terms may be negotiated.

ATTACHMENT(S): NCCSIF Schedule of Contracts Expiring June 30, 2019

NCCSIF SCHEDULE OF CONTRACTS

SERVICE PROVIDER	TYPE OF SERVICE	CURRENT DURATION	TERM & EXPIRATION	FEE IN FY 18/19	EC Recommendation at 5/17/2018 Meeting	Proposed Expiration Date	Proposed Committee Review
Alliant Insurance Services	Brokerage, Risk Management and Program Administration	5-Year with option for two additional one-year terms	7/1/2014 to 6/30/2019	\$309,576	extend 5 years with 2 optional one-year terms	6/30/2026	Prior to BOD on 12/13/18
Bickmore Risk Services	Safety & Risk Control	3-Year	7/1/2016 - 6/30/2019	\$176,720	extend 3 years with 2 optional one-year terms	6/30/2024	Prior to BOD on 12/13/18
York Risk Services	Claims Administration - Liability*	3-Year	7/1/2016 - 6/30/2019	Reg \$89.00 OT \$111.25 DT \$33.50 (Annual Fee \$20,900)	extend 2 years	6/30/2021	EC Meeting 3/14/19
York Risk Services	Claims Administration - WC	3-Year	7/1/2016 - 6/30/2019	2% increase to \$48,224/mo. (Annual Fee \$29,700)	extend 1 year with follow-up survey to occur in September 2018	6/30/2020	EC Meeting 3/14/19



DECEMBER 2018 BOARD TRAINING DAY AND MEETING

ACTION ITEM

ISSUE: The Board Training Day is scheduled to be held on December 13, 2018, at the Rocklin Event Center.

The following are suggested topics for the training day:

1. Litigation and Claims Management
2. Insurance Requirements in Contracts, Special Events Edition
3. CJPRMA Overview of Services – Tony Giles, new CJPRMA General Manager will be in attendance.
4. Legal and Legislative Update
5. Interactive Process and Avoiding Retaliation Claims
6. Sharing Work Comp or Other Sensitive Information Between Departments

RECOMMENDATION: Discuss and provide direction on training topics.

FISCAL IMPACT: The cost of the meeting is included in the current NCCSIF budget for meetings.

BACKGROUND: Historically the Board meeting in December alternates between a Training Day and a Long Range Planning session. The planning session must be done at least every three years to meet CAJPA accreditation standards. The last planning session was in December 2017, so the meeting in December 2018 will be a training session.

ATTACHMENT(S): NCCSIF Strategic Goals and Action Plan established December 2017

NCCSIF STRATEGIC GOALS & ACTION PLAN

Goals Established: 12/14/17 BOD Long Range Planning meeting

Updated: 4/26/18

MISSION STATEMENT

The Northern California Cities Self Insurance Fund, or NCCSIF, is an association of municipalities joined to protect member resources by stabilizing risk costs in a reliable, economical and beneficial manner while providing members with broad coverage and quality services in risk management and claims management.

GOAL	ACTION/TASK	RESPONSIBLE ENTITY	DEADLINE	STATUS
LRP-1				
What do we want to be when we grow up	1. Open to grow membership, but not actively market with caveat to maintain geographic centric/similar exposures as current members. Will underwrite on an as-needed basis when a city approach the pool to join.	BOD	Open	As Needed
	2. Self-insured Group Benefits: interest from members - ask CSAC EIA to present employee benefits program at April BOD meeting.	Alliant	Open	4/26/18 <i>presentation at BOD Mtg</i>
	<i>Representatives from EIA and GSRMA presented Group Benefits Program at the April BOD meeting.</i>			
LRP-2				
What more can NCCSIF do for members	1. Develop a unified policy for Active Shooters Response - coordinate with PRMC.	BOD, PRMC, Alliant, Bickmore	Ongoing	2/1/18 initial discussion at PRMC Mtg
	<i>PRMC feels this is under control - Folsom conducted training with school on 3/2/18.</i>			
	2. Case Management Workshop: 2-hour Litigation Claims Management presentation on best practices at future BOD meeting.	BOD, York, CJPRMA	Open	10/25/18 <i>presentation at BOD Mtg</i>
	3. Interest in Parametric Coverage: BI for Liability - Civil Disorder, Wildfire Coverage, Active Shooter.	Alliant	7/1/18	4/26/18 <i>approved sublimit coverage</i>
	<i>BOD approved Violent Event sub-limit coverage endorsement under Liability Program at the April Board meeting.</i>			
LRP-3				
How do we achieve greatness	1. Incrementally increase Confidence Level to 80% in the next few years.	BOD, Alliant, Marta, Actuary	7/1/18	4/26/18 <i>approved funding at 80% CL</i>
	<i>BOD approved funding at 80% Confidence Level for both WC and Liability Programs effective 7/1/18.</i>			
	2. Marta and Alliant to present benchmarks of what our SIR could be after 6/30/18 Financials (discussion stems from CJPRMA potentially requiring members with high loss exposures to higher SIR).	BOD, Alliant, Marta, Actuary	Open	10/25/18 BOD Mtg



BACK TO AGENDA

Northern California Cities Self Insurance Fund
Executive Committee Meeting
September 27, 2018

Agenda Item H.8.

ROUND TABLE DISCUSSION

INFORMATION ITEM

ISSUE: The floor will be open to the Committee for discussion.

RECOMMENDATION: None.

FISCAL IMPACT: None.

BACKGROUND: This item is added to each agenda for any topics or ideas that members would like to discuss.

ATTACHMENT(S): None.



Agenda Item I.

INFORMATION ITEMS

ISSUE: The following items are being presented as information for NCCSIF members.

RECOMMENDATION: None. This item is offered as information only.

FISCAL IMPACT: None.

BACKGROUND: None

ATTACHMENT(S):

1. York Who's Who in Claims WC Contacts
2. York Who's Who in Claims Liability Contacts
3. NCCSIF Organizational Chart
4. NCCSIF 2018 Meeting Calendar
5. NCCSIF Travel Mileage Reimbursement Form



York Risk Services Group, Inc.
Who's Who in Claims
Workers' Compensation Contacts

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NORTHERN CALIFORNIA CITIES SELF INSURANCE FUND

2018 Organizational Chart

Updated as of 7/30/18

MEMBER ENTITY	BOARD OF DIRECTORS	BOARD ALTERNATES	RISK MANAGEMENT COMMITTEE	POLICE RISK MANAGEMENT COMMITTEE
City of ANDERSON	EC / CC Liz Cottrell	Jeff Kiser	Liz Cottrell	Chief Michael Johnson
City of AUBURN	Cristina Shafer	<i>Vacant</i>	Shari Harris	Chief John Ruffcorn (Chair)
City of COLUSA	Toni Benson	<i>Vacant</i>	Toni Benson	Chief Josh Fitch
City of CORNING	EC Kristina Miller	Tom Watson	Tom Watson	Chief Jeremiah Fears
City of DIXON	Rachel Ancheta	Kim Stalie	Rachel Ancheta	Chief Robert Thompson
City of ELK GROVE	Brad Koehn	Kara Reddig	Jim Ramsey Julie Rucker - Alternate Rep.	Lieutenant Jeff Scott
City of FOLSOM	Jim Francis	Kristine Haile	Kristine Haile	<i>Vacant</i>
City of GALT	Cora Hall	Eugene Palazzo	Cora Hall	Chief Tod Sockman
City of GRIDLEY	EC Juan Solis	Elisa Arteaga	Juan Solis	Chief Dean Price
City of IONE	Jon Hanken	Lori McGraw	Jon Hanken	Chief Tracy Busby
City of JACKSON	Yvonne Kimball	Dalacie Blankenship	Yvonne Kimball	Chief Scott Morrison
City of LINCOLN	<i>Vacant</i>	Ruthann Codina	<i>Vacant</i>	Chief Doug Lee
City of MARYSVILLE	Jennifer Styczynski	Martha Brown	Jennifer Styczynski	Chief Chris Sachs
City of NEVADA CITY	Loree' McCay	Catrina Olson	Loree' McCay	<i>Vacant</i>
City of OROVILLE	VP / EC / CC Liz Ehrenstrom (Vice-Chair)	<i>Vacant</i>	Liz Ehrenstrom (Chair)	Asst. Chief Allen Byers
Town of PARADISE	EC / CC Gina Will	Crystal Peters	Crystal Peters	Chief Eric Reinbold
City of PLACERVILLE	P / EC / CC Dave Warren (Chair)	Cleve Morris	Dave Warren	Chief James Ortega
City of RED BLUFF	EC Sandy Ryan	Anita Rice	Sandy Ryan	Chief Kyle Sanders (Vice-Chair)
City of RIO VISTA	Yujun Du, CPA	Robert Hickey	Yujun Du, CPA	Chief Dan Dailey
City of ROCKLIN	Kimberly Sarkovich	Andrew Schiltz	Kimberly Sarkovich	Chief Chad Butler
City of WILLOWS	T / EC / CC Tim Sailsbery, CPA	<i>Vacant</i>	Wayne Peabody	<i>Vacant</i>
City of YUBA CITY	Natalie Springer	Robin Bertagna, CPA	Sheleen Loza	Chief Robert Landon

OFFICERS
P = President **VP = Vice President**
S = Secretary **T = Treasurer**
CJPRMA Board Rep.

EC = Executive Committee
CC = Claims Committee

PROGRAM ADMINISTRATORS (Alliant Insurance Services)
Marcus Beverly
Michael Simmons
Raychelle Maranan

CLAIMS ADMINISTRATORS (York Risk Services)
Dorienne Zumwalt
Cameron Dewey (Liability)
Steven Scott (Workers' Comp)

RISK CONTROL CONSULTANTS (Bickmore)
Enriqueta (Henri) Castro
Tom Kline
Gail Zeigler

ADVISORS
Byrne Conley (Board Counsel)
James Marta, CPA (Accounting)
Alana Theiss, CPA (Accounting)



2018 MEETING CALENDAR

- Thursday, February 1, 2018 **Police Risk Management Committee** at 10:00 a.m.
- Thursday, March 22, 2018 *Executive Committee* at 10:00 a.m.
Claims Committee at 11:30 a.m.
- Thursday, April 26, 2018 **Risk Management Committee** at 10:00 a.m.
Board of Directors at 12 noon
- Wednesday, May 2, 2018 **Police Risk Management Committee** - 10:00 a.m.
- Thursday, May 17, 2018 **Claims Committee** at 10:00 a.m.
Executive Committee at 11:30 a.m.
- Thursday, June 14, 2018 **Risk Management Committee** at 10:00 a.m.
Board of Directors at 12 noon
- Thursday, August 2, 2018 **Police Risk Management Committee** at 10:00 a.m.
- Thursday, September 27, 2018 **Claims Committee** at 10:00 a.m.
Executive Committee at 11:30 a.m.
- Thursday, October 25, 2018 **Risk Management Committee** at 10:00 a.m.
Board of Directors at 12:30 p.m.
- Thursday, November 1, 2018 **Police Risk Management Committee** at 10:00 a.m.
- Thursday, December 13, 2018 **Board of Directors** at 10:00 a.m.

Meeting Location: Rocklin Event Center - Garden Room (except February - Ballroom)
2650 Sunset Boulevard, Rocklin, CA 95677

Note: *Additional Claims Committee Meetings may be scheduled as needed for Claims Authority approval which will be held via teleconference.*

Northern California Cities Self Insurance Fund

Travel Reimbursement Expense Form

Member Representative: _____

Entity: _____

Payee Address: _____

Meeting or Committee: _____

Date of Meeting: _____

Location of Meeting: _____

Total Mileage: _____

Payment Made to:

Signature _____ Date _____